



LEXINGTON

CONSOLIDATED PLAN 2023 ONE-YEAR ACTION PLAN

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

May 17, 2023

FOR FURTHER INFORMATION:

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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The Lexington-Fayette Urban County Government's 2023 Annual Action Plan represents the jurisdiction's goals and objectives for addressing housing and community development needs for the period July 1, 2023 through June 30, 2024. This executive summary provides an overview of the plan including Lexington-Fayette County's proposed strategy for addressing these problems during the coming year. The goals in the Consolidated Plan include the provision of safe and affordable housing for low- and moderate-income persons, homeless persons, and for persons with special needs; public improvements and neighborhood revitalization in low- and moderate-income neighborhoods; renovation of buildings and sites in the community that are used for the provision of social services to low-income persons; and provision of services that will positively impact social and economic conditions for low-income persons. The Lexington-Fayette Urban County Government (LFUCG) uses Community Development Block Grant funds, HOME Investment Partnerships Program funds, and Emergency Solutions Grants Program funds primarily for housing rehabilitation, new housing development, first-time homeownership, public improvements, and public services for at-risk youth, homeless persons, and other persons with special needs.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The jurisdiction recognizes a need for an expanded supply of affordable housing, specifically affordable housing for extremely low-income renter families. As identified in the Needs Assessment in the Consolidated Plan, approximately 20,000 households are severely cost burdened, meaning that they pay greater than 50% of their income for housing, and most of these households are at or below 30% of area median income. Over the one-year period, the jurisdiction plans to add or rehabilitate 31 units of rental housing, 4 of which will be HOME units, through Consolidated Plan resources and other funding sources. Also identified as a need is rehabilitation of existing housing units occupied by low-income owners. In response, the jurisdiction will continue operation of a housing rehabilitation program and an emergency repair program. Over the one-year period, it is anticipated that 42 households will be assisted. In response to the area's high cost of homeownership, the jurisdiction will continue to support subsidies for first-time homebuyers. Over the one-year period, six (6) households will be assisted with

homeownership. The jurisdiction will allocate funding for operation of programs for the homeless and for at-risk youth. Emergency Solutions Grants funding will be used to offset costs of operating emergency shelters for single women and their children; children and youth ages 6 weeks to 18 years; and an emergency shelter for adults and children who are the victims of domestic violence. Annually, approximately 385 persons will have access to emergency shelter and other services to help them move to permanent housing. With ESG funds, one rapid rehousing program will assist 10 individuals. The jurisdiction will continue its replacement of storm water facilities, sidewalks, streets, and sanitary sewers in the Meadows-Northland-Arlington area. Over the one-year period, a public investment of \$1,097,615 will be made to improve the livability of this neighborhood.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

During the reporting period of July 1, 2021 through June 30, 2022, the local government used federal funding from the U.S. Department of Housing and Urban Development to address housing needs, public improvements, and social services needs of the low-income population of Lexington-Fayette County. Construction was completed on 4 new rental units and rehabilitation was completed on 23 rental units for low-income persons. Eight owner-occupied existing housing units were rehabilitated and three ramps were constructed to assist a low-income owner-occupied household with occupants with disabilities. Five low-income first-time homebuyers were assisted in purchasing housing units. One nonprofit agency provided 5 extremely low-income households with tenant based rental assistance. Nine non-profit agencies provided housing and supportive services to 2,534 homeless persons and assisted 662 homeless persons into permanent housing during the program year. Outreach services were provided to 479 adults who are homeless or at risk of being homeless, 14 persons moved into permanent housing and 39 persons moved into stable housing. Health care services were provided to 1,268 low-income persons impacted by or at high risk due to the pandemic, 830 persons utilized multiple services and 275 persons utilized mental health services. Expanded mental health court services were provided to 39 persons. An expansion to a drop-in center provided 1,005 persons with supportive services to basic needs to reduce the spread of COVID-19. A jobs program was able to assist 407 persons recently released from incarceration with 189 persons securing a job. Three non-profit organizations and the local government provided services to 414 youth in an effort to help them succeed in school and become productive adults.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The Lexington-Fayette Urban County Government (LFUCG) followed an adopted Citizens Participation Plan in its development of the 2023 Consolidated Plan. The LFUCG conducted two public hearings (December 5, 2022 and March 20, 2023) in an attempt to solicit citizen comment on the housing and

community development needs of t In addition to advertising in the community's daily newspaper, The Lexington Herald-Leader, the government sends out a first-class direct mail to neighborhood associations in low-income neighborhoods, churches in low-income neighborhoods, urban county council members and their staff, and to organizations and individuals who have indicated an interest in the housing and community development needs of the low-income, homeless, and special needs populations.

The public hearings are advertised on the government's cable channel and on the government's internet webpage, with the public invited to email ideas and suggestions. LFUCG has also begun to use social media to advertise public hearings.

Consultations were conducted with agencies serving low-income and homeless persons (including the local Continuum of Care entity) in an attempt to determine housing and service needs of the homeless and at-risk homeless. Consultations were also conducted with a local community development corporation, neighborhood associations, and other organizations whose missions are to improve housing, services, and economic opportunities for low-income people.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Several participants attended first and second public hearing and the 30 days for draft review and no comments were received.

6. Summary of comments or views not accepted and the reasons for not accepting them

No comments received.

7. Summary

Lexington-Fayette Urban County Government solicits applications from organizations requesting funds to carry out Consolidated Plan projects. Because funding is limited, the LFUCG is unable to fulfill all requests. A summary of all applications received is attached in appendices.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role		Name	Department/Agency
CDBG Administrator		LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT	Division of Grants and Special Programs
HOME Administrator		LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT	Division of Grants and Special Programs
ESG Administrator		LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT	Division of Grants and Special Programs

Table 1 – Responsible Agencies

Narrative (optional)

Lexington-Fayette Urban County Government is a fully merged city-county government with the powers of both a city of the second class and a county created from the merger of the City of Lexington and the County of Fayette in 1974 and operates pursuant to Chapter 67A of the Kentucky Revised Statutes. The Urban County Government operates under a Mayor-Council form of government where executive and administrative functions are vested with the Mayor and legislative authority rests with the Urban County Council. The Mayor is the chief executive officer and is elected to serve a four-year term. The Urban County Council has fifteen members, including twelve district members who serve two-year terms and three at-large members who serve four-year terms. The Mayor is assisted in the administration of the government by a Chief Administrative Officer and seven departmental commissioners.

The Division of Grants and Special Programs, the governmental unit that has responsibility for the planning and writing of grant applications for federal funds and responsibility for the financial management of federal funds and for compliance with federal regulations, is under the LFUCG's Chief Administrative Officer. The Division of Grants and Special Programs has responsibility as lead agency for the development of the consolidated plan and for contracting and management of CDBG, HOME, and ESG funds. The Division of Grants and Special Programs operates a homeowner housing rehabilitation program and contracts with a loan servicing agency for servicing the first-time homebuyer programs operated by nonprofit organizations that use HOME funding.

In 2014, the Lexington-Fayette Urban County Government created an Office of Homelessness Prevention and Intervention (OHPI) in response to

recommendations made in the January 2013 report of the Mayor’s Commission on Homelessness. The OHPI Director is responsible for planning and coordinating recommendations made by the Commission. An LFUCG Homelessness Prevention and Intervention Board appointed by the Mayor was created to guide this mission. The OHPI assumed responsibility for the Continuum of Care in May 2015.

In 2014, the Lexington-Fayette Urban County Government also created its own Affordable Housing Trust Fund, providing for an Affordable Housing Board and an Affordable Housing Manager. The mission of this program is to leverage public investment to preserve, produce, and provide safe, quality, affordable housing. The Affordable Housing Trust Fund has an independent board appointed by Mayor and approved by Council.

Consolidated Plan Public Contact Information

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

In preparation of the 2023 Annual Action Plan, the Lexington-Fayette Urban County Government (LFUCG) consulted with a variety of organizations who have an interest in homelessness, housing for low-income persons, social and mental health services, and community development activities. In addition to the organizations below, staff members consulted with the LFUCG Affordable Housing Manager, the LFUCG Office of Homelessness Prevention and Intervention, the Lexington-Fayette Urban County Housing Authority, the Mayor's Commission on Citizens with Disabilities, and LFUCG's Director of Aging and Disability Services.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The Lexington-Fayette Urban County Government relies upon the community's many nonprofit and government-based organizations to provide health and social services to low-income households. The LFUCG provides more than \$3 million annually from its general fund in addition to federal funds to subrecipient organizations including assisted housing and public housing providers. As part of that funding process, the LFUCG requires the development of partnerships among existing social service providers to ensure that low- and moderate-income households are provided with a seamless level of services to enhance their self-sufficiency. The LFUCG Department of Social Services has established four divisions (Youth Services, Family Services, Community and Resident Services, and Aging and Disability Services), a Domestic Violence Prevention Board, Office of Substance Abuse and Violence Intervention, and the Lexington Global Engagement Center (multi-cultural affairs). The staff of these divisions and offices have created collaborative relationships throughout the community with the mission of having all residents healthy and fully engaged.

In addition, the LFUCG operates separately an Office of Homelessness Prevention & Intervention and Office of Affordable Housing. Each of those offices is advised by a board that includes key stakeholders who inform funding and policy decisions. The LFUCG Homelessness Prevention and Intervention Board brings together homeless shelters, domestic violence providers, recovery programs, law enforcement, hospitals, landlords, housing developers, veterans services providers, and many more organizations who review community needs and develop and implement solutions, some of which are ultimately supported with federal funding to subrecipients as reflected in the Annual Action Plan.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The LFUCG, through its Office of Homelessness Prevention & Intervention, serves as the Collaborative Applicant and lead agency for the one-county Lexington-Fayette Continuum of Care. The 13-member LFUCG Homelessness Prevention & Intervention Board serves as the local COC Board and, along with a two-person staff, conducts all planning, prioritization and funding recommendations for the federal CoC funding in the Continuum but also for an annual allocation of \$750,000 from the city's general fund which is used to make grants for CoC priorities. The LFUCG Division of Grants and Special Programs coordinates efforts with the CoC through participation in all CoC meetings and communication about funding priorities and decisions. This ensures that the jurisdiction's federal funds are directed to best serve the CoC's plans and priorities for serving people experiencing homelessness, especially chronically homeless individuals and families, families with children, veterans, and unaccompanied youth as well as people at risk of homelessness. LFUCG allocation of ESG funds, for example, to Rapid Rehousing serves to address a critical need for Rapid Rehousing that was identified by the CoC, especially for youth. In addition, this project's participation in Coordinated Entry serves to further support the CoC in its efforts to prevent and reduce homelessness.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

Lexington-Fayette Urban County Government staff meets annually with the Continuum of Care for the purpose of reviewing allocation of Emergency Solutions Grants funds and discussing how these funds may be better used to address the needs of the homeless population. A summary of applications for these funds is presented to the CoC group for review and comment. The Continuum of Care has voiced concerns about how Rapid Rehousing/Homelessness Prevention program is being operated. These concerns have been helpful in creating a more effective program. LFUCG staff members have become licensed users of the Kentucky HMIS in order to provide support to the community's homeless providers for the purpose of improving participation and helping to implement a community-wide coordinated entry system. Grants & Special Programs staff members meet often with the Office of Homelessness to review outcomes and spending and adjust programming as needed to reflect CoC needs and priorities.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	HOPE CENTER
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless

	<p>Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>Individual meetings and participation in Continuum of Care. The Hope Center serves on the CoC Board and participates in coordinated entry. This relationship is strong and will continue as Hope Center is the primary men's shelter in Lexington. This is also a HUD-designated envision center.</p>
2	<p>Agency/Group/Organization</p>	<p>Lexington Fair Housing Council</p>
	<p>Agency/Group/Organization Type</p>	<p>Service-Fair Housing</p>

What section of the Plan was addressed by Consultation?	Impediments to Fair Housing
Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?	Reviewed evictions data and housing instability information and participated in a series of Landlord-Tenant Forums to collect information on community needs and problems faced by people in need of affordable housing. Coordinating with this organization to operate the U.S. Treasury-funded Emergency Rental Assistance Program including having a presence in eviction court.

3	Agency/Group/Organization	LEXINGTON HABITAT FOR HUMANITY
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment

	Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?	Working with this organization on the challenge of increasing costs of land/property in Lexington-Fayette County and how HOME funds can support their programming to help more first-time homebuyers access homeownership in an increasingly expensive market.
4	Agency/Group/Organization	REACH, INC.
	Agency/Group/Organization Type	Housing

What section of the Plan was addressed by Consultation?	Housing Need Assessment
Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?	Like Habitat, REACH consultations include conversations surrounding how to deal with a very active and expensive real estate market and how adjustments can be made in the LFUCG process and HOME program to better facilitate homeownership opportunities. This is an ongoing relationship with regular conversations for continuous improvement.

5	Agency/Group/Organization	COMMUNITY ACTION COUNCIL FOR LEXINGTON-FAYETTE, BOURBON,HARRISON
	Agency/Group/Organization Type	Community Action Agency
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?	Engaged with this organization to take on multiple roles in response to the COVID-19 pandemic including expansion of temporary shelter capacity in Lexington for individuals and families experiencing homelessness. The organization was also the primary partner in operation of the U.S. Treasury funded Emergency Rental Assistance Program.
6	Agency/Group/Organization	Commerce Lexington
	Agency/Group/Organization Type	Chamber of Commerce

What section of the Plan was addressed by Consultation?	Economic Development
Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?	Ongoing consultation on economic development needs for low-income areas and areas of high unemployment including specific opportunities. LFUCG continues to support locally funded workforce development projects and a jobs incentive fund. Will continue to evaluate those projects and consider opportunities for leveraging federal funding.

7	Agency/Group/Organization	VOLUNTEERS OF AMERICA
	Agency/Group/Organization Type	Veteran Services Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment

	Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?	VOA leads a CoC sponsored Veterans Committee to review housing and employment needs specific to veterans. The Office of Homelessness is deeply involved with this group including coordinated entry for homeless veterans. The Jurisdiction has ended veteran homelessness per HUD and USICH standards.
8	Agency/Group/Organization	Kentucky Interagency Council on Homelessness
	Agency/Group/Organization Type	Intergovernmental Planning Body

What section of the Plan was addressed by Consultation?	Housing Need Assessment
Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?	<p>Director of the LFUCG Office of Homelessness serves on this statewide intergovernmental coordinating agency. Group meets quarterly to review housing data and evaluate programming. Also seeks opportunities to improve collaboration across state and local government in Kentucky using a statewide and regional approach.</p>

9	Agency/Group/Organization	Arbor Youth Services, formerly MASH Services of the Bluegrass
	Agency/Group/Organization Type	Runaway and Homeless Youth Provider
	What section of the Plan was addressed by Consultation?	Homelessness Needs - Unaccompanied youth

	Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization serves as the local lead for serving children and also youth 18-24 who are homeless or at risk of homelessness. Supported an expansion this year of capacity at their shelter for older youth 18-24 who are not a good fit for traditional shelters.
10	Agency/Group/Organization	AVOL Kentucky, Inc.
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS

What section of the Plan was addressed by Consultation?	Housing Need Assessment HOPWA Strategy
Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?	<p>Reviewed activities including the HOPWA program. Coordinate every other month through Homelessness Prevention & Intervention (COC) Board meetings. This year completed a large permanent housing development supported by HOME and LFUCG Affordable Housing funds that will also house the organization</p> <p>services.</p>

1 1	Agency/Group/Organization	Lexington-Fayette Urban County Housing Authority
	Agency/Group/Organization Type	PHA
	What section of the Plan was addressed by Consultation?	Public Housing Needs

	Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?	Reviewed activities and needs of the local PHA which has served as a critical provider of vouchers in the homeless Continuum of Care. Provided CDBG-CV funds to support households served in the emergency voucher program.
1	Agency/Group/Organization	Spectrum Mid-America LLC
2	Agency/Group/Organization Type	Services - Broadband Internet Service Providers Community Development Financial Institution

What section of the Plan was addressed by Consultation?	Market Analysis
Briefly describe how the Agency/Group/Organization was consulted . What are the anticipated outcomes of the consultation or areas for improved coordination?	Lexington-Fayette Urban County Government will further the deployment of regional broadband initiative for fiscal year with broadband carriers to service the rural part of the county.

Identify any Agency Types not consulted and provide rationale for not consulting

The Lexington-Fayette Emergency Management identifies all natural hazard risks and vulnerability in neighborhoods in all areas in Lexington-Fayette County which includes housing occupied by low to moderate-income households. The Lexington Fayette Emergency Operations Plan (EOP) was approved and officially adopted by the Mayor and Urban County Council in February 2021. The EOP provides the framework in which responding departments and agencies, along with nongovernmental community partners, plan, coordinate, and perform their respective emergency functions during an emergency or disaster. The EOP was prepared in accordance with federal, state, and local statutes and will be exercised, revised, and updated as required.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	LFUCG Office of Homelessness Prevention and Intervention	Goals are consistent
2018 Comprehensive Plan for LFUCG	LFUCG Division of Planning	Goals are consistent
Moving to Work Annual Plan	Lexington Housing Authority	Goals are consistent
Newtown Pike Extension Corridor Plan	LFUCG Divisions of Planning and Engineering	Goals are consistent. Plan is a result of collaboration among LFUCG, Kentucky Transportation Cabinet, and Federal Highway Administration in an effort to preserve a neighborhood while extending a major roadway.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The Lexington-Fayette Urban County Government (LFUCG) followed an adopted Citizens Participation Plan in its development of the 2023 Annual Action Plan. The LFUCG conducted two public hearings (December 5, 2022, and March 20, 2023) in an attempt to solicit citizen comment on the housing and community development needs of the community. A draft annual action plan was published on April 14, 2023 providing for a 30-day review and comment period through May 15, 2023. The draft plan was submitted to the Urban County Council for work session review and final approval on May 11, 2023.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meeting	Minorities Non-targeted/broad community	9 persons attended first public hearing. 5 persons attended second public hearing.	No comments were received.		
2	Internet Outreach	Non-targeted/broad community	Public hearings and plan publication were advertised on the government website and social media page.	No comments were received.		www.lexingtonky.gov

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Letters and emails to LFUCG staff, organizations, churches, and neighborhood association	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Neighborhood Associations</p>	Applications from several organizations were received. A summary of these applications has been attached in the Appendices.	See summary	Some projects were not approved for funding due to past performance, but primarily due to limited funding and/or concerns about applicant capacity.	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

In addition to HOME, CDBG, and ESG funds allocated from the U.S. Department of Housing and Urban Development, the jurisdiction allocates general fund dollars in the amount of \$300,000 as grant match for HOME to be used for housing rehab, homeownership, and rental housing development, and grant match in the amount of \$59,611 for rapid rehousing/homelessness prevention (ESG) and \$7,674 for administration (\$7,674).

