



Please complete the information below.

If any of the requested information on this form is inapplicable to you, please mark "NONE" in the appropriate space.

For example, if you do not have a work/business address, mark "NONE" on the appropriate line.

Name: _____

Work/Business Address: _____

Work/Business Telephone: _____

Home Address: _____

Title of present or former public position, or office sought:

(i.e., Councilmember, Commissioner of an UCG Department, Constitutional Officer,
Director of an UCG Division, Mayor or Member of an UCG Commission)

Other Occupations of Filer: _____

Occupations of Spouse: _____

See Section 25-17(2)(a-c)

Check the appropriate box:

If this is the first statement you are filing for year 2021, check the Original Statement box. Unless otherwise conveyed, this form shall be filed no later than 5:00 p.m. on April 15th. See Section 25-14

- Original Statement
- Amended Statement

Return to: Council Clerk's Office
LFUCG
200 E. Main Street, 2nd floor
Lexington, KY 40507

I. INCOME

A. Earned Income

Earned income is represented by earnings from employment, or personal efforts. If you or your immediate family have earned income in excess of \$500 from any one source, the Source and Nature (commission, fees, salary, pension, etc.) must be reported in this section for both you and each immediate family member.

For example, you are employed by LFUCG and your spouse is an attorney for WBG Law Firm. You and your spouse both have an annual salary of greater than \$500 from each of your respective employers. You must report LFUCG and WBG Law Firm as the SOURCE of your earned income and salaries as the NATURE of your earned income in this section.

SOURCE

i.e. LFUCG
WBG Law Firm

NATURE (DO NOT LIST \$ AMOUNTS)

Salary
Salary

See Section 25-17(2)(d)

B. Unearned Income

Unearned Income includes, but is not limited to, earnings derived from assets or investments such as interest, rents, royalties, dividends, capital gains, etc. If you or your immediate family have unearned income which exceeds \$500 in value from any one source, the SOURCE and NATURE must be reported in this section for both you and each immediate family member.

For example, you own stock in X Corporation and received dividends in excess of \$500. You must report X Corporation as the SOURCE of your unearned income and dividends as the NATURE of your unearned income in this section.

SOURCE

i.e. X Corporation

NATURE (DO NOT LIST \$ AMOUNTS)

Dividends

See Section 25-17(2)(d)

II. HOLDINGS

A. Assets Located Within the State

The name and address of any business located within the state in which you or your immediate family had at any time during the preceding calendar year an interest of five thousand dollars (\$5,000) or more at fair market value or ten percent (10%) ownership interest or more, whichever is less.

For example, you have 100% ownership in XYZ business which is located in this state. You must report the name and address of XYZ in this section.

NAME OF BUSINESS

i.e. XYZ

ADDRESS OF BUSINESS

123 Bluegrass Dr. Lexington, KY

See Section 25-17(2)(e)

B. Assets Located Outside the State

If you are the Mayor, Member or Candidate of the Urban County Council, Commissioner or Division Director, you must complete this section.

Otherwise, skip to SECTION II-C.

If you hold one of the positions listed above, please report the name and address of any business located outside of the state if the business has engaged in any business transactions with the Urban County Government during the past three (3) years or is anticipated to engage in any business transactions with the Urban County Government, in which you or your immediate family had at any time during the preceding calendar year an interest of one thousand dollars (\$1,000) or more at fair market value or ten percent (10%) ownership interest or more, whichever is less.

For example, you are a Councilmember and have a 20% ownership interest in KBC, Inc. which is located in Ohio. Two years ago, KBC had a contract with Lexington-Fayette Urban County Government and is anticipating entering into another contract with Lexington-Fayette Urban County Government next year. You must report the name and address of KBC, Inc. in this section.

NAME OF BUSINESS

i.e. KBC, Inc.

ADDRESS OF BUSINESS

123 Bluegrass Dr. Dayton, OH

See Section 25-17(2)(f)

C. Real Estate Located in Fayette County

The location of all real estate within the county, other than your primary residence, in which you or your immediate family had during the preceding calendar year an interest, having a value exceeding five thousand dollars (\$5,000) at the close of the reporting period, must be reported in this section for both you and each immediate family member. Each location must also be designated as commercial, residential, or rural.

For example, you own Wildcat Manor which is an apartment complex located near the University of Kentucky. This complex is valued at \$200,000. You must report the address of Wildcat Manor and specify a property category (commercial, residential, or rural).

ADDRESS OF PROPERTY

i.e. 758 King Ave.

DESIGNATION

Commercial

See Section 25-17(2)(g)

III. CREDITORS

The name and address of any creditor of yours or your immediate family, who is owed more than ten thousand dollars (\$10,000), except debts arising from the purchase of a primary residence or the purchase of consumer goods which are bought or used primarily for personal, family or household purposes must be disclosed in this section for both you and each immediate family member.

For example, you borrowed \$175,000 from WWW Finance Company to purchase Wildcat Manor. You still owe WWW Finance Company \$75,000. You must report the name and address of WWW Finance Company in this section.

NAME OF CREDITOR

i.e. WWW Finance Company

CREDITOR'S ADDRESS

554 Drew Dr. Lexington, KY

See Section 25-17(2)(i)

**IV. BOARDS, COMMISSIONS,
AND NON-PROFIT/CHARITABLE ORGANIZATIONS**

If you are the Mayor, County Judge/Executive, Member of the Urban County Council, County Clerk, County Attorney, Sheriff, Coroner, Surveyor, Constable, or a candidate thereof, or a member of the Urban County Government Board of Adjustment, Ethics Commission, or Planning Commission, you must complete this section.

Otherwise, skip to SECTION V.

If you have a private or financial interest (as defined in Section 25-4(8) & (12) of the Ethics Act) in any board, commission, non-profit, or charitable organization, or are a member of the board of directors of any board, commission, non-profit, or charitable organization in the preceding calendar year, you must report the NAME and ADDRESS of the board, commission, non-profit, or charitable organization.

For example, you are employed by, or are a member of the Board of Directors of Charity859, a non-profit organization located at 1999 Blackacre Road, Lexington, Kentucky 40502, in the preceding calendar year. You must report the name of the organization and its address.

NAME OF ORGANIZATION

i.e. Charity859

ADDRESS

1999 Blackacre Road, Lexington, KY 40502

See Section 25-17(2)(j)

Please refer to this Checklist before you file your Financial Interests Statement with the Council Clerk:

- All Sections are complete with no dollar amounts listed.
- If a Section is inapplicable to me, I have marked "NONE" in the appropriate space.
- I have signed the Statement.
- I had my signature notarized.

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