The Jurisdiction also is providing general dollars annually to the Housing Trust Fund in the amount of \$3,000,000 and to the Office of Homelessness Prevention and Intervention in the amount of \$750,000. An additional allocation of general fund dollars in the amount of \$892,500 will be used for emergency shelter operations. LFUCG sewer user funds in the amount of \$275,648 will be allocated to the

Meadows/Northland/Arlington project.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	2,340,927	175,000	0	2,515,927	5,031,854	CDBG funds will leverage City dollars through public infrastructure, housing activities, public facilities improvements and support services to the community

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	1,449,360	100,000	0	1,549,360	3,098,720	Lexington-Fayette Urban County Government will use HOME dollars to leverage to rental housing developments for multifamily and senior citizens rental, homeownership, CHDO Rental Housing Development and homeowner rehab as well as tenant based rental assistance. \$300,000 match is included in funds to be utilized.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	204,653	0	0	204,653	409,306	Lexington-Fayette Urban County Government leverage ESG funds for rapid rehousing and emergency shelter operations and match \$59,611. Other match for the Emergency Solutions Grants Program in the amount of \$145,042 will be provided as in-kind match from the Community Partners

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - local	Acquisition Admin and Planning Housing Multifamily rental new construction Multifamily rental rehab Services	300,000	0	0	300,000	600,000	Lexington-Fayette Urban County Government uses general fund dollars to match the HOME program.
Other	public - local	Admin and Planning Rapid re-housing (rental assistance) Services	59,611	0	0	59,611	119,222	Lexington-Fayette Urban County Government uses general fund dollars to match rapid rehousing and emergency shelters will provide \$145,042 grant match in the ESG program.
Other	public - local	Overnight shelter	145,042	0	0	145,042	290,084	Lexington-Fayette Urban County Government will receive grant match from overnight shelters and General Fund grant match.
Other	public - local	Public Improvements	275,648	0	0	275,648	551,296	Sewer User funds collected for purpose of operating sanitary sewer system and for rehabilitating deteriorating sanitary sewers.

Table 5 - Expected Resources – Priority Table

Annual Action Plan
2023

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The Lexington-Fayette Urban County Government will provide from its 2024 General Fund grant match in the amount of \$300,000 for the required HOME Investment Partnership Match.

The Lexington-Fayette Urban County Government will provide from its 2024 General Fund grant match in the amount of \$59,611 as partial match for the Emergency Solutions Grants Program. Other match for the Emergency Solutions Grants Program in the amount of \$145,042 will be provided as in-kind match from the Community Partners who are providing services using these federal funds.

The Lexington-Fayette Urban County Government, by Ordinance No. 103-2014 on September 11, 2014, has designated annual funding in the amount of \$2,000,000 for the “Affordable Housing Fund,” and annual funding in the amount of at least 750,000 for the “Innovative and Sustainable Solutions to Homelessness Fund” continuing on into the Fiscal Year 2024.

LFUCG annually allocates operating funds (General Fund) to community partner agencies with general fund dollars. To this end, an estimated annual allocation in the amount of \$892,500 will be expended for emergency shelters in the community in Fiscal Year 2024.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The only publicly owned property that is anticipated to be used to address the needs identified in the plan is for public facilities project.

Discussion

Expected anticipated resources for the remaining two years of the planning period are based upon allocations provided in Year 1. The Lexington-Fayette Urban County Government Annual Action Plan proposed activities for Single Family Housing Rehab for CDBG and HOME and ESG Rapid Rehousing budgets will be proportionally increased or decreased to match actual allocation amounts as necessary.

Lexington and its affordable housing developers and partner agencies will continue to pursue funding from the Kentucky Affordable Housing Trust Fund, National Affordable Housing Trust Fund, Low-Income Housing Tax Credits, HUD's SHOP program, HUD's 811 and 202 programs, VASH program, and other state and federal resources as are available to fulfill identified needs of the community.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Development of Rental Housing	2015	2019	Affordable Housing Homeless Non-Homeless Special Needs	Lexington-Fayette County	Affordable Housing	HOME: \$425,000 Grant Match: \$300,000	Rental units constructed: 4 Household Housing Unit Homeowner Housing Added: 2 Household Housing Unit
2	Homeownership	2015	2019	Affordable Housing	Lexington-Fayette County	Affordable Housing	HOME: \$175,000	Homeowner Housing Added: 3 Household Housing Unit Direct Financial Assistance to Homebuyers: 3 Households Assisted
3	Homeowner Housing Rehabilitation	2015	2019	Affordable Housing	Lexington-Fayette County	Affordable Housing	CDBG: \$683,783 HOME: \$415,121	Homeowner Housing Rehabilitated: 25 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Emergency Repair for Homeowners	2015	2019	Affordable Housing	Lexington-Fayette County	Affordable Housing	CDBG: \$160,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 3 Persons Assisted Homeowner Housing Rehabilitated: 17 Household Housing Unit
5	Public Improvements	2015	2019	Non-Housing Community Development	Lexington-Fayette County	Public Improvements and Infrastructure	CDBG: \$1,097,615 LFUCG Sewer User Funds: \$275,648	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 2000 Persons Assisted
6	Public Services - Non-Homeless Special Populations	2020	2024	Non-Homeless Special Needs	Lexington-Fayette County	Affordable Housing Public Facilities Public Improvements and Infrastructure Public Services	CDBG: \$429,529 HOME: \$94,239	Public service activities other than Low/Moderate Income Housing Benefit: 250 Persons Assisted Public service activities for Low/Moderate Income Housing Benefit: 466 Households Assisted Rental units constructed: 7 Household Housing Unit Homeowner Housing Added: 2 Household Housing Unit Homeowner Housing Rehabilitated: 25 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
7	Public Services-Youth Programs	2015	2019	Non-Housing Community Development	Lexington-Fayette County	Public Services	CDBG: \$45,000	Public service activities other than Low/Moderate Income Housing Benefit: 300 Persons Assisted
8	Public Services--Homeless Populations	2015	2019	Homeless	Lexington-Fayette County	Public Services	CDBG: \$50,000	Public service activities other than Low/Moderate Income Housing Benefit: 10 Persons Assisted Overnight/Emergency Shelter/Transitional Housing Beds added: 385 Beds
9	Rapid Rehousing	2015	2019	Homeless	Lexington-Fayette County	Rapid Rehousing	ESG: \$81,582 Grant Match: \$73,908	Tenant-based rental assistance / Rapid Rehousing: 10 Households Assisted
10	Homelessness Prevention	2015	2019	At-risk of homelessness	Lexington-Fayette County	Homelessness Prevention	ESG: \$123,071 Grant Match: \$130,745	Homelessness Prevention: 385 Persons Assisted
11	Economic Development	2020	2024	Non-Housing Community Development	Lexington-Fayette County	Affordable Housing	HOME: \$440,000	Rental units constructed: 2 Household Housing Unit Homeowner Housing Added: 2 Household Housing Unit
12	Fair Housing	2015	2019	Administration	Lexington-Fayette County	Public Services	CDBG: \$50,000	Other: 1 Other

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Development of Rental Housing
	Goal Description	<p>HOME funds will be used to support new construction 30 low-income senior citizen units permanent affordable rental housing. Total four HOME units. This project will also be supported with private financing and equity from Low-Income Housing Tax Credits.</p> <p>The REACH, non-profit organization, will use HOME funds to purchase and rehabilitate two existing structures for sale to low-income first time homebuyers.</p> <p>The only publicly owned property that is anticipated to be used to address the needs identified in the plan are for use as public facilities.</p>
2	Goal Name	Homeownership
	Goal Description	<p>HOME funds will be used to a support first-time homebuyer program that is operated by R.E.A.C.H., Inc. Approximately 3 low-income households will become homeowners with assistance from this program.</p> <p>HOME funds will be used to support homeownership program as operated by Lexington Habitat for Humanity. This organization constructs/rehabs new units. A minimum of 3 households with incomes below 60% of area median income will become homeowners.</p>

3	Goal Name	Homeowner Housing Rehabilitation
	Goal Description	HOME and CDBG funds will be used to continue the Single Family Housing Rehabilitation Program that provides either deferred loans or low-interest loans to low-income owner occupants for purposes of bringing their houses up to code. It is expected that approximately 25 units will be rehabilitated during the LFUCG 2024 program year and that 20 of these units will be occupied by households whose incomes are below 50% of median income and 5 units will be occupied by households whose incomes are from 50% to 80% of median income. \$333,783 in CDBG and \$415,121 in HOME will be used for direct assistance to homeowners. \$350,000 in CDBG will be used for the operating costs of the Homeowner Rehabilitation Program and support the personnel costs of the loan and relocation specialists and the rehabilitation specialists, legal fees, appraisal fees, and other operation supplies. The project is directly operated by the LFUCG's Division of Grants and Special Programs.
4	Goal Name	Emergency Repair for Homeowners
	Goal Description	CDBG funds will be used to implement an emergency repair program for low income homeowners. It is anticipated that at least 17 homeowners will benefit from this program. CDBG funds will be used by Community Action Council to make minor repairs or dwelling rehabilitation for individual or family at 60% AMI or below that would not otherwise be eligible under existing funding streams. Such repairs may include addressing structural damage, such as roof or minor foundation repair, mold mitigation activities, updating older plumbing or electrical issues, repairing malfunctioning heat pumps, or addressing other concerns that prevent the home from meeting the minimum standards necessary to be eligible for existing Department of Energy Weatherization Assistance and Low Income Home Energy Assistance Program (LIHEAP) Weatherization programs.
5	Goal Name	Public Improvements
	Goal Description	With CDBG funds and LFUCG Sewer funds, the LFUCG will continue its public improvements in Meadows/Northland/Arlington: 6B from Edgelawn area of Lexington on Bluegrass Avenue from Bryan Avenue to Highlawn Avenue. Activities include replacement of curbs, gutters and sidewalks, street reconstruction, storm sewer improvements and replacement of the sanitary sewer within public right of way.

6	Goal Name	Public Services - Non-Homeless Special Populations
	Goal Description	<p>Project will support the administrative costs of operating the CDBG and HOME program. These costs include administrative personnel, professional services, equipment, supplies, and other operating costs of the program.</p> <p>Radio Eye will be funded in the amount of \$30,000 to broadcast the readings of newspapers, magazines, and other printed material to individuals with print-impairments. An estimated 250 individuals will benefit from this service. The average listener age is 81, and approximately 73% are economically disadvantaged.</p> <p>A housing navigator at New Beginnings, Bluegrass, Inc. will assist approximately 50 low-income persons with mental illnesses with transitioning from an institutional setting to permanent housing.</p> <p>Moveable Feast Lexington, Inc. will use CDBG funds to provide low-income individuals, families, and caregivers affected by HIV/AIDS and Hospice Care patients with nutritious, hot meals. Operating costs will also be covered by CDBG funds.</p>
7	Goal Name	Public Services-Youth Programs
	Goal Description	<p>The LFUCG will use approximately 11% of its CDBG revenue for the support of public services in the community. Of the \$259,000 allocated for services, \$95,000 will be used to support services for low-income youth. These services will be Police Activities League, Arbor Youth Services, Repairers Lexington - E7 Kids Cafe, and Moveable Feast Lexington.</p>
8	Goal Name	Public Services--Homeless Populations
	Goal Description	<p>The LFUCG will use approximately 2% of its CDBG revenue for the support of public services in the community. Of the \$123,819 allocated for services, \$50,000 will support services for youth ages 18-24 that are currently homeless or precariously housed and at risk of becoming homeless. ESG funds in the amount of \$123,071 will be used to support emergency shelter operations at Arbors Youth Services and GreenHouse17. Local General Fund dollars in the amount of \$892,500 will be used to support emergency shelter operations at Arbor Youth Services, GreenHouse17, Hope Center, and Salvation Army.</p>

9	Goal Name	Rapid Rehousing
	Goal Description	Arbor Youth Services will be funded \$73,908 to prevent homelessness to 10 youth between ages of 18 and 24 who are at risk of homelessness. LFUCG will use \$7,674 for administration and provide match in the amount of \$51,937 and agency match of \$21,971.
10	Goal Name	Homelessness Prevention
	Goal Description	<p>Arbors Youth Services, Inc., will be funded in the amount of \$73,071 in ESG funds to support the costs of a youth advocate to provide case management services to ten young households (aged 18-24) who are homeless or at-risk of homelessness. Arbors Youth Services, Inc., will be responsible for grant match from its own budget. This organization will work with LFUCG Division of Community and Resident Services.</p> <p>GreenHouse17 will be funded in the amount of \$50,000 in ESG funds to support emergency shelter and supportive services to 310 men, women and children who are homeless as a result of domestic violence. LFUCG will provide match in the amount of \$7,674.</p>
11	Goal Name	Economic Development
	Goal Description	HOME Administration CHDO administrative costs of the jurisdiction's CHDO R.E.A.C.H., Inc. REACH, Inc. as CHDO, will purchase and rehabilitate two housing units to make available for homeownership.
12	Goal Name	Fair Housing
	Goal Description	Lexington currently funds the Human Rights Commission from the Community Development Block Grant Program in the amount of \$50,000. The Commission will continue fair housing education and outreach efforts to analyze and eliminate housing discrimination in the jurisdiction and to promote fair housing choice for all persons in the community.

Projects

AP-35 Projects – 91.220(d)

Introduction

During the 2023 Program year, the jurisdiction will allocate Consolidated Plan funds to projects that improve existing housing for low-income residents through rehabilitation, energy efficiency improvements, lead-based paint hazard reduction, increase the supply of homeownership units through new construction and down payment assistance, and increase the supply of rental units for low-income households with new construction and rehabilitation. Funds will be allocated for services to households who are homeless or at-risk of homelessness and to activities that serve at-risk youth. The jurisdiction will continue its public improvements in the Meadows-Northland-Arlington area, improving the living environment of a low-income residential neighborhood, and support a planning project in a low-income predominantly Hispanic neighborhood. The jurisdiction will also continue to support the Lexington-Fayette Urban County Human Rights Commission in a effort to affirmatively further fair housing.

Projects

#	Project Name
1	Grantee Administration
2	Fair Housing Education and Outreach
3	Meadows/Northland/Arlington
4	Housing Rehabilitation Operations
5	Single Family Housing Rehabilitation Program
6	Housing Rehabilitation, Emergency Repair
7	Community Action Council Home Repair and Rehabilitation
8	Youth Services
9	New Beginnings Housing Navigator
10	Radio Eye Public Service
11	Moveable Feast Lexington
12	First-Time Homebuyers Program--R.E.A.C.H.
13	Lexington Habitat for Humanity First-Time Homebuyer Program
14	HOME Administration--CHDO
15	CHDO-REACH, Inc.
16	Development of Rental Housing

#	Project Name
17	ESG-23 Lexington-Fayette County

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

In assigning priorities for allocating federal funds, the Lexington-Fayette Urban County Government (LFUCG) places a high priority on housing, public improvements, park improvements, public facilities, and public services. In assigning priorities to housing needs, the LFUCG places the highest priorities on the development of rental housing for extremely low-income households ($\leq 30\%$ of area median income) and very low-income households 30.1% to 50% of median income) and the development of homeownership opportunities for very low-income households and low-income households (30.1% to 80% of median income). A high priority is also placed upon the preservation or rehabilitation of existing housing owned and occupied by households whose incomes are $\leq 80\%$ of median income. Because homeless individuals and families are the neediest people in the community, the LFUCG places a high priority on the provision of services to this population for the purpose of enabling them to advance from homelessness to permanent housing. Services that provide low-income youth with life skills that will help them advance to successful lives of self-sufficiency are also assigned a high priority.

Obstacles to meeting underserved needs in the area of housing include: the high cost of housing units for homeownership, with most new construction, and even older existing housing units, being out of the reach of low-income households; the high cost of rental units; the high cost and scarcity of residential in-fill lots in older neighborhoods for development by nonprofit organizations, and the difficulty in siting facilities for special needs populations in neighborhoods. Obstacles to serving the needs of the homeless population include the scarcity of state, federal, and local funds to support the services that are required to make many homeless persons with disabilities successful in permanent housing. During the following year, the LFUCG will continue its efforts to make homeownership available to low-income households through a homebuyer subsidy program implemented by R.E.A.C.H and Lexington Habitat for Humanity. This program serves the purpose of helping to make homeownership affordable to low-income households. During the following year, the LFUCG proposes to expend approximately 9% of its CDBG revenue for services, 71% of which will be spent providing supportive services to homeless persons with the remainder going to address the social service needs of low-income youth.

AP-38 Project Summary
Project Summary Information

1	Project Name	Grantee Administration
	Target Area	Lexington-Fayette County
	Goals Supported	Homeowner Housing Rehabilitation
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$315,529 HOME: \$94,239
	Description	Project will support the administrative costs of operating the CDBG and HOME program. These costs include administrative personnel, professional services, equipment, supplies, and other operating costs of the program.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Project will support the administrative costs of operating the CDBG and HOME program. These costs include administrative personnel, professional services, equipment, supplies, and other operating costs of the program.
	Location Description	200 East Main Street, Lexington, KY 40507
	Planned Activities	Funds will be used to support the administrative costs of the CDBG and HOME programs. Funds will be used to support personnel costs, training, supplies, and professional services.
2	Project Name	Fair Housing Education and Outreach
	Target Area	Lexington-Fayette County
	Goals Supported	Fair Housing
	Needs Addressed	Public Services
	Funding	CDBG: \$50,000
	Description	The project will assist the government in meeting its obligation as an entitlement community to affirmatively further housing. Project consists of retention of a full-time investigator for fair housing complaints. An agreement will be entered into with the Lexington-Fayette Urban County Human Rights Commission for operation of this project.
	Target Date	6/30/2024

	Estimate the number and type of families that will benefit from the proposed activities	The Lexington Human Rights Commission will serve an estimated 1,500 individuals.
	Location Description	342 Waller Ave. Ste. 1A Lexington, KY 40504
	Planned Activities	
3	Project Name	Meadows/Northland/Arlington
	Target Area	Lexington-Fayette County
	Goals Supported	Public Improvements
	Needs Addressed	Public Improvements and Infrastructure
	Funding	CDBG: \$1,097,615 LFUCG Sewer User Funds: \$275,648
	Description	Construction of Public Improvements in Meadows/Northland/Arlington to improve road and sewer infrastructure in the Edgelawn Avenue area of Lexington on Bluegrass Avenue from Bryan Avenue to Highlawn Avenue. Including road, curbs, gutters, sewage and sidewalks in public right-of-ways. Project is ongoing in phases each FY using CDBG and LFUCG Sewage Funds.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 2,000 residents will benefit from the project.
	Location Description	Construction of Public Improvements in Meadows/Northland/Arlington to improve road and sewer infrastructure in the Edgelawn Avenue area of Lexington on Bluegrass Avenue from Bryan Avenue to Highlawn Avenue.
	Planned Activities	With CDBG funds, the LFUCG will continue its public improvements in Meadows/Northland/Arlington to improve road and sewer infrastructure in the Edgelawn Avenue area of Lexington on Bluegrass Avenue from Bryan Avenue to Highlawn Avenue. Including road, curbs, gutters, sewage and sidewalks in public right-of-ways.
4	Project Name	Housing Rehabilitation Operations
	Target Area	Lexington-Fayette County

	Goals Supported	Homeowner Housing Rehabilitation
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$350,000
	Description	Operation of the single family housing rehabilitation program. Support the personnel costs of the loan and relocation specialists and the rehabilitation specialists, legal fees, appraisal fees, and other operation supplies. The project is directly operated by the LFUCG's Division of Grants and Special Programs.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Not applicable
	Location Description	200 East Main Street, Lexington, KY 40507
	Planned Activities	Salaries and benefits for staff of the Division of Grants and Special Programs to include Code Enforcement Officers and Financial Officers, appraisals, legal services, loan servicing, training, and necessary supplies and equipment.
5	Project Name	Single Family Housing Rehabilitation Program
	Target Area	Lexington-Fayette County
	Goals Supported	Homeowner Housing Rehabilitation
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$333,783 HOME: \$415,121
	Description	Federal funds will be used to provide low-interest loans to low-income homeowners and deferred loans to very low-income homeowners for purposes of bringing their homes into compliance with the housing code and to improve energy efficiency. LFUCG Division of Grants and Special Programs is responsible for operation of this program.
	Target Date	6/30/2024

	Estimate the number and type of families that will benefit from the proposed activities	25 low-income owner single family homeowners and deferred loans to very low-income homeowners for purposes of bringing their homes into compliance with the housing code and to improve energy efficiency. LFUCG Division of Grants and Special Programs is responsible for operation of this program.
	Location Description	Scattered sites
	Planned Activities	25 low-income owner occupants will be assisted with bringing their homes up to code, including energy efficiency improvements, lead-based paint abatement or hazard reduction, and accessibility enhancements.
6	Project Name	Housing Rehabilitation, Emergency Repair
	Target Area	Lexington-Fayette County
	Goals Supported	Emergency Repair for Homeowners
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$150,000
	Description	The Division of Grants and Special Programs will operate an emergency housing repair program for low-income homeowners to include electrical, plumbing, and HVAC repairs.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	CDBG funds will be used to implement an emergency repair program for low income homeowners. It is anticipated that at least 17 homeowners will benefit from this program.
	Location Description	Scattered sites
	Planned Activities	Emergency repairs to include electrical, plumbing, and HVAC for low-income owner-occupants.
7	Project Name	Community Action Council Home Repair and Rehabilitation
	Target Area	Lexington-Fayette County
	Goals Supported	Emergency Repair for Homeowners
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$10,000

	Description	CDBG funds will be used by Community Action Council to make minor repairs or dwelling rehabilitation for individual or family at 60% AMI or below that would not otherwise be eligible under existing funding streams. Such repairs may include addressing structural damage, such as roof or minor foundation repair, mold mitigation activities, updating older plumbing or electrical issues, repairing malfunctioning heat pumps, or addressing other concerns that prevent the home from meeting the minimum standards necessary to be eligible for existing Department of Energy Weatherization Assistance and Low Income Home Energy Assistance Program (LIHEAP) Weatherization programs.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Three person assisted.
	Location Description	Scattered sites
	Planned Activities	To make minor repairs or dwelling rehabilitation for individual or family such repairs may include addressing structural damage, such as roof or minor foundation repair, mold mitigation activities, updating older plumbing or electrical issues, repairing malfunctioning heat pumps, or addressing other concerns that prevent the home from meeting the minimum standards necessary to be eligible for existing DOE Weatherization Assistance and Low Income Home Energy Assistance Program (LIHEAP) Weatherization programs.
8	Project Name	Youth Services
	Target Area	Lexington-Fayette County
	Goals Supported	Public Services-Youth Programs
	Needs Addressed	Public Services
	Funding	CDBG: \$95,000
	Description	Youth services activities for the 2023 program year include the Police Activities League, E7 Kids Café and Arbor Youth Services. All programs provide educational activities for low-income children and adolescents.
	Target Date	6/30/2024

	Estimate the number and type of families that will benefit from the proposed activities	These services will be provided by Police Activities League (200 youth), E7 Kids Cafe (100 youth) and Arbor Youth Services (75 youth).
	Location Description	Police Activities League: 1625 Russell Cave Road, Lexington, KY 40511 Repairers Lexington - E7 Kids Cafe: 180 E Maxwell Street, Lexington, 40508 Arbor Youth Services: 540 W. Third Street, Lexington, KY 40508
	Planned Activities	Arbor Youth Services will conduct structured programs that targets homeless, runaway, at-risk youth and young families with youth ages 18-24. Activities include outreach, education and homeless services. Repairers Lexington - E7 Kids Cafe will provide low-income children in urban Lexington with various enrichment and academic opportunities. Police Activities League will provide sports and educational opportunities to low-income youth to include cheerleading, football, volleyball, tee-ball, basketball, boxing, and soccer, tutoring, computer classes, summer camping, field trips, community service projects, and neighborhood clean-ups.
9	Project Name	New Beginnings Housing Navigator
	Target Area	Lexington-Fayette County
	Goals Supported	Public Services - Non-Homeless Special Populations
	Needs Addressed	Public Services
	Funding	CDBG: \$54,000
	Description	\$54,000 in Community Development Block Grant funds will be used to provide a housing navigator at New Beginnings, Bluegrass, Inc. who will work with approximately 30 low-income persons with mental illnesses who are ready to transition from an institutional setting to permanent housing.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	At least 30 individuals will be served.

	Location Description	1353 W Main Street Suite 100 Lexington, KY 40508
	Planned Activities	A housing navigator at New Beginnings, Bluegrass, Inc. will assist approximately 30 low-income persons with mental illnesses with transitioning from an institutional setting to permanent housing.
10	Project Name	Radio Eye Public Service
	Target Area	Lexington-Fayette County
	Goals Supported	Public Services - Non-Homeless Special Populations
	Needs Addressed	Public Services
	Funding	CDBG: \$30,000
	Description	Radio Eye will be funded in the amount of \$30,000 to broadcast the readings of newspapers, magazines, and other printed material to individuals with print impairments.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Radio Eye serves approximately 265 individuals annually.
	Location Description	1733 Russell Cave Road, Lexington, KY 40505
	Planned Activities	Funds will be used to broadcast the readings of newspapers, magazines, and other printed material to individuals with print-impairments. An estimated 265 individuals will benefit from this service
11	Project Name	Moveable Feast Lexington
	Target Area	Lexington-Fayette County
	Goals Supported	Public Services - Non-Homeless Special Populations
	Needs Addressed	Public Services
	Funding	CDBG: \$30,000
	Description	CDBG funds will be used to provide low-income individuals, families, and caregivers affected by HIV/AIDS and Hospice Care patients with nutritious, hot meals. Operating costs will also be covered by CDBG funds.
	Target Date	6/30/2024

	Estimate the number and type of families that will benefit from the proposed activities	243 estimated low-income individuals will be assisted.
	Location Description	474 Silver Maple Way Lexington, KY 40508
	Planned Activities	CDBG funds will be used to support Moveable Feast Lexington ongoing efforts to support individuals, families and caregivers affected by HIV/AIDS and Hospice Care patients with nutritious, hot meals to them.
12	Project Name	First-Time Homebuyers Program--R.E.A.C.H.
	Target Area	Lexington-Fayette County
	Goals Supported	Homeownership
	Needs Addressed	Affordable Housing
	Funding	HOME: \$100,000
	Description	HOME funds will be used to a support first-time homebuyer program that is operated by R.E.A.C.H., Inc. Approximately 3 low-income households will become homeowners with assistance from this program.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 3 low- income households will become homeowners with assistance from this program.
	Location Description	Scattered sites
	Planned Activities	HOME funds will be used to a support first-time homebuyer program that is operated by R.E.A.C.H., Inc. Approximately 3 low- income households will become homeowners with assistance from this program.
13	Project Name	Lexington Habitat for Humanity First-Time Homebuyer Program
	Target Area	Lexington-Fayette County
	Goals Supported	Homeownership
	Needs Addressed	Affordable Housing
	Funding	HOME: \$75,000

	Description	
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	A minimum of 3 households with incomes below 60% of area median income will become homeowners.
	Location Description	Scattered sites
	Planned Activities	Lexington Habitat for Humanity will provide subsidies for eligible first-time homebuyers purchasing new or existing single-family unit. Subsidies will be in the form of down payment assistance and/or assistance with closing costs. An agreement will be entered into with Lexington Habitat for Humanity for program operation.
14	Project Name	HOME Administration--CHDO
	Target Area	Lexington-Fayette County
	Goals Supported	Development of Rental Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$20,000
	Description	Administrative costs of the jurisdiction's CHDO--R.E.A.C.H.. Inc.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Not applicable
	Location Description	REACH: 733 Red Mile Road, Lexington, KY 40504
	Planned Activities	Funds will be used to support the operating costs of CHDO.
15	Project Name	CHDO-REACH, Inc.
	Target Area	Lexington-Fayette County
	Goals Supported	Homeownership
	Needs Addressed	Affordable Housing
	Funding	HOME: \$420,000

	Description	REACH, Inc. as a CHDO, will purchase and rehabilitate 2 existing unit for sale to low-income first time homebuyers.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Two housing unit will be developed.
	Location Description	To be determined.
	Planned Activities	Funds will be used to support the redevelopment costs of 2 housing unit to make available for homeownership.
16	Project Name	Development of Rental Housing
	Target Area	Lexington-Fayette County
	Goals Supported	Development of Rental Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$425,000 Grant Match: \$300,000
	Description	HOME funds will be used to support new construction to build 30 units for low-income, senior citizen housing. Four HOME-Assisted Units. This project will also be supported with private financing and equity from Low-Income Housing Tax Credits.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	30 beneficiaries of low-income senior citizen housing.
	Location Description	3125 Dorchester Place (a 2 acre portion of 410 Sporting Court) Lexington, KY 40503
	Planned Activities	HOME funds will be used to support new construction to build 30 units for low-income, senior citizen housing. Four HOME-Assisted Units.
17	Project Name	ESG-23 Lexington-Fayette County
	Target Area	Lexington-Fayette County

Goals Supported	Rapid Rehousing Homelessness Prevention
Needs Addressed	Rapid Rehousing Homelessness Prevention
Funding	ESG: \$204,653 Grant Match: \$204,653
Description	2023 ESG ALLOCATION FUNDS HAVE BEEN ALLOCATED FOR OPERATION OF EMERGENCY SHELTERS. FUNDS HAVE ALSO BEEN ALLOCATED FOR OPERATION OF A RAPID REHOUSING PROGRAM AND A HOMELESS PREVENTION PROGRAM. ADMINISTRATION FUNDS HAVE ALSO BEEN ALLOCATED.
Target Date	6/30/2024
Estimate the number and type of families that will benefit from the proposed activities	Emergency Shelter 385 will benefit and Rapid Rehousing 10 individuals will benefit from the proposed activities.
Location Description	Arbor Youth Services: 536 W. Third Street, Lexington, KY 40508 4400 Briar Hill Road, Lexington, KY 40516
Planned Activities	Operation of emergency shelters for single men, and for victims of domestic violence. Operation of homelessness prevention for households at risk of homelessness. Operation of rapid rehousing program for homeless households.

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The Lexington-Fayette Urban County Government (LFUCG), during the next year, will continue to direct funding from the Community Development Block Grant (CDBG) program into Census Tract 14 for public improvements. This phase of the Meadows-Northland Arlington project includes the replacement of curbs, gutters and sidewalks, street reconstruction, storm sewer improvements, and sanitary sewer improvements on from Edgelawn area of Lexington on Bluegrass Avenue from Bryan Avenue to Highlawn Avenue.

Assistance for housing rehabilitation is based upon household eligibility and may be located anywhere in the urban county area. The first-time homebuyer program supports development of single family housing by REACH and Lexington Habitat for Humanity. The production of new rental housing is encouraged in non low-income census tracts. Funds for services are not based upon geographic locations, but based upon income eligibility of population being served.

Geographic Distribution

Target Area	Percentage of Funds
Lexington-Fayette County	70

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Public improvements in the Meadows-Northland-Arlington area are part of a planned twenty-year strategy to improve the infrastructure and drainage problems in this large low-income neighborhood. The lead agency in recommending allocations for investments in public improvements is the LFUCG Division of Engineering.

Public investment in housing rehabilitation, housing production, and homeownership are encouraged throughout the jurisdiction in an effort to provide low-income households with maximum choice in housing location. REACH, Inc. and Lexington Habitat for Humanity will provide down payment assistance to first-time homebuyers of existing housing throughout the community. LFUCG's Division of Grants and Special Programs operates a single family housing rehabilitation program and an emergency repair program for eligible households throughout the community.

Discussion

Other geographic areas that need improved public infrastructure and public facilities will be considered

for funding in the future.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

During the project year, the following affordable housing units will be assisted:

Lexington's single family housing rehabilitation program for low-income owners—25 units

Lexington's emergency repair program—17 units

Wellington Park Apartments construct 30 new construction of low-income senior citizen housing - only four will be HOME-assisted.

REACH, Inc. will purchase two single family home and rehabilitate to make available for sale to two low-income household for homeownership.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	57
Special-Needs	0
Total	57

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	4
Rehab of Existing Units	51
Acquisition of Existing Units	2
Total	57

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

Annual allocations \$2 million for Affordable Housing fund established by ordinance will continue

to leverage public and private investment to provide, produce and preserve safe, quality affordable housing development in Lexington.

AP-60 Public Housing – 91.220(h)

Introduction

During the coming year, the LFUCG will continue its support of public housing by working with the Lexington Fayette Urban County Housing Authority (LHA) in its attempts to rehabilitate or reconstruct public housing units that have exhibited structural failure and been deemed unsafe for habitation.

Through approval of the FY2023 Moving To Work (MTW) Plan LHA is offering lease up incentives to landlords who participate in the Housing Choice Voucher (HCV/Section 8) program. The initiative also will serve to help HCV families with security deposits, utility deposits, utility arrearages and application fees.

A maximum of \$3,500 per applicant/participant will be provided to landlords on behalf of HCV applicants/participants to remove barriers in order to obtain and/or maintain permanent housing. LHA will offer an incentive of \$1,000 to landlords to lease a unit to an HCV applicant/participant. The payment will be made to the landlord once the unit passes inspection and the tenant occupies the unit. In addition, LHA will pay the security deposit not to exceed one month contract rent to the landlord once the unit passes inspection and the tenant occupies the unit. Application fees will be paid to the owner/landlord at the request of the landlord owner at the time of the application. Utility deposits and utility arrears will be paid directly to the utility company after the unit passes inspection.

LHA also received approval through the FY2023 MTW Plan to enact a simplified rent calculation for HCV elderly and disabled households. Since 2014 LHA's elderly and disabled households recertify every three years instead of annually. PIH Notice 2016-05 Streamlining Rule allowed all PHAs to adopt triennial recertifications. As the vast majority of elderly and disabled households in the HCV program rely on fixed-income sources, there is little variation in household income on an annual basis. The policy will eliminate deductions from subsidy calculation and the total tenant payment will be determined by using 28% of gross income.

Actions planned during the next year to address the needs to public housing

Race and Third Townhouses

Six new rental townhouse units (fair market) at Race and Third Streets located in downtown Lexington are near completion. Construction began in May of 2022. The new \$1.6 million units are 1,300 – 1,350 square feet with two-bedrooms, two-and-one-half baths, wash/dryer hookups, covered front porches, private patio space, and off-street parking. This development is in close proximity to the HOPE VI development (former Bluegrass-Aspendale). The development is located in an area that is currently undergoing revitalization with new residential and commercial opportunities. LHA anticipates

completion in August of 2023.

Shropshire Avenue Development

Development is currently underway on the final parcel of land that was left undeveloped following the demolition of LHA's Bluegrass Aspendale housing complex in the early 2000s. LHA proposes multiple uses for the vacant parcel of land that include at least nine single family homes, four townhouse units and an early childhood prep academy. LHA has entered into an agreement with Community Action Council (CAC) to construct a Prep Academy on a portion of this parcel. CAC has secured approval from the Department of Health and Human Services (HHS), and Representative Andy Barr (KY) has committed \$3 million dollars toward the construction. CAC is seeking additional funding and a community partner to purchase and manage the childhood center. The property is located in Lexington's East End neighborhood, an area that is undergoing a resurgence. The site of the planned development has been vacant for several years following the demolition and subsequent HOPE VI redevelopment of the LHA's largest public housing site (Bluegrass-Aspendale) in 2005. This development is the final piece to a much larger development initiative. The homeownership development is in close proximity to the LHA's planned \$1.5 million, 5-unit townhome rental development, a planned \$3 million child care development center as well as a \$750,000 new street (currently under construction) for rear-entry access to the proposed homeownership houses and child care center. LHA has received the support and most importantly financial backing of LFUCG to complete the aforementioned projects currently underway.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The Lexington Housing Authority (LHA) encourages self-sufficiency, community awareness and involvement to our clients through a variety of programs, one of the most visible is the Family Self-Sufficiency (FSS) program. FSS offers case management and supportive services to help a family gain financial self-sufficiency. Each participant's needs are customized and may include job training, career planning and financial literacy education, such as budgeting, saving and establishing good credit.

As a participation incentive, LHA will establish an escrow account for FSS Program families. Over the course of the program, as FSS participants achieve their self-sufficiency goals, their earned income will likely increase. When this occurs, LHA will escrow any increases the family pays in rent due to an increase in earned income. Families can then use funds to help achieve their established self-sufficiency goals while they are in the FSS Program or at their discretion

once they have successfully met program goals.

Currently the LHA has 29 Housing Choice Voucher (HCV) Homeownership participants. The HCV Homeownership program is available to current HCV participants and public housing residents. Homeownership participants must be first-time homebuyers and they are required to participate in homeownership counseling.

HOME funds allocated to REACH, Inc., and Lexington Habitat for Humanity will assist LHA's homeownership participants with the opportunity to become homeowners. The HCV Homeownership Program requires potential homebuyers to be both income and mortgage eligible by a mortgage lender. HOME funds are used to subsidize the principal, making the unit affordable.

In addition to the FSS Program, LHA administers Resident Opportunity and Self-Sufficiency (ROSS) Program designed to assist residents of public housing to make progress towards economic and housing self-sufficiency by removing the educational, professional and health barriers they face. LHA's ROSS coordinator links them to training and supportive services that will enable participants to move along the self-sufficiency continuum. Currently, 42 public housing residents participate in the ROSS program.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Lexington Fayette Urban County Housing Authority is not designated as "troubled" by HUD. In fact, the LHA was designated by HUD as a High Performer in 2011 prior to entering the MTW Demonstration. The LHA maintains that designation during the Demonstration. The LHA's MTW agreement has been extended through 2028. HUD's MTW program gives a select group of public housing authorities (PHAs) funding and policy flexibility not available to traditional PHAs. As of 2023, LHA enters its 12th year in the Demonstration.

Discussion

Special Partner Programs

The Housing Authority provides a fixed monthly rental subsidy to eight (8) special partners who have agreed to house and provide wraparound social services to a minimum of 436 families with special needs. HCV staff has signed Memoranda of Understanding (MOUs) in with each special partner program. During 2023, more than 600 families were housed through special partner programs that offer assistance to vulnerable populations that include individuals who may be homeless or at risk of homelessness, victims of domestic violence, individuals in recovery, elderly and disabled.

Family Unification Program (FUP)

The LHA was notified in June 2021 of HUD's Family Unification Program (FUP) award of \$272,936. FUP serves families for whom the lack of adequate housing is a primary factor in the imminent placement of the family's child or children in out-of-home care and eligible youth 18 years and not more than 24 years of age and who have left foster care or will leave foster care within 90 days. PHAs administer the FUP in partnership with Public Child Welfare Agencies (PCWAs) who are responsible for referring FUP families and youths to the PHA for determination of eligibility for rental assistance.

Foster Youth Initiative

LHA manages 42 vouchers (27 leased) through HUD's Foster Youth Initiative (FYI) to provide housing assistance to foster youth. Generally speaking, when a foster youth reaches 18 years of age, they exit the foster care system and often lack the independent living skills to be successful on their own and are at risk of homelessness. LHA, in collaboration with local resources, will assist Family Unification Program (FUP) eligible youth to ensure the youth has access to safe, affordable housing, and are supported to reach self-sufficiency by working toward education and employment goals. The LHA provides the tenant-based voucher only as long as the foster youth receives case management services from the Public Child Welfare Agency/Kentucky Cabinet for Health and Family Services.

Emergency Housing Vouchers

The LHA's HCV Department oversees the management of seventy-six (76) Emergency Housing Vouchers (EHVs) awarded by HUD in 2021. The 76 vouchers are valued at \$621,780 in Housing Assistance Payment (HAP). The EHV's are awarded to PHAs with eligible populations with the greatest need. The vouchers are provided to help assist individuals and families who are (1) homeless, (2) at risk of homelessness, (3) fleeing, or attempting to flee, domestic violence, dating violence, sexual assault, stalking, or human trafficking, or (4) recently homeless. Currently, 67 of the 76 vouchers are under lease. Nationwide, 70,000 EHV's were awarded to 696 PHAs.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

LFUCG's one-year goal for reducing and ending homelessness is to provide funding to organizations that prevent/re-house homeless persons and that operate emergency shelters that have a plan for moving people from homelessness to permanent housing.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Lexington CoC operates a "No Wrong Door" Coordinated Entry system. Over 50 organizations participate including providers of housing, victim services, HIV/AIDS services, mental and physical healthcare, employment, legal aid, recovery services, the local VAMC, law enforcement, and managed care organizations. Assessment can be accessed 24/7/365 in an extensive array of languages administered in-person or via phone and internet.

The CoC used ESG-CV funds to expand its street outreach system, awarding \$275,507 to Hope Center and \$143,701 to Lexington Rescue Mission. Community Action Council was awarded a two-year grant of \$392,000 in FY 2022 of local funds. Teams work with Community Paramedicine and New Vista's Assertive Community Treatment and Quick Responsive Teams. Since July 1, 2021, street outreach has engaged over 800 unsheltered persons, moving over 30% into permanent housing.

Hope Center and Rescue Mission teams are now locally funded. Hope Center was awarded \$300,000 in FY 2024 from the Office of Homelessness Prevention and Intervention with an option to renew for 2 additional years. This program expands hours of operation and increases supports for mental health and substance use. The team operates M-F from 8am to 10pm w/ after-hours and weekend coverage. The team includes Peer Support Specialists, a SOAR Specialist, a Housing Navigator, and a clinician. MH and SUD services can be initiated in field and participants have access to Hope Center's array of recovery services.

The Rescue Mission's team transitioned to local funds from LFUCG's Dept. of Social Services, awarded \$115,800 for a two-year period. The team operates in conjunction with their outreach center, a primary access point to housing support, and includes 2 peer support specialists and a physician.

Teams work in coordination, guided by OHPI, via a bi-weekly meeting to align efforts. Teams also participate in an interdisciplinary Cross Functional Team to develop individualized solutions for system

high utilizers. Outreach is supplemented by Hope Center's HopeMobile and Catholic Action Center's Compassionate Caravan. HopeMobile visits various locations 5 days/week to provide food, clothing, healthcare, emergency shelter, counseling, and job training. Compassionate Caravan assists during both summer and winter severe weather, distributing basic needs and engaging those least likely to seek assistance.

The OHPI also continuously engages providers in ongoing evaluation and improvement. This includes: continuation of a representative payee program, serving up to 120 individuals experiencing homelessness; continuation of a medical respite program for those too medically frail to return to the streets or shelter, funded locally through LFUCG's Dept. of Social Services; operation of a no-cost state ID program for persons experiencing homelessness; completion of a racial equity analysis of the homeless response system; support to providers such as an HMIS subsidy to provide user licenses at no cost and development of a learning module system for frontline staff; and a \$2,000,000 commitment of ARPA funds toward construction of transitional housing at the Men's Hope Center, adding 72 beds.

One-year goals for outreach and assessment include:

- 1) Conduct at least 60 unduplicated contacts/month with unsheltered persons;
- 2) Reduce average length of time individuals experience homelessness compared to the previous year;
- 3) Reduce returns to homelessness by 2% from previous year;
- 4) Increase percentage of system leavers increasing total income by 2.5% compared to previous year;
- 5) Increase exits to permanent housing/retention of permanent housing compared to previous year.

Addressing the emergency shelter and transitional housing needs of homeless persons

GreenHouse17 (formerly Bluegrass Domestic Violence Program) will be funded in the amount of \$50,000 from the ESG Program for the purpose of operating an emergency shelter for the victims of domestic violence. This program's emergency shelter services are especially designed to reduce the duration and damage of homelessness by helping individuals and families victimized by domestic violence transition from the acute trauma of abuse to stability and eventual self-sufficiency. Approximately 300 adults and children are served annually. The performance standard is to provide basic emergency shelter services and transitional housing for persons who are homeless, and through case management and referral assist them into advancing to permanent housing

Arbor Youth Services will be funded in the amount of \$73,071 from the ESG Program for the purpose of supporting basic operations of an emergency shelter for children and youth experiencing homelessness. This is the community's only shelter for unaccompanied youth experiencing homelessness and children work with a team to address immediate needs such as hunger before moving toward a more

comprehensive approach to seeking long-term housing solutions using a trauma-informed approach.

The LFUCG's fiscal year 2024 proposed budget also includes local funding in the amount of \$892,500 for emergency shelters in Lexington-Fayette Urban County. These funds will support Arbor Youth Services, Community Action Council, The Salvation Army and GreenHouse17.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Arbor Youth Services program will specifically target youth ages 18-24. Approximately 10 families will be assisted. The performance standard is to increase the number of homeless households moving into permanent housing.

The Lexington-Fayette Continuum of Care was recognized in 2019 by the U.S. Interagency Council on Homelessness as a community that has effectively ended veteran homelessness. The CoC has built a system that ensures homelessness among veterans is rare, brief and non-recurring. The CoC is now working to expand that same system to get the same outcome for youth and chronic homeless populations.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The LFUCG's proposed 2024 budget includes a \$200,000 allocation to the Division of Community and Resident Services for financial assistance to prevent homelessness when there is a temporary income loss and evictions or utility shutoffs are threatened.

The proposed budget also includes \$4,316,568 in local funds from for the Extended Social Resources Grant Program to address for basic human needs, mental health and substance abuse services, positive

youth development, public health, services for senior citizens, and violence prevention. These funds will provide support of programs to various community nonprofits to address community needs, most of which address housing, health, social services, employment education and youth needs.

Discussion

A minimum of \$750,000 is to be allocated to Innovative and Sustainable Solutions to Homelessness. Adopted and started the implementation of the 2021-2025 Lexington Strategic Plan to Reduce and End Homelessness. Continued effort to coordinate responses for those experiencing homelessness including the expansion of emergency shelter and winter weather response.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

The greatest identified development of affordable housing in the community is the escalating cost of land, although it should be noted that the economic conditions of the past few years have slowed the previous rates of escalating values. Lexington does use an Urban Service Area approach which restricts growth to specific areas. There are no immediate plans to expand its urban service area. Given current development rates, it has been determined that there are adequate amounts of land for commercial, residential, and industrial uses in the existing urban services area.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Other perceived barriers to the development of affordable housing are the regulations imposed upon new construction in infill areas. The Lexington-Fayette Urban County Government zoning ordinance has been amended to facilitate infill and redevelopment in existing neighborhoods, protecting these neighborhoods from inappropriate development, improving the quality of infill, and eliminating unnecessary regulations. In a continuing effort to monitor the effects of these modifications, the Division of Planning conducts periodic meetings with the stakeholders, primarily affordable housing providers and neighborhood residents, to evaluate the effects, both good and bad, of the infill and redevelopment regulations. Based upon these meetings additional text amendments to the ordinance may be proposed. The Division of Planning has also continues to work with the Division of Water Quality to assess sanitary and storm sewer infrastructure in infill and redevelopment areas to determine the appropriateness of expanded development.

During Fiscal Year 2015, Lexington launched its own Affordable Housing Trust Fund, allocating an initial \$3,000,000 from general fund, \$11,125,000 America Rescue Funds, HOME-ARP funds for the purpose of producing and preserving safe, quality, affordable housing through coordination with public and private partners, investment in strategic opportunities, and policy development. Ordinance was enacted assuring a minimum of \$2 million allocation annually. This included the creation of a Manager of Affordable Housing and an Affordable Housing Board. Since its inception, the Lexington Affordable Housing Trust Fund has supported the creation or preservation of more than 1,500 units of affordable housing, leveraging millions of dollars in public and private investment.

Lexington has also reactivated its Vacant Property Review Commission, and under city ordinance, may now classify property as abandoned which has been vacant or unimproved for a period of at least one year and which is unfit for occupancy, or has become a place for the accumulation of trash and debris,

or has been infested with rodents or other vermin, or has been tax delinquent for a period at least three years. Once declared as abandoned, the property is taxed additionally at the rate of \$1.00 per each \$100.00 of assessed value.

Discussion:

AP-85 Other Actions – 91.220(k)

Introduction:

Actions planned to address obstacles to meeting underserved needs

The jurisdiction has recognized a need for public services in its Cardinal Valley neighborhood. A small area plan is currently in process in this area. An early identified underserved need is for adequate public services for this low-income, largely Hispanic neighborhood. This action plan proposes the use of CDBG funds to launch a feasibility study for an eight acre commercial site for the purpose of creating a public service area that would uniquely serve the residents of this area. The feasibility study will determine the cost of acquiring land, clearing existing buildings, and environmental remediation and the relationship to the adjacent floodplain. It will address possible methods of financing the construction, maintenance, and operations, as well as ownership options of the land to ensure the long-term viability of the project as a community asset for both the partner agencies and LFUCG. An estimate of cost to relocate the existing businesses, in compliance with federal regulations will be provided as will a full inventory of social services currently in the area to better determine the needs that can be addressed through programs provided by potential partner agencies. The study will include a design for the entire property including all proposed buildings, parking facilities, and greenspace improvements.

Actions planned to foster and maintain affordable housing

Lexington-Fayette Urban County Government will use its federal, state, and local allocations to develop and maintain affordable housing through development of new rental housing, rehabilitation of existing housing (owner and renter), fostering homeownership for low-income first-time buyers, and support of tenant-based rental assistance for very low-income households who have disabilities. To the greatest extent possible, the jurisdiction will seek other funds to help fill the identified gap for affordable

housing.

During the coming year, the LFUCG will continue its support of public housing by working with the Lexington Fayette Urban County Housing Authority in its attempts to rehabilitate or reconstruct public housing units that have exhibited structural failure and been deemed unsafe for habitation.

Actions planned to reduce lead-based paint hazards

Lexington-Fayette Urban County Government uses some of its HUD entitlement funds for low-income owner-occupied rehab and first-time homebuyer activities. The staff has been trained and certified on lead-based paint regulations. Staff determines the level of lead-based paint compliance for the projects. Risk assessment, lead testing, evaluation of testing results, lead-based paint control, and abatement procedures are completed as necessary. The work write-ups for rehab projects incorporate lead-based paint hazard reduction work and protective measures. When indicated, clients with small children are referred to the Fayette County Health Department for blood lead screening.

For the coming year it is anticipated that 25 housing rehabilitation jobs will be completed for low-income owner-occupied units. It is estimated that 75% of these units will be found to have lead hazards requiring either interim controls or abatement. Depending on the level of potential lead hazards, some households are temporarily relocated during rehabilitation. All homeowners whose houses required interim controls or lead abatement are given the Lead Hazard Information Pamphlet and Disclosure and, if paint testing or a risk assessment was conducted, they are given a Notice of Lead Hazard Evaluation and Reduction. The LFUCG staff also conducts lead hazard evaluations of all pre-1978 units that are being purchased by low-income households under the HOME assisted first-time homebuyer programs for the purpose of ensuring that the units are lead-safe. These homebuyers are also provided with a Lead Hazard Information Pamphlet and Disclosure and if paint testing or a risk assessment was conducted a Notice of Lead Hazard Evaluation and Reduction. The Code Enforcement staff of the Division of Grants and Special Programs also conducts lead screening on all pre-1978 housing units that are assisted under the Emergency Solutions Grants Homelessness Prevention/Rapid Rehousing activity. For other federally funded housing activities, the LFUCG staff provides lead hazard evaluations

and resident notification as required by 24 CFR Part 35.

Actions planned to reduce the number of poverty-level families

The Antipoverty Strategy for the Annual Action Plan is the same as that described in the 2020 Five-Year Strategic Plan and attached in the Grantee Unique Appendices.

Actions planned to develop institutional structure

LFUCG's Division of Grants and Special Programs is an administrative division that reports to the Housing Advocacy and Community Development. This division is responsible for the financial management of all federal and state grants to the Lexington-Fayette Urban County Government. The division has lead responsibility for development of the Consolidated Plan and for implementation and monitoring of CDBG, HOME, and ESG programs. In addition the division is responsible for operating a housing rehabilitation program and an emergency housing repair program for low-income households. LFUCG's Division of Engineering is responsible for planning, bidding, and constructing public improvements. This division reports to the Commissioner of Environmental Quality and Public Works. The LFUCG has within the past year employed an Affordable Housing Manager to conduct activities under the Affordable Housing Trust Fund. This manager reports to the Division of Grants and Special Programs. The Director of the Office of Homelessness Prevention and Intervention, has been moved to the Division of Grants and Special Programs to improve coordination with ESG funding and other resources.

Actions planned to enhance coordination between public and private housing and social service agencies

During Fiscal Year 2021, the Director of the Office of Homelessness Prevention and Intervention will serve in a leadership role to enhance coordination between public and private housing and social service agencies to end homelessness in Lexington. This will include providing leadership to the Continuum of Care process and developing a centralized intake system for services for homeless persons. Also in 2015, the LFUCG created an Affordable Housing Trust Fund with the mission of facilitating the preservation, production, and provision of safe, quality, affordable housing through coordination with public and private partners, investment in strategic opportunities, and policy development. The trust fund is guided by a board that includes council members, housing developers, constructors, financial

institutions, housing providers, and providers of social services and supportive services.

The LFUCG will continue to rely upon private nonprofit social service agencies, including faith-based entities, for the provision of services to the homeless and to special needs populations. Over the years, the LFUCG has established strong collaborative relationships with these organizations.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

During the one-year period of 2023-2024, no less than 70% of all CDBG funds will be used for activities that benefit low- and moderate-income persons.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

No other forms of investment will be used.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

The jurisdiction proposes recapture of HOME funds when homebuyers sell units before the expiration of the period of affordability.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

See recapture guidelines in the unique appendices.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

Use of HOME funds to refinance existing debt is not planned.

5. If applicable to a planned HOME TBRA activity, a description of the preference for persons with special needs or disabilities. (See 24 CFR 92.209(c)(2)(i) and CFR 91.220(l)(2)(vii)).
6. If applicable to a planned HOME TBRA activity, a description of how the preference for a specific category of individuals with disabilities (e.g. persons with HIV/AIDS or chronic mental illness) will narrow the gap in benefits and the preference is needed to narrow the gap in benefits and services received by such persons. (See 24 CFR 92.209(c)(2)(ii) and 91.220(l)(2)(vii)).
7. If applicable, a description of any preference or limitation for rental housing projects. (See 24 CFR 92.253(d)(3) and CFR 91.220(l)(2)(vii)). Note: Preferences cannot be administered in a manner that limits the opportunities of persons on any basis prohibited by the laws listed under 24 CFR 5.105(a).

Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

See grantee's unique appendices.

2. If the Continuum of Care has established centralized or coordinated assessment system that

meets HUD requirements, describe that centralized or coordinated assessment system.

The Office of Homelessness Prevention and Intervention (HMIS Lead Agency) has produced policies and procedures for the OneDoor Lexington Coordinated Entry (coordinated assessment system). This system provides that any homeless person may enter the system through any organization and be referred for the appropriate level of services. Organizations' staff have been trained on use of the Vulnerability Index Service Prioritization Decision Assistance Tool. Recipients receiving funding from the U.S. Department of Housing and Urban Development's homeless assistance grants participate in the Kentucky Homeless Management Information System operated by the Kentucky Housing Corporation. Lexington has also begun requiring that all agencies receiving local and other public funds (CDBG) for services to the homeless population be compliant with entering data into the HMIS, and agree to participate in a coordinated entry system. The HMIS in Lexington is an "open" system, which means that client data is shared for those individuals who have signed a Release of Information (ROI) form. Lexington currently does not have agencies electing to keep data closed. A truly integrated centralized intake system functions best in an "open" environment that allows users to view important information about other housing and services that a client household may have received. The Central Kentucky Homeless and Housing Initiative (CKHHI) has served as the Fayette County user group for the KYHMIS; however as of May 2015 this role was assumed by the Office of Homelessness Prevention and Intervention. The Director of the Office of Homelessness Prevention and Intervention requires that all subrecipients of general fund emergency shelter dollars participate in the coordinated entry system.

At this time, the lead HMIS agency is working to improve the structure of the system. The following goals have been completed:

- Integrating the By Name List into HMIS to be live and accessible to all licensed users.
 - Confirming all agencies and all funding components within CoC structure.
 - Restructuring all agency funding components for the ability to make multiple referrals to multiple agencies by email and share client/household data for that referral.
 - Restructuring all agency funding components to comply with HUD's APR and CAPER reporting requirements.
 - Conducting joint planning meeting with Kentucky Housing Corporation to establish goals in relation to Lexington Fayette County's homeless system needs.
 - Conducting HMIS user training on new system structures and how to utilize the system for a community solution.
 - Conducting Coordinated Entry training for all CoC members.
3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

For the 2023 Program Year, the Lexington-Fayette Urban County Government (LFUCG) used a public process to solicit applications for funding from the Emergency Solutions Grants program.

Information about regulations and eligible activities along with forms to use and applications were made available at the first public hearing on December 5, 2022. Also included were the criteria that would be used to evaluate projects. Emails and letters were sent to nonprofit agencies serving homeless persons, low-income persons, and minority populations; low-income neighborhood associations; churches in low-income neighborhoods; and Urban County Council members and their staff to inform them of the process and how to request information about funding. Hard copy and electronic documents were mailed, e-mailed, and posted online. The LFUCG website was used to inform the public of the process and timeline. The website included the calendar of events in the process and the phone number to call to request further information and/or assistance. All applications were due on or before January 6, 2023. The criteria used to review and rank applications included applicant capacity, project quality, need for project, and operational feasibility. ESG applications were reviewed and ranked by the staff of the LFUCG Division of Grants and Special Programs. These recommendations were then reviewed and approved by the Chief Administrative Officer and Mayor.

In January 2023, the Director of the Division of Grants and Special Programs consulted with the Office of Homeless Prevention and Intervention, the organizational entity that maintains responsibility for the Lexington/Fayette County Continuum of Care to discuss distribution of funds for the 2023 Emergency Solutions Grants Program. The distribution plan included the use of federal funds for rapid re-housing for homeless persons, for operation of the area's homeless shelters that made applications for funds for operations, and for administration. The Division of Grants and Special Programs will maintain ongoing coordination with the CoC in 2024. Additionally, staff from the Division of Grants and Special Programs participate in CoC meetings.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The LFUCG is governed by a Mayor and Council members elected by popular vote, and therefore cannot be directly compliant with this requirement. The Lexington Office of Homelessness Prevention and Intervention (OHPI) was created in June 2014 with the appointment of a full-time director and the organization of a 13-member Homeless Prevention and Intervention (HPI) Board comprised of elected officials, business leaders, service providers, community advocates, faith-based organizations, and people experiencing homelessness.

The functions of the HPI Board include the following:

- Consider and recommend solutions to specific issues as they arise.

- Consider and advise Director of OHPI on broad matters that impact people experiencing homelessness.
- Review and consider progress on implementation of recommendations made by Mayor's Commission on Homelessness.
- Monitor key indicators and other data to track and understand trends.
- Support OHPI by disseminating activities and reports to respective constituencies and other key stakeholders.
- Establish guidelines for LFUCG funding to support activities that reduce and prevent homelessness.

5. Describe performance standards for evaluating ESG.

The performance standard is to move homeless individuals and families into safe and affordable permanent housing. The Office of Homelessness tracks these measures through HMIS and other reports and shares with the Division of Grants for evaluation of ESG performance.

Rapid Re-housing

The performance standard is to ensure that individuals and families experiencing homelessness move into and maintain safe and affordable permanent housing. The jurisdiction utilizes reports from HMIS and the Office of Homelessness/Continuum of Care to evaluate ESG recipients.

Emergency Shelter

The performance standard is to provide safe emergency shelter services for persons who are homeless, and through case management and referral, assist them with advancing to permanent housing. In addition, shelters are evaluated on average length of stay and returns to homelessness. Documentation of the number of homeless persons moving to permanent housing will be maintained and the Continuum of Care utilizes reports from HMIS to evaluate ESG recipients.

Attachments

Grantee Unique Appendices

RESOLUTION NO. 256 - 2023

A RESOLUTION AUTHORIZING AND DIRECTING THE MAYOR, ON BEHALF OF THE URBAN COUNTY GOVERNMENT, TO EXECUTE AND SUBMIT THE 2023 CONSOLIDATED PLAN/GRANT APPLICATION TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD), TO PROVIDE ANY ADDITIONAL INFORMATION REQUESTED IN CONNECTION WITH THIS GRANT APPLICATION, AND TO ACCEPT THE GRANT IF THE APPLICATION IS APPROVED, WHICH GRANT FUNDS ARE IN THE AMOUNT OF \$3,994,940.00 IN FEDERAL FUNDS, FOR THE FY 2024 COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PROGRAM (\$2,340,927.00), HOME PROGRAM (\$1,449,360.00), AND EMERGENCY SOLUTIONS GRANT (ESG) PROGRAM (\$204,653.00), THE ACCEPTANCE OF WHICH OBLIGATES THE URBAN COUNTY GOVERNMENT TO THE EXPENDITURE OF \$359,611.00 FROM VARIOUS FUNDING SOURCES AS A LOCAL CASH MATCH AND \$145,042.00 AS AN IN-KIND MATCH; AND AUTHORIZING THE MAYOR TO EXECUTE AGREEMENTS FOR THE APPROVED PLAN; AND AUTHORIZING THE MAYOR TO TRANSFER UNENCUMBERED FUNDS WITHIN THE GRANT BUDGET.

BE IT RESOLVED BY THE COUNCIL OF THE LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT:

Section 1 - That the Mayor, on behalf of the Lexington-Fayette Urban County Government, be and hereby is authorized and directed to execute and submit the 2023 Consolidated Plan/Grant Application, which is attached hereto and incorporated herein by reference, to the United States Department of Housing and Urban Development (HUD), to provide any additional information requested in connection with this Grant Application, and to accept this Grant if the application is approved, which Grant funds are in the amount of \$3,994,940.00 in Federal funds, for the FY 2024 Community Development Block Grant (CDBG) Program (\$2,340,927.00), HOME Program (\$1,449,360.00), and Emergency Solutions Grant (ESG) Program (\$204,653.00), the acceptance of which obligates the Urban County Government to the expenditure of \$359,611.00 from various funding sources as a local cash match and \$145,042.00 as an in-kind match.

Section 2 - That the Mayor, on behalf of the Lexington-Fayette Urban County Government, be and hereby is authorized and directed to execute agreements with the entities listed, and for the purposes set forth, in the 2023 Consolidated Plan/Grant Application.

Section 3 - That subject to the provisions of Section 7-48 of the Lexington-Fayette Urban County Government Code of Ordinances, the Mayor is hereby authorized, in her discretion as Chief Executive Officer of the Urban County Government, to transfer the unencumbered balance of any operating or capital account appropriation to another operating or capital account appropriation within the Grant budget established herein.

Section 4 - That this Resolution shall become effective on the date of its passage.

PASSED URBAN COUNTY COUNCIL: May 11, 2023.



MAYOR

ATTEST:



CLERK OF URBAN COUNTY COUNCIL

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FAIR HOUSING AND TITLE VI

Lexington provides funding to the Lexington-Fayette Urban County Human Rights Commission from the Community Development Block Grant Program to conduct fair housing education and outreach efforts, to analyze and eliminate housing discrimination in the jurisdiction, and to promote fair housing choice for all persons in the community. In addition to CDBG funds, LFUCG also provides the commission with \$373,800 in local funding for agency operation.

The most recent Analysis of Impediments to Fair Housing was complete in 2016. The Lexington Fair Housing Council and the Lexington-Fayette County Human Rights Commission are among the agencies that participated in its development.

The AI and associated consultations with stakeholders found that Lexington lacks adequate access to accessible/special needs housing; cost limits housing choice; low-income housing is concentrated in areas with decreased opportunity; and mortgage lending practices reduce homeownership opportunities for racial and ethnic minorities. The cost and availability of land are among the most significant factors in preventing a more integrated housing environment. Households with children are looking for housing in neighborhoods with low crime rates and higher performing schools which tend to have higher-cost housing. This pattern is self-perpetuating. Because of land costs, developers of low-income housing are unable to develop housing in neighborhoods with low crime rates and high performing schools. The LFUCG seeks to address this in its Plan by supporting development of rental units in more diverse areas of the community with access to desirable schools and services.

The jurisdiction revised its Citizens Participation Plan in 2016 to incorporate updates to federal regulations; to provide for more inclusive participation; and to update notification processes. The jurisdiction expanded use of social media, for example. The Citizens Participation Plan may also incorporate elements of Title VI requirements. Public hearings are advertised in media that serves the African American population, but are not translated into other languages.

In 2018, the LFUCG completed development of a Title VI plan that identifies the director of Global Lex as Title VI Coordinator for LFUCG. This individual, the Multicultural Affairs Coordinator, implements the plan. An analysis of LEP populations indicates that approximately 15,000 persons in Fayette County speak English less than “very well.” Spanish and Chinese are the two languages that meet the threshold requirement of 1,000 or more. Individuals who do not speak English “very well” but do speak a language other than Spanish or Chinese also need accommodation. The top 10 foreign languages spoken in Lexington are Spanish, French, Russian, Chinese (Mandarin and Cantonese), Japanese, Vietnamese, Arabic, Swahili and Portuguese. The Title VI Plan considers these languages. LFUCG’s subrecipients of CDBG, ESG, and HOME funds are required to comply with the provisions of Title VI. Most of these subrecipients serve a diverse population, and are able to provide interpreters or use a language line when necessary.

The Department of Social Services also employs a Language Assistance Coordinator at Global Lex. Global Lex assesses the service needs of Lexington’s immigrants, refugees, visitors, and other limited English proficient individuals and supports their civic integration and access to business and services in compliance with Kentucky and federal law. The office provides multilingual assistance, cross-cultural education and links to the international communities to all divisions of the Lexington-Fayette Urban County Government and to the community at-large on request.



November 21, 2022

To Whom It May Concern:

The Lexington-Fayette Urban County Government announces that a Public Hearing will be held on **Monday, December 5, 2022 at 6:00 P.M. in the Council Chambers on the second floor of the Government Center at 200 East Main Street, Lexington, KY 40507.** The purpose of the Public Hearing is to obtain citizen comment on the housing and community development needs of Lexington-Fayette County for development of the Consolidated Plan for submission to the U.S. Department of Housing and Urban Development in 2023. The 2023 Consolidated Plan will contain a one-year action plan that addresses decent housing, a suitable living environment, and expanded economic opportunities for low- and moderate-income residents and will serve as the application for funding from the Community Development Block Grant (CDBG) program, the HOME Investment Partnerships program, and the Emergency Solutions Grants (ESG) program.

Information that will be provided at the public hearing includes a summary of the history of these programs in this community and the range of activities that may be undertaken through the CDBG, HOME, and ESG programs. Estimates of the amount of funds the government expects to receive for the period July 1, 2023 through June 30, 2024, will also be provided.

If you have any questions, or desire additional information regarding this public hearing, please contact Celia Moore, Grants Manager, Grants and Special Programs, 200 East Main Street, 6th Floor, Lexington, KY 40507, 859-258-3072 (TDD 859-425-2563). As of December 5, 2022, information provided at the public hearing, including application forms, will be available on the LFUCG's web page at <https://www.lexingtonky.gov/consolidated-plan>.

If you are a person with a disability that would necessitate assistance so that you might participate in the above listed meeting, please contact Grants and Special Programs at 859-258-3070 (TDD 859-425-2563) as far in advance of the meeting as possible so that arrangements may be made.

Sincerely,

Theresa Reynolds
Director200 East Main St., Lexington, KY 40507 | 859-258-3070 | 859-425-2563 | www.lexingtonky.gov

WYER LINDA GORTON



LEXINGTON

TERESA REYNOLDS
DIRECTOR
GRANTS & SPECIAL PROGRAMS

December 6, 2022

RE: 2023 Annual Action Plan Application Deadline

To Whom It May Concern:

The Lexington-Fayette Urban County Government (LFUCG) solicits applications from organizations requesting funds to carry out Consolidated Plan projects. **Applications for funding for Lexington-Fayette Urban County projects from Community Development Block Grant (CDBG) program, the HOME program, and the Emergency Solutions Grant (ESG) program for period of July 1, 2023 through June 30, 2024 must be submitted by 5:00 p.m., Friday, January 6, 2023 in Neighborly Software.**

These applications will be reviewed for consideration for funding from the 2022 Annual Action Plan that will be submitted to the U.S. Department of Housing and Urban Development. As of December 5, 2022, application and information on these programs became available on the LFUCG's web page at <https://www.lexingtonky.gov/consolidated-plan>.

You may direct questions about the application to Celia Moore, Grants Manager, at (859) 258-3072 or cmoore@lexingtonky.gov between the hours of 8:00 a.m. and 5:00 p.m., Monday through Friday. If you require technical assistance in completing an application, please call for an appointment.

Sincerely,

Theresa Reynolds
Director



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March 6, 2023

To Whom It May Concern:

The Lexington-Fayette Urban County Government announces that a second Public Hearing will be held on **Monday, March 20, 2023, at 6:00 P.M. in the Council Chambers on the second floor of the Government Center at 200 East Main Street, Lexington, KY.** The purpose of the Public Hearing is to obtain citizens' views on the development of the Consolidated Plan one-year Action Plan that is to be submitted by LFUCG to the U.S. Department of Housing and Urban Development and to provide information on housing and non-housing needs of the low- and moderate-income residents of the community.

Information that will be provided at the Public Hearing includes:

- the amount of federal assistance that LFUCG expects to receive from the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grants (ESG) programs for federal program year 2023 and plan to address any differences in the final allocation amounts,
- the range of activities which may be undertaken through these programs, including the amount that will benefit persons of low and moderate income and information on the proposed priorities, and
- the proposed activities to be undertaken in the one-year action plan for the period July 1, 2023 through June 30, 2024.

If you have any questions, or desire additional information regarding this public hearing, please contact the Division of Grants and Special Programs, 200 East Main Street, 6th Floor, Lexington, KY 40507, 859-258-3072 (TDD 859-425-2563). You may also submit your questions via email: omoor@lexingtonky.gov. Information concerning the Consolidated Plan process is available on the LFUCG's web page at <https://www.lexingtonky.gov/consolidated-plan>.

If you are a person with a disability that would necessitate assistance so that you might participate in the above listed meeting, please contact Division of Grants and Special Programs at 859-258-3070 (TDD 425-2563) as far in advance of the meeting as possible so that arrangements may be made.

Sincerely,

Theresa Reynolds
Director



200 East Main St., Lexington, KY 40507 | 859-258-3070 | TDD: 859-425-2563 | Email: lexingtonky.gov



**DRAFT 2023 Consolidated Plan and Annual Action Plan
April 14, 2023**

The Lexington-Fayette Urban County Government (LFUCG) announces that as of Friday, April 14, 2023, the DRAFT 2023 Consolidated Plan will be available for review by interested parties. The purpose of the Consolidated Plan is to serve as a planning document for the LFUCG's participation in the U.S. Department of Housing and Urban Development's community planning and development programs. The overall goal of these programs is to develop viable urban communities by providing decent housing and a suitable living environment and expanding economic opportunities for low and moderate-income persons.

The 2023 Action Plan is a one-year plan that provides for the allocation of federal funding from:

- the Community Development Block Grant (CDBG) program in the amount of \$2,340,927
- program income from the CDBG program in the amount of \$175,000
- federal funding in the amount of \$1,449,360 from the HOME program
- \$300,000 in local cash match for the HOME program
- \$100,000 program income from the HOME Program and
- \$204,653 in federal funding from the Emergency Solutions Grants (ESG) program.

The annual action plan provides for these funds to be expended on public improvements, housing rehabilitation, public services, first time homebuyer program, rehabilitation and new construction of rental units, rapid rehousing and prevention, operational support for emergency and transitional housing, and planning and administration activities. The one-year period is from July 1, 2023, to June 30, 2024.

As of Friday, April 14, 2023, copies of the Consolidated Plan will be available for public in the office of Grants and Special Programs, Lexington-Fayette Urban County Government, 200 East Main Street, 6th floor, between the hours of 8:00a.m. – 5:00p.m., Monday through Friday or online at <https://www.lexingtonky.gov/consolidated-plan>. A reasonable number of free copies may be obtained from Grants and Special Programs. If information is needed in languages other than English, please contact the Division of Grants and Special Programs at 859-258-3070 (TDD 425-2563).

Citizen comments will be accepted for a period of 30 days, Monday, April 14, 2023 through 5:00 p.m., Monday, May 15, 2023. Please address comments to the attention of Celia Moore, Grants Manager, Grants and Special Programs, LFUCG, 6th Floor, 200 East Main Street, Lexington, KY 40507. You may also submit your comments via email: cmoore@lexingtonky.gov. Information concerning the Consolidated Plan process is available on the LFUCG's web page at <https://www.lexingtonky.gov/consolidated-plan>.



OFFICE OF THE CLERK, LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT, 200 EAST MAIN STREET, LEXINGTON, KY 40507

PROCESS USED TO RECOMMEND PROJECTS FOR FUNDING
2023 ONE-YEAR ACTION PLAN
CONSOLIDATED PLAN

For the 2023 Program Year, the Lexington-Fayette Urban County Government (LFUCG) used a public process to solicit applications for funding from the Community Development Block Grant program, the HOME Investment Partnerships program, and the Emergency Solutions Grants program.

At the first Public Hearing on December 5, 2022, information on regulations and eligible activities along with forms to use were made available. Also included were the criteria that would be used to evaluate projects. Letters and emails were sent to nonprofit agencies serving homeless persons, low-income persons, and minority populations, low-income neighborhood associations, churches in low-income neighborhoods, and to Urban County Government council members and their staff was conducted to inform them of the process and how information on requesting funding could be obtained through such as paper copies mailed from the government agency, email, and completing application through Neighborly Software from the LFUCG web page. The LFUCG website was also used to inform the public of the process that would be followed. The website included the calendar of events in the process and the phone number to call to request further information and/or assistance.

All applications were due on or before January 6, 2023. The criteria used to review and rank applications included applicant capacity, project quality, need for project, and operational feasibility. CDBG public facilities, public improvements, and housing applications along with ESG, and HOME applications were reviewed and ranked by the staff of the LFUCG Division of Grants and Special Programs. Applications recommended for funding were reviewed based upon applicant capacity, project quality, need for project, and operational feasibility. These recommendations were then reviewed and approved by the Chief Administrative Officer and Mayor's staff.

A Second Public Hearing was conducted on March 20, 2023. The draft plan was published on April 14, 2023. Final decision on funding rests with the Urban County Council. During the expiration of the 30-day public comment period that ends on May 15, 2023, the Council reviews the plan for adoption at its meetings on April 27th and May 11th.

HUD ANNUAL ACTION PLAN 2023

Proposed Projects & Applications Received

FEDERAL		PROGRAM INCOME	TOTAL REVENUE		
CDBG	\$2,340,927	\$175,000	\$2,515,927		
			Recommended Award	Previous Year	Requested Amount/Need
Administration and Planning: (limit of 20% of award):					
Administration: Grants & Special Programs Staff, Other expenses		14%	\$315,529	\$315,529	\$315,529
Human Rights Commission- Education and Outreach			\$50,000	\$50,000	\$50,000
Infrastructure:					
Meadows/Northland/Arlington			\$1,097,615	\$1,000,000	\$1,097,615
Housing:					
Housing Rehabilitation Operations/Staff			\$350,000	\$350,000	\$350,000
Housing Rehabilitation, owner-occupied,			\$333,783	\$386,581	\$386,581
Housing Rehabilitation, Emergency Repair			\$150,000	\$100,000	\$100,000
CAC Home Repair and Rehabilitation may increase award amount			\$10,000	\$10,000.00	\$90,000
Supportive Services: (limit of 15% of award):					
Arbor Youth Services- youth services - Outreach Center			\$50,000	\$49,919	\$68,809
New Beginnings Housing Navigator			\$54,000	\$54,000	\$54,000
Police Activities League - Year 20			\$25,000	\$25,000	\$106,250
Radio Eyes			\$30,000	\$30,000	\$30,000
Repairs Lexington - E7 Kids Cafe			\$20,000	\$0	\$20,000
Moveable Feast Lexington			\$30,000	New	\$30,000
SERVICES MAXIMUM		\$ 377,389	Total Services:	\$209,000	
TOTAL AWARDS RECOMMENDED				\$2,515,927	
TOTAL CDBG FUNDS REQUESTED				\$0	\$2,704,784

FEDERAL		PROGRAM INCOME	REQUIRED MATCH	TOTAL REVENUE		
HOME	\$1,449,360	\$100,000	\$300,000	\$1,849,360		
\$ (1,449,360.00)				Recommended Award	Previous Year	Requested Amount/Need
Homeownership						
REACH, Inc.			\$100,000	\$100,000	\$100,000	
Lexington Habitat for Humanity			\$75,000	\$50,000	\$75,000	
Administration						
Division of Grants and Special Programs			\$84,239	\$84,239	\$84,239	
REACH Admin for Homebuyer Program			\$10,000	\$10,000	\$10,000	
Fayette County Local Development Corporation CHDO Operation Grant			\$0	\$20,000	\$20,000	
Reach CHDO Operation Grant			\$20,000	\$20,000	\$20,000	
CHDO - Rental Housing Development						
Fayette County Local Development Corporation			\$0	\$50,000	\$200,000	
Tenant Based Rental Assistance						
AVOL Kentucky			\$ -	\$ 36,850.00	\$ 36,850.00	
CHDO - Homebuyer						
REACH CHDO			\$420,000	\$317,000	\$420,000	
(CHDO required 15% minimum of federal award)						
Housing Rehab						
LFUGG Single Family Housing Rehab			\$415,121	\$376,437	\$376,437	
Rental Housing Development*						
Au Associates			\$725,000	\$812,574	\$725,000	
TOTAL AWARDS RECOMMENDED			\$1,849,360			
TOTAL REQUESTS FOR HOME			\$0			\$2,067,526

FEDERAL		PROGRAM INCOME	REQUIRED MATCH	TOTAL REVENUE							
ESG	\$204,653	\$0	\$204,653	\$409,306							
						Previous Year	Requested Amount/Need				
Rapid Rehousing - Arbor Youth Services		Federal	\$ 73,908.00	LFUGG Match	\$51,937	Agency Match	\$21,971	Total	\$147,816	\$81,102	\$154,821
Shelter Operations: Hope Center for Men		\$ -		\$0		\$0		\$0	\$0	\$0	\$90,000
GreenHouse17		\$ 50,000.00		\$50,000		\$100,000		\$46,827	\$50,000	\$46,827	\$50,000
Arbor Youth Services 16-24		\$ 73,071.00		\$73,071		\$146,142		\$69,827	\$71,471	\$69,827	\$71,471
Arbor Youth Services Minors		\$ -		\$0		\$0		\$0	\$115,534	\$0	\$115,534
CAC Street Outreach		\$ -		\$0		\$0		\$0	\$124,430	\$0	\$124,430
These agencies provide their own match.											
Administration-LFUGG		\$ 7,674.00		\$7,674		\$0		\$15,348		\$7,763	\$7,763
TOTAL AWARDS RECOMMENDED				\$59,611	\$145,042	\$409,306		\$0			\$603,819
TOTAL REQUESTS FOR ESG											

TOTAL AWARDS RECOMMENDED (CDBG, HOME, ESG)

\$4,774,593



LEXINGTON

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
HOME FIRST TIME HOMEBUYER ASSISTANCE
POLICIES
Effective July 1, 2019**

INTRODUCTION

The Lexington-Fayette Urban County Government (LFUCG) will make HOME funds available to nonprofit corporations for the purpose of developing and operating first-time homeownership programs for low-income households. The government's primary objective in using part of its HOME allocation for first-time homeownership programs is to increase the number of affordable housing units in the community and to increase the opportunities for homeownership for low-income families in Fayette County. In general, the LFUCG will provide HOME funds to approved nonprofit organizations for the purpose of purchasing or rehabilitating existing single-family houses; new construction of single family houses which will be marketed to eligible and qualified first-time homebuyers; and direct assistance to first-time homebuyers for the purchase of new or existing single-family houses. Assistance will be provided to eligible homebuyers under the recapture option.

These guidelines will serve as operational parameters within which nonprofit organizations can receive HOME funds and provide financial assistance to first-time homebuyers. Hereinafter in this document, nonprofit organizations may be referred to as grantor agencies. In addition to these guidelines, all projects funded under the HOME Investment Partnerships Program are subject to the regulations codified at 24 CFR Part 92.

STATEMENT OF INTENT

The content of this document establishes the operating parameters for all Lexington-Fayette Urban County Government HOME funded first time homebuyer programs. It is the intent of the Lexington-Fayette Urban County Government to provide in each homebuyer case the smallest subsidy necessary to make the purchase possible. As the Lexington-Fayette Urban County Government provides funds for the first time homebuyer programs of several organizations, it is the responsibility of each these organizations to attract other public and private funds and to minimize the use of HOME funds.

FAIR HOUSING

LFUCG administers a variety of HUD programs and is required to affirmatively further the purposes and policies of the Fair Housing Act, which is Title VIII of the Civil Rights Act of 1968. The Fair Housing Act not only prohibits discrimination, but in conjunction with other statutes, directs LFUCG to take steps to proactively overcome historic

Page 1 of 10

patterns of segregation, promote fair housing choice, and foster inclusive communities for all.

Under Consolidated Plan regulations, LFUCG is required to undertake fair housing planning, which consists of the following: 1) an Analysis of Impediments (AI) to fair housing choice; 2) actions to address the identified impediments; and 3) maintenance of records to support the affirmatively furthering fair housing certification.

The Affirmative Marketing Plan is part of the Consolidated Plan which may be viewed on the LFUCG website at www.lexingtonky.gov.

ELIGIBLE FIRST-TIME HOMEBUYERS

All Applicants for homebuyer assistance must meet the following criteria:

- Be a first time homebuyer (Borrower cannot currently own or have owned a home for at least the last three years).
- Must occupy the home as its principal residence throughout the period of affordability.
- Must not have at any time in the past received HOME funding for homebuyer assistance as provided by the LFUCG.
- Must have completed 8 hours of pre-purchase counseling and homebuyer education through a HUD-certified counseling agency as evidenced by a Certificate/Letter of Completion dated within the previous 12 months at time of closing.
- Annual household income, as calculated by HUD regulations at 24 CFR Part 5, must not exceed 80% of the Area Median Income limit for the Lexington-Fayette MSA. The purchasing household must be low income at the time the HOME funds are invested. Verification of income is required and is good for a period of six months.

Funds are available for any low-income family that has been a resident of the Fayette County Metropolitan Service Area for one (1) year which includes: Bourbon, Clark, Fayette, Jessamine, Scott and Woodford Counties. The assisted unit must be located in Fayette County.

ELIGIBLE ACTIVITIES

- New Construction
- Acquisition and rehabilitation of existing vacant single-family residential structures
- Acquisition of an existing unit that meets Housing Quality Standards and local property maintenance code

Nonprofit organizations may use HOME funds to purchase and rehabilitate properties whose after-rehab appraised value is less than actual costs of production only with prior written approval from the Division of Grants and Special Programs. In cases where the

costs for acquisition and rehabilitation are greater than 120% of the after-rehab fair market value, the grantor agency shall document the reasons for excess costs and obtain written permission of the Lexington-Fayette Urban County Government's Division of Grants and Special Programs before proceeding with the project.

The Lexington-Fayette Urban County Government's Division of Grants and Special Programs will evaluate on a case-by-case basis each project in which costs are expected to exceed 120% of the fair market value of the property to determine an acceptable level of excess costs. The LFUCG Division of Grants and Special Programs will consider such factors as location, size, and environmental factors (Examples are presence of lead-based paint and lead-based paint hazards, presence of asbestos and historic preservation requirements) in its evaluation of each property.

INELIGIBLE ACTIVITIES

- Luxury improvements or improvements that exceed the neighborhood standard.
- Reconstruction of a unit that was not standing at least 12 months prior to the commitment of HOME funds.
- Land acquisition or demolition that does not result in a unit of affordable housing.

ELIGIBLE PROPERTIES

The property must be located in Fayette County. The value of an existing home or after-rehabilitation value may not exceed 95 percent of the area median purchase price for single family housing as determined by HUD. The value of a new home may not exceed 95 percent of the median purchase price for the area based on Federal Housing Administration (FHA) single-family mortgage program data for newly constructed housing. Both of these limits will be updated annually by program staff as they are released by HUD. All properties must be appraised by a state licensed or certified appraiser in the Commonwealth of Kentucky prior to purchase.

PROPERTY STANDARDS

New construction of single-family units (units that were constructed during the past 12 months) shall comply with the building codes adopted by the LFUCG Division of Building Inspection. Effective January 1, 2019, the 2018 KRC (2015 IRC with 2018 Kentucky Amendments) applies to construction of single-family residential buildings.

An existing housing unit (12 months or older) must meet the Section 8 Housing Quality Standards and the local property maintenance code. In addition, a home inspection is required for all existing homes, by a state licensed inspector. If the property requires rehabilitation, then LFUCG Building codes apply as well as rehabilitation standards adopted by the LFUCG Division of Grants and Special Programs.

The property type must be a single-family property (one unit detached) and deeded as

such. Condominiums, townhomes, and duplexes will not be considered.

FORM OF OWNERSHIP

For the purposes of the HOME program, homeownership means ownership in fee simple title.

PERIOD OF AFFORDABILITY

The HOME rule at §92.254(a)(3) requires that all HOME-assisted homebuyer housing be acquired by an eligible low-income family, and the housing must be the principal residence of the family throughout the period of affordability.

The following table outlines the required minimum affordability periods.

If the total HOME investment or direct subsidy in the unit is:	The period of affordability is:
Under \$15,000	5 years
Between \$15,000 and \$40,000	10 years
Over \$40,000	15 years

The mortgage documents and/or deed restrictions must include language detailing the affordability period and the recapture provisions.

AFFORDABILITY CRITERIA

All applicable ratios noted below are based on the Income of the qualifying applicant for a 30 year conventional or government subsidized mortgage (FHA, VA), the only types of mortgages allowed under this program. If the qualifying applicant is married, the income of both spouses will be included in determining the ratios even if only one applicant is the qualifying applicant. The monthly expenditure for Principal, Interest, Taxes, and Insurance (PITI) shall not be less than the percentage of gross household income indicated in the table below and shall not be more than 33% of the gross income at the time of loan approval. All applicants should only be provided gap financing based on the maximum amount of mortgage they can repay.

If the homebuyer receives a Housing Assistance Payment (HAP) from HUD's Section 8 Housing Program, the minimum percentages are not applicable for PITI.

Family Size	Minimum PITI %
1	20%
2	19%
3	18%
4	17%
5	16%
6	15%
7	14%
8	13%

The first-time homebuyer may not commit more than 41% of their gross income for total long-term debt. Long-term debt is defined as debt exceeding repayment for more than 6 months or debt with an outstanding balance of more than \$3,000. The homebuyer will be required to commit to the financing of the purchase of the home all cash reserves, to include checking and savings accounts, cash on hand, and certificates of deposit, which is in excess of six months of PITI unless pre-approved by the Division of Grants and Special Programs. There is no requirement that first-time homebuyers remain low income after they purchase the property. Increases or decreases in gross income or changes in PITI do not affect the eligibility of a family outside of the six-month period noted in the section on homebuyer eligibility. If homeowner association fees are required, then these will be considered as housing costs and counted along with PITI.

All variances in calculating the required percentages noted above must have prior written approval from the Division of Grants and Special Programs.

Borrower Criteria and Credit Worthiness

Of all criteria used to evaluate the credit worthiness and capacity of a low- and moderate-income borrower, the individual borrower's credit must be the driving determinant factor. Each household will be evaluated on a case-by-case basis in order to determine credit-worthiness.

Income must have a history, be verifiable in the present and be able to be relied upon in the future. Income history must at least cover a twelve-month period. It must be verifiable in writing through traditional sources. Tax returns are only acceptable verification for self-employed persons.

Definition of Household Size and Members: The Household is comprised of ALL individuals who will be occupying the home over the next or upcoming 12 months. The following individuals are not counted as part of the household: foster children, unborn children, children who live with the applicant (parent) less than 50% of the time, children being pursued for legal custody or adoption who are not yet living with the household at the time of application, and non-family caretakers. A child who resides with the household at least 50 percent of the time and receives over 50% of the care from the applicant, can be counted as a household member.

FORMS OF SUBSIDY

- A. *Principal Reduction.* In order to make the monthly carrying cost of PITI affordable to the homebuyer, the Urban County Government will allow HOME funds to be used by the grantor agencies to subsidize units by providing "gap" financing or principal reduction to make up the difference between the market value of the property and a sales price that renders the monthly PITI affordable. A **second** mortgage shall be placed upon the property by the grantor agency or the Lexington-Fayette Urban County Government. The second mortgage shall provide for the **RECAPTURE** of the HOME subsidy in the event that the homebuyer sells the property, is foreclosed upon by another mortgagee, or ceases to occupy the property as the principal residence.
- B. *Amount of Subsidy.* For eligible first time home buyers below 80% of median income, the maximum home purchase subsidy may be \$20,000 for 1 person households, \$25,000 for households of 2 and \$30,000 for households of 3 or more if needed. The HOME subsidy may be used to fund acquisition costs up to 106% of fair market value to include eligible closing costs. The minimum HOME subsidy cannot be less than \$1,000. Subsidy amounts are to be calculated utilizing the HOME Downpayment Assistance Underwriting Template provided by Grants & Special Programs.
- C. *Development Subsidy.* In the event the total development costs exceed the appraised value, the house may not sell for more than the appraised value. The difference between the total development costs and the appraised value is development subsidy. LFUCG permits eligible grantor agencies to use HOME funds as development subsidy with a minimum of \$1,000 provided as a direct subsidy to the homebuyer. Eligible grantor agencies will be required to submit documentation to LFUCG to support the necessity of a development subsidy. This may include but is not limited to an appraisal and a detailed final cost breakdown.

TERMS

The buyer will be required to invest at least \$500 in cash at the time of closing. The remaining funds needed for closing costs, down payment, or principal reduction will be provided in the following forms:

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- 1) 2% repayable loan for homebuyers between 71% and 80% of median income with a term of 20 years.
- 2) 1% repayable loan for homebuyers between 61% and 70% of median income with a term of 20 years.
- 3) A non-repayable loan for homebuyers at or below 60% of area median income with a term of 20 years. Beginning in year 11, 10% of the loan amount will be forgiven each year.

The monthly repayment amount for any repayable HOME loan will be included in the cap of 33% of gross income for PITI. The Urban County Government will take loan servicing responsibility for repayable loans in the form of receiving and documenting payments, unless otherwise approved in a written agreement. The requirements of private lenders and government regulations must prevail if the terms of the direct loan would violate said requirements and regulations. Any deviations from the terms stated above will require justification and prior approval from the Division of Grants and Special Programs.

SUBORDINATION

The grantor agency may provide for subordination of the mortgages(s) securing the HOME subsidy only if as identified: (1) if there is no change in the government's mortgage position and total encumbrances do not exceed 106% of the appraised value of the property; (2) if there is a change to the government's position, the new position is not lower than third position; total encumbrances do not exceed 90% of the appraised value (appraiser subject to approval by the LFUCG); the total long-term debt as defined previously does not exceed 41% of household income; and the reason for the subordination is capital improvements for the subject property. The government reserves the right to decline the subordination requests if the terms of the new mortgage are determined to negatively impact the homeowner's financial position.

VIOLATION OF RESTRICTION ON PRINCIPAL RESIDENCE

In the event that the homebuyer ceases to occupy the HOME-subsidized unit as his or her principal residence during the required period of affordability, the Mortgagee shall provide for foreclosure of the mortgage which secures the HOME subsidy.

INSURANCE REQUIREMENTS

Grantor agencies are responsible for ensuring that HOME units are properly insured during the construction/rehab process. Grantor agencies should also ensure through mortgages that the assisted property remains insured throughout the affordability period. At a minimum, the policy must be in an amount sufficient to cover the HOME investment. If HOME is subordinate to another mortgage, the policy must cover both mortgages. For all home buyer units, either the LFUCG or the grantor agency must be listed as a loss payee on the insurance policy. LFUCG shall contract with a loan

Page 7 of 10

servicing agency that has the capacity to purchase forced placed insurance in the event that homeowner fails to maintain coverage.

SECURITY

All properties developed under a HOME-assisted first-time homebuyer program, whether or not the first-time purchaser receives a repayable or non-repayable loan as described above, will be considered to be HOME-assisted and therefore are subject to all HOME restrictions.

All HOME restrictions regarding the period of affordability and use of property as principal residence during the period of affordability shall be included in the loan agreement, mortgage, and mortgage note, made in favor of the grantor agency or the Lexington Fayette Urban County Government; however, if the unit only receives a Developer's subsidy, then a recorded Deed of Restriction is also required.

The Lexington Fayette Urban County Government must approve all loan agreements, mortgages, mortgage notes, and deed of restrictions (if applicable) for properties assisted under the provisions of these guidelines prior to a grantor beginning a program.

If and when possible, the LFUCG will encourage participating private lenders to include all pertinent HOME requirements in the loan and mortgage documents for the private financing.

ASSUMABILITY OF SUBSIDY

No HOME subsidy mortgage may be assumed during the period of affordability.

USE OF RECAPTURED HOME SUBSIDY

Any HOME funds recaptured by the grantor agency under the provisions of these guidelines shall be returned to the Lexington-Fayette Urban County Government within ten days of receipt, unless otherwise noted in a written agreement.

PROGRAM INCOME

All program income must be reported and returned to the LFUCG within 10 days of receipt, unless otherwise noted in a written agreement.

REPORT TO THE LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

The grantor agency shall report all pending property sales, all pending foreclosures (pertaining to events during the period of affordability), and violations of restrictions on use of HOME-assisted properties as principal residences of homebuyers to the Lexington-Fayette Urban County Government within five working days of their knowledge of the action.

HOME RELOCATION REQUIREMENTS

A HOME-assisted homeownership project is subject to relocation requirements under the Uniform Relocation Act (URA). In order to avoid the costs of relocation and to avoid the displacement of persons, the Urban County Government requires that nonprofit organizations purchase only vacant properties or properties which are owner-occupied and are being publicly marketed. An owner-occupant who sells a property is not eligible for relocation assistance under the URA as long as the seller, prior to sale, is informed in writing: (1) of the fair market value of the property; and (2) that the buyer does not have the power of eminent domain and, therefore, will not acquire the property if negotiations fail to result in an amicable sales agreement. The nonprofit will be required to document all steps of the acquisition. If vacant units are purchased, the government will evaluate whether or not the seller removed tenants in order to sell a "vacant" building.

CONFLICT OF INTEREST

All grantor agencies must establish a Conflict of Interest policy that adheres to 24 CFR Part 84.84, and 2 CFR 200, as applicable. Potential conflicts of interest may arise from many situations. Some of the more common examples are:

- Requests for program assistance from employees, families of employees or board members, or families of board members of recipients or sub-recipients
- Recipients or sub-recipients contracting with or procuring materials from employees, families of employees or board members, or families of board members of recipients or sub-recipients.

All HOME grantor agencies are responsible for identifying situations in which a conflict of interest, whether real or perceived, may exist. If a conflict of interest is identified, the grantor agency must seek an exemption before proceeding with the project.

LEAD-BASED PAINT (For all pre-1978 units)

Per 24 CFR Part 35, sellers must disclose to the purchaser or lessee the presence of any known lead-based paint and/or lead-based paint hazards; provide available records and reports; provide the purchaser or lessee with a lead hazard information pamphlet; give purchasers a 10-day opportunity to conduct a risk assessment or inspection; and attach specific disclosure and warning language to the sales or leasing contract before the purchaser or lessee is obligated under a contract to purchase or lease target housing.

All grantor agencies shall ensure that these regulations are followed.

APPLICATION FOR HOMEOWNERSHIP ASSISTANCE

Each grantor agency must develop and use a standard program application form. Each household must complete the standard application form. If necessary, the grantor agency will provide assistance in preparing the form, especially to the elderly, handicapped, limited-English speaking persons and persons who are unable to read and/or write. A Uniform Residential Loan Application is not considered a program application and cannot be used as such under this program.

Each grantor agency shall comply with Title VI of the Civil Rights Act, ensuring that no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance. Each grantor agency shall implement a language assistance program for persons who have limited English proficiency.

HOME INCOME LIMITS

Annual household income must be calculated based on HUD regulations at 24 CFR Part 5 and compared to the most recent HUD Income limits based on HUD estimates of median family income, with adjustments based on family size.

BENEFICIARY AGREEMENT

All prospective first-time homebuyers will receive counseling on the obligations, terms, and conditions associated with a HOME funded property. This counseling will cover how the first-time homebuyer program works and require the potential homebuyer to sign acknowledgement of program guidelines.

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F:\WP51\HCD\HCDGEN\fhbguide803.doc
F:\WP51\HCD\HCDGEN\fhbguide804.doc
Revised March 2007
Revised October 2007
Revised February 2008
Revised May 9, 2008
Revised March 2010
Revised April 28, 2011
Revised April, 25, 2013
Revised February 25, 2014
Revised May 2016
Revised July 2019

07. Sample Homebuyer

HOME Investment Partnerships Program Downpayment Assistance Underwriting Template

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

Project Information	
Project Name:	Joe Smith
Address:	124 Fake Street
Developer:	REACH
Date of Analysis:	5/16/2019
City:	Lexington
State:	Kentucky
Development Type:	Down Payment Assistance/First Time Homebuyer

INSTRUCTIONS FOR USE
<p>Related materials are available on HUD's HOME program page on the HUD Exchange: https://www.hudexchange.info/programs/home/</p> <p>The template is intended to assist in planning single family -- not multi-family -- affordable housing. This template is for HOME <u>Homebuyer</u> assistance only; this template does not cover HOME assistance <u>to a developer</u>.</p> <p>Complete one copy of this workbook for <u>each</u> buyer who will receive HOME Homebuyer assistance.</p> <p>The U.S. Department of Housing and Urban Development and ICF International assume no liability for the use, functionality, or content of this template. This template is for draft calculations only. All inputs, outcomes, and calculations should be independently verified.</p> <p>Cells for data entry have a light green background. Key outputs have a light yellow background; generally these are the "punch lines" -- the most important items on the worksheet. Do not change formulas within the template, as many of them track to other formulas. The formula cells are protected; the password is "HOME" (case sensitive).</p>

07. Sample Homebuyer

Buyer Information for Joe Smith

Buyer Name

Buyer Income

	Monthly	Annual
Income for Lender Underwriting	\$2,513.33	\$ 30,159.96
Income for HOME Eligibility*	\$2,513.33	\$ 30,159.96

* If PI can choose one of the following two definitions of income when calculating income for HOME Eligibility purposes:

1. Annual income as defined in 24 CFR 5.609, referred to as "Net 5 annual income"; and
2. "Adjusted Gross Income" as defined for reporting purposes under the IRS Form 1040 (or form that IRS Forms 1040A or 1040EZ).

For HOME Underwriting purposes, the PI must use the HOME definition of income.

Buyer Debts

	Monthly Payments	Annual Payments
Car payment(s)	\$109.00	\$3,200.00
Credit card payment(s)	\$125.00	\$1,500.00
Student loan(s)	\$25.00	\$300.00
Other(s) (with more than 12 remaining payments)	\$0.00	\$0.00
Total Buyer Payments on Existing Debts	\$259.00	\$3,000.00

Mortgage Rate and Term

	Explanation	Input
Mortgage Interest Rate		4.000%
Mortgage Insurance Premium Rate		0.000%
Mortgage Term (Years)		30.00

Affordability Ratios

	Explanation	Input Percentage	Maximum Monthly Payment (PITI)
Front-End Affordability Ratio		33.0%	\$ 829.40
Back-End Affordability Ratio		41.0%	\$ 927.97

Maximum Monthly Mortgage Payment (PITI) Affordable to Buyer

	Explanation	Input
Property Taxes		\$90.00 per month
Hazard Insurance		\$79.00 per month
Monthly Mortgage Insurance Premium		\$0.00 per month
Other Monthly Payments on the Home		\$0.00 per month
Maximum Monthly Mortgage Payment (PITI) Affordable to Buyer	Maximum Monthly Payment (PITI) above, minus Property Taxes, Hazard Insurance, MIP and Other Monthly Payments	\$669.40 per month

Calculated Maximum Mortgage Amount

\$140,213.00

This is the maximum mortgage amount the buyer can afford, based on the Affordable Monthly Mortgage Payment (PITI) above and based on the Mortgage Interest Rate, MIP Premium Rate, and Mortgage Term listed above.

Actual Mortgage Amount

\$120,000.00

This is the actual mortgage amount offered by the lender (should not be greater than the calculated maximum mortgage amount).

User Comment on Affordable First Mortgage Loan Amount

Buyer Closing Costs for Joe Smith

Note: the purchase price (entered on the Buyer Financing worksheet) is \$140,000

Settlement Charges to Borrower (enter charges as positive amounts, credits as negative amounts)

	Explanation	% of Purchase Price	Dollar Amount	Amount
700. Real Estate Broker Fee		0.00%		\$0.00
801. Loan Origination Fee		1.00%		\$1,400.00
802. Points		0.00%		\$0.00
804. Appraisal Fee			\$400.00	\$400.00
805. Credit Report Fee			\$25.00	\$25.00
806. Tax Service Fee			\$20.00	\$20.00
807. Flood Certification Fee			\$15.00	\$15.00
808. Other Loan Related Fee				\$0.00
809. Other Loan Related Fee				\$0.00
901. Prepaid Interest				\$0.00
902. Initial Mortgage Insurance Premium				\$0.00
903. Homeowner's Insurance Premium				\$0.00
904. Home Inspection Fee			\$300.00	\$300.00
905. Other Prepaid Item				\$0.00
1002. Initial Escrow (H/O Insurance)				\$0.00
1003. Initial Escrow (Mtg Insurance)				\$0.00
1004. Initial Escrow (R/E Taxes)				\$0.00
1005. Other Initial Escrow Deposit				\$0.00
1101. Title Services				\$0.00
1102. Settlement Fee / Closing Fee				\$0.00
1103. Owner's Title Insurance				\$0.00
1104. Lender's Title Insurance				\$0.00
1105. Other Title Related Charge				\$0.00
1201. Government Recording Charges				\$0.00
1203. Transfer Taxes				\$0.00
1204. City / County Tax / Stamps				\$0.00
1205. State Tax / Stamps				\$0.00
1206. Other Recording / Xfr Charge				\$0.00
1301. Application Fee				\$0.00
1302. Other Settlement Charge				\$0.00
1303. Other Settlement Charge				\$0.00
1304. Other Settlement Charge				\$0.00

Total Settlement Charges to Borrower \$2,160.00

Adjustments for Items Paid by Seller in Advance (enter as positive amounts)

	Explanation	% of Purchase Price	Dollar Amount	Amount
106. City/town taxes				\$0.00
107. County taxes				\$0.00
108. Assessments				\$0.00
109. Other Adjustment				\$0.00

Total Adjustments for Items Paid by Seller in Advance \$0.00

Adjustments for Items Unpaid by Seller (enter as positive amounts)

	Explanation	% of Purchase Price	Dollar Amount	Amount
210. City/town taxes				\$0.00
211. County taxes				\$0.00
212. Assessments				\$0.00
213. Other Adjustment				\$0.00

Total Adjustments for Items Unpaid by Seller \$0.00

Buyer Financing for Joe Smith

Purchase Price of Home	\$140,000.00
Buyer Funds for Upfront Costs	\$500.00

Downpayment

Explanation	Input
Minimum Required Downpayment (% of purchase price)	0.38%
Minimum Required Downpayment (\$, calculated)	\$530.00
Actual Downpayment (\$)	\$500.00

Upfront Costs (Downpayment and Closing Costs)

Explanation	Amount
Downpayment	\$500.00
Settlement Charges to Borrower	\$2,100.00
Adjustments for Items Paid by Seller in Advance	\$0.00
Adjustments for Items Unpaid by Seller	\$0.00
Total Upfront Costs	\$2,600.00
Homebuyer Funds Available	\$500.00
Upfront Funding Excess (Gap)	(\$2,100.00)

Private Lender Mortgage

Explanation	Input
Mortgage Principal Amount (Calculated)	Lesser of (1) Maximum Mortgage or (2) Sales Price minus Required Downpayment
Mortgage Principal Amount (Actual)	\$139,500.00
Mortgage Interest Rate	4.000%
Mortgage Insurance Premium Rate	0.000%
Mortgage Amortization Term	30.00
Calculated Monthly Principal and Interest Payment	\$665.99
Actual Monthly Principal and Interest Payment	\$665.99

Actual Monthly Payments (PITI)

Explanation	Amount
Mortgage Principal and Interest (P, I, and any MIP)	\$665.99
Property Taxes	\$0.00
Homeowner Insurance	\$70.00
Private Mortgage Insurance	\$0.00
Other Monthly Payments on the Home	\$0.00
Total Monthly Payments	\$735.99

Total Funding Gap

Explanation	Amount
Sales Price	\$140,000.00
Settlement Charges to Borrower	\$2,100.00
Adjustments for Items Paid by Seller in Advance	\$0.00
Adjustments for Items Unpaid by Seller	\$0.00
Private Mortgage	(\$139,500.00)
Funds Available for Upfront Costs	(\$500.00)
Total Funding Excess (Gap)	(\$2,100.00)

07. Sample Homebuyer

Buyer HOME Assistance for Joe Smith

Assistance Needed	Explanation	Amount
Sale Price	Purchase price offered by buyer.	\$120,000.00
Closing Costs and Points	Settlement charges and adjustments (see Buyer Financing sheet)	\$2,100.00
Private Mortgage	Mortgage amount made available by lender.	(\$120,500.00)
Funds Available for upfront Costs	Homebuyer funds available for upfront costs and downpayment	\$500.00
	Total Funding Excess (Gap)	(\$2,100.00)

HOME Assistance Method and Amount	Explanation	Input
Method (Select from drop-down)	Method by which assistance is made available.	Amortizing Loan
Amount	Amount of HOME assistance made available.	\$21,600.00
	Revised Total Funding Excess (Gap)	\$21,600.00

Amount of HOME assistance provided to reduce the revised gap to zero.

HOME Assistance Business Terms

HOME Assistance is provided as: Amortizing Loan

	Explanation	Input
HOME Assistance Amount	See above.	\$21,600.00
HOME Assistance Interest Rate	Interest rate on HOME assistance.	1.000%
HOME Assistance Term	HOME assistance repayment period, in years.	76.00
Monthly Amortizing Loan Payment	Monthly Principal and interest payment (for amortizing loan only)	\$298.62
Other Key Business Terms:		

Revised Buyer Debts	Explanation	Monthly Debt Service
Total Buyer Payments on Existing Debts	Current monthly payments are calculated on "Buyer Information" tab.	\$750.00
Monthly HOME Loan Payment (Primary)	Principal and interest payments on new HOME loan.	298.62
Revised Total Buyer Monthly Payments	Existing payments plus new payments on HOME loan.	\$1,048.62

Revised Maximum Monthly Payment

	Explanation	Maximum Monthly Payment
Front-End Affordability	Maximum monthly loan payment as a percentage of gross monthly income, using guideline on "Buyer Information" tab.	\$829.40
Revised Back-End Affordability	Maximum for all monthly debt payments as a percentage of gross monthly income, using ratio set on "Buyer Information" tab.	\$897.43

Revised Monthly Mortgage Payment (PITI)

	Explanation	Input
Property Taxes		0
Homeowner Insurance		0
Monthly Mortgage Insurance Premium		0
Other Monthly Payments on the Home		0
Maximum Monthly Mortgage Payment (PITI)		\$21.00
Affordable to Buyer	Revised affordable principal and interest (and MIP if applicable) payment.	\$869.40

Revised Affordable Mortgage

\$140,214.00 Calculated

Revised Mortgage Needed

\$138,509.00 Calculated

Actual Revised Mortgage

\$120,000.00 Actual

Revised Funding Gap	Explanation	Amount
Sale Price	Purchase price offered by buyer.	\$120,000.00
Closing Costs and Points	Settlement charges and adjustments (see Buyer Financing sheet)	\$2,100.00
Actual Revised Mortgage	Revised mortgage amount made available by lender.	\$120,000.00
HOME Assistance Amount	Amount of HOME assistance provided as a grant or loan.	\$21,600.00
Funds Available for upfront Costs	Homebuyer funds available for upfront costs and downpayment	\$500.00
	Revised Total Funding Excess (Gap)	\$0.00

HOME Assistance Summary for Individual Buyer Underwriting

Selected HOME Program Requirements for Individual Buyer Underwriting

HOME Requirement for Individual Buyer Underwriting	Limit
Annual Income Limit (for Buyer Assistance Only): Household income may not exceed 80% of area median income for the buyer's household size.	\$45,000
Maximum Purchase Price of Unit (for Buyer Assistance Only): The HOME unit initial purchase price (or, for homeowner assistance, the after-rehabilitation value of the homeownership unit) must not exceed 50 percent of the area median purchase price as determined by HUD. PLS may use alternative limits if approved by HUD.	\$367,000
Maximum HOME Investment Per Unit: Participating jurisdictions should contact the CHD Division or their local HUD Field Office to determine maximum HOME per unit subsidy limits that apply to their jurisdictions. Use the lesser of (1) HOME Maximum subsidy limit or (2) P2 program maximum level of assistance.	\$200,000

HOME Compliance Summary For Individual Buyer

Direct Buyer Assistance For This Buyer	Outcome	Compliant?
Buyer Income for HOME Eligibility	\$20,160	YES
Sales Price of Unit	\$340,000	YES
HOME Direct Assistance to Buyer	\$21,060	YES
HOME Affordability Period if Direct Assistance Only		No Less than 10 Years

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
HOME FIRST TIME HOMEBUYER ASSISTANCE

RECAPTURE PROVISIONS

MAY 2016

In the event that the HOME-subsidized property is sold during the period of affordability, Lexington-Fayette Urban County Government (LFUCG) will follow these provisions as established in the HOME regulations at 24 Code of Federal Regulations 92.254(a)(5). The period of affordability is based upon the direct HOME subsidy provided to the homebuyer.

Direct HOME subsidy is the amount of HOME assistance that enabled the homebuyer to buy the unit. The direct subsidy includes downpayment, closing costs, interest subsidies, or other HOME assistance provided directly to the homebuyer. Only the direct subsidy amount provided to the homebuyer is subject to recapture.

If the home is SOLD prior to the end of the affordability period, the net proceeds from the sale, if any, will be returned to LFUCG. **Net proceeds** are defined as the sales price minus superior loan repayment (other than HOME funds) and any closing costs. Under no circumstances can LFUCG recapture more than is available from the net proceeds of the sale. If the net proceeds exceed the original HOME subsidy amount the excess will be paid to the homeowner.

Other than the actual sale of the property, if the homebuyer/homeowner breaches the terms and conditions for any other reason, e.g. no longer occupies the property as his/her principal residence, the full amount of the subsidy is immediately due and payable.

Example:

A homebuyer receives \$10,000 of HOME downpayment assistance to purchase a home. The direct HOME subsidy to the homebuyer is \$10,000, which results in a five-year period of affordability. If the homebuyer sells the home after three years, LFUCG would recapture, assuming that there are sufficient net proceeds, the entire \$10,000 direct HOME subsidy. The homebuyer would receive any net proceeds in excess of \$10,000.

AFFIRMATIVE MARKETING PLAN

As a participating jurisdiction, the Lexington-Fayette Urban County Government will comply with the Affirmative Marketing Requirements of the HOME Investment Partnerships Program. The following will be the policies and procedures of the Urban County Government.

In order to ensure compliance with the provisions of 92.351 the Lexington-Fayette Urban County Government will:

- A. Include Affirmative Marketing/Equal Opportunity Requirements as part of request for proposals on all HOME-assisted projects.
- B. Include Equal Housing Logo in all published program notices and information.
- C. Require that all HOME-assisted projects use the Equal Housing Logo in all advertising.
- D. Require that HOME-assisted projects notify minority and fair housing associations, ministerial groups, housing counseling services, neighborhood organizations, and other relevant groups of the availability of rental units, of the Affirmative Marketing Requirements of the HOME Investment Partnerships Program, and to request their assistance in marketing outreach.
- E. Require that the HOME-assisted project maintain copies of material and relevant information to document affirmative marketing actions.
- F. The Lexington-Fayette Urban County Government will include a clause in the Loan and Mortgage Agreement with the project owner which will call for the Mortgage to be immediately due and payable if the project owner is found to be in violation of Fair Marketing Requirements. In addition, the Loan Agreement and Mortgage will include provisions which allow the Lexington-Fayette Urban County Government to monitor for the relevant period of affordability the Equal Opportunity/Affirmative Marketing Requirements of the program and to require that the project owner take whatever corrective action that the Lexington-Fayette Urban County Government deems appropriate.



Lexington-Fayette Urban County Government Standards for Providing ESG Assistance May 2019

The standards for operating rapid rehousing/homelessness prevention programs are detailed below.

RAPID RE-HOUSING

LFUCG has adopted the CoC's Rapid Rehousing Standards (attached).

HOMELESSNESS PREVENTION

ESG funds may be used to provide housing relocation and stabilization services and medium-term rental assistance necessary to prevent an individual or family from moving into an emergency shelter or another place described in paragraph (1) of the "homeless" definition in 24 CFR §576.2. This assistance, referred to as homelessness prevention, may be provided to individuals and families who meet the criteria under the "at risk of homelessness" definition **or** who meet the criteria in paragraph (2), (3), or (4) of the "homeless" definition in 24 CFR §576.2 **and** have an annual income below **30 percent** of median family income for the area, as determine by HUD.

The costs of homelessness prevention are only eligible to the extent that the assistance is necessary to help the program participant regain stability in the program participant's current permanent housing or move into other permanent housing and achieve stability in that housing.

ESG's Homeless Prevention program will serve households who have the highest risk of becoming homeless without ESG assistance. In particular,

- families with children lacking stable housing, who meet the definition of at-risk, and
- youth between the ages of 18 and 24 who have aged out of foster care and who have no support networks

EMERGENCY SHELTER OPERATIONS

ESG funds may be used for costs of providing essential services to homeless families and individuals **in** emergency shelters, renovating buildings to be used as emergency shelter for homeless families and individuals, and operating emergency shelters. Refer to 24 CFR §576.102 for eligible costs and requirements.



Emergency Solutions Grant Funds will be used for operation of emergency shelters for single men, single women, families, unaccompanied youth, and victims of domestic violence. Emergency shelters are defined as facilities that provide overnight accommodations for homeless persons.

Written Standards

Shelters must establish written standards for the provision of assistance under the Emergency Solutions Grants program and apply these standards consistently for all program participants, to fully staff the facilities and provide for appropriate supervision at all times, and to provide adult individual or group counseling, advocacy and referrals, job-skills training, and job placement assistance, and a follow-up program to homeless persons.

In-take Procedures

Shelters must maintain and follow written intake procedures to ensure compliance with the homeless definition. The procedures must require documentation at intake of the evidence relied upon to establish and verify homeless status. The procedures must establish the order of priority for obtaining evidence as third-party documentation first, intake worker observations second, and certification from the person seeking assistance third. However, lack of third party documentation must not prevent an individual or family from being immediately admitted to emergency shelter, receiving street outreach services, or being immediately admitted to shelter or receiving services provided by a victim service provider.

HMIS Requirement

All client information is to be entered correctly into the approved Homeless Management Information System or comparable database used by victim service or legal service providers. This information is acceptable evidence of third-party documentation and intake worker observations if the HMIS retains an auditable history of all entries, including the person who entered the data, the date of entry, and the change made; and if the HMIS prevents overrides or changes of the dates on which entries are made.

Shelters agree to fully comply with 24 CFR 576.500 in the documentation of client eligibility for emergency shelter services. For each individual and family determined ineligible to receive Emergency Solutions Grant (ESG) assistance, the record must include documentation of the reason for that determination. The Shelter must ensure that data on all persons served and all activities assisted under ESG are entered into the applicable community-wide HMIS (homeless management information system) in accordance with standards of the U.S. Department of Housing and Urban Development.

Safety Standards

Shelters must meet the following minimum safety, sanitation, and privacy standards:

- (1) **Structure and materials.** The shelter building must be structurally sound to protect residents from the elements and not pose any threat to health and safety of the residents.
- (2) **Access.** The shelter must be accessible in accordance with Section 504 of the Rehabilitation Act (29 U.S.C. 794) and implementing regulations at 24 CFR part 8; the Fair Housing Act (42 U.S.C. 3601 et seq.) and implementing regulations at 24 CFR part 100; and Title II of the Americans with Disabilities Act (42 U.S.C. 12131 et seq.) and 28 CFR part 35; where applicable.
- (3) **Space and security.** Except where the shelter is intended for day use only, the shelter must provide each program participant in the shelter with an acceptable place to sleep and adequate space and security for themselves and their belongings.
- (4) **Interior air quality.** Each room or space within the shelter must have a natural or mechanical means of ventilation. The interior air must be free of pollutants at a level that might threaten or harm the health of residents.
- (5) **Water supply.** The shelter's water supply must be free of contamination.
- (6) **Sanitary facilities.** Each program participant in the shelter must have access to sanitary facilities that are in proper operating condition, are private, and are adequate for personal cleanliness and the disposal of human waste.
- (7) **Thermal environment.** The shelter must have any necessary heating/cooling facilities in proper operating condition.
- (8) **Illumination and electricity.** The shelter must have adequate natural or artificial illumination to permit normal indoor activities and support health and safety. There must be sufficient electrical sources to permit the safe use of electrical appliances in the shelter.
- (9) **Food preparation.** Food preparation areas, if any, must contain suitable space and equipment to store, prepare, and serve food in a safe and sanitary manner.
- (10) **Sanitary conditions.** The shelter must be maintained in a sanitary condition.
- (11) **Fire safety.** There must be at least one working smoke detector in each occupied unit of the shelter. Where possible, smoke detectors must be located near sleeping areas. The fire alarm system must be designed for hearing-impaired residents. All public areas of the shelter must have at least one working smoke detector. There must also be a second means of exiting the building in the event of fire or other emergency.

Confidentiality

Shelters shall develop procedures that insure confidentiality of records pertaining to an individual provided family violence prevention or treatment services.

Ending Assistance

Shelters shall comply with the requirements of 24 CFR 576.402 which provides for the termination of assistance to individuals who violate program requirements. Termination of assistance must be in accordance with a formal process established by the Shelter that recognizes the rights of individuals affected. The Shelter must exercise judgment and examine all extenuating circumstances in determining when violations warrant termination so that a program participant's assistance is terminated only in the most severe cases.

The Shelter must coordinate and integrate, to the maximum extent practicable, ESG-funded activities with other programs targeted to homeless people in the area covered by the Continuum of Care or area over which the services are coordinated to provide a strategic, community-wide system to prevent and end homelessness for that area.

Other Requirements

The Shelter shall comply with the requirements of Title VI of the Civil Rights Act of 1964 as amended; Title VIII of the Civil Rights Act of 1968, 42 U.S.C. 3601 through 3619, and all implementing regulations and executive orders; shall comply with the prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. 6101-6107); and the prohibitions against discrimination against handicapped individuals under Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794).

The Shelter shall ensure that emergency services shall be made available without regard to actual or perceived sexual orientation, gender identity or marital status. Shelter shall not inquire about the sexual orientation or gender identity of any applicant for or person receiving these services.

Shelters shall administer a policy designed to ensure that facilities are free from the illegal use, possession, or distribution of drugs or alcohol by its employees and beneficiaries.

REQUESTS FOR PAYMENT

All requests for payments for this program will be submitted to the Division of Grants and Special Programs for processing. All requests will contain the amount of payment, participant's name and address, vendor to be paid, proof of participant income at initial enrollment or if changes have occurred, proof of rent reasonableness, a copy of the utility allowance work sheet, HMIS reports, copy of rental assistance agreement, documentation of monthly meeting, and completed Housing Barriers Assessment Tool (as applicable). In determining eligibility of participants, all federal criteria on definition of "homeless" and "at-risk of homelessness" must be followed. The recipient shall maintain documentation of program eligibility.

HMIS REQUIREMENT

All client information is to be entered correctly into the approved Homeless Management Information System.

REPORTING

All recipients will provide Division of Grants and Special Programs with monthly reports describing the clients served. This report shall include data concerning the number, age, sex, race, ethnicity, and family status of the adults and children served under this project along with the monthly HMIS report. **This report shall be submitted no later than 30 days after the end of each month.**

In addition, an annual report shall provide an unduplicated count of the individuals receiving financial assistance under this program and the number of persons who received other types of prevention services. A form will be provided. An annual report is due on July 30 of each year. **Last, recipients MUST document the households assisted with ESG funding and conduct a follow up no more than 6 months after the assistance has ended to determine if households are still permanently housed. This information is required to be reported to our division.**



LEXINGTON *Homelessness Prevention & Intervention*

Lexington CoC Standards for Rapid Re-Housing Programs

As required in 24 CFR 578.7(a)(9) – Responsibilities of the Continuum of Care: the Lexington Continuum of Care (CoC) has developed the following Rapid Re-Housing (RRH) guidelines for the Emergency Solutions Grant (ESG)-funded and CoC-funded programs, to insure:

- Program accountability to individuals and families experiencing homelessness.
- Program compliance with HUD rules and guidance.
- Program uniformity and common client expectations.
- Adequate program staff competence and training, specific to the target population being served.
- All providers of Rapid Re-Housing assistance have a basis of knowledge of best practices, no matter the funding stream.

Rapid Re-Housing is housing created for the purpose of providing an immediate permanent housing situation for moderately vulnerable homeless individuals and families. Common types of RRH include HUD CoC RRH, ESG RRH, and Supportive Services for Veteran Families (SSVF) RRH. Contemporary research has shown RRH to be one of the most effective types of housing in the fight to end homelessness from both a cost and housing stability perspective.

Rapid Re-Housing programs will adopt a housing first philosophy and will participate in the Lexington CoC Coordinated Entry process to ensure the local prioritization of individuals for housing.

For additional specific guidance on the respective RRH Programs, please refer to: Rapid Re-Housing: ESG vs CoC (HUD Guidance): [here](#).

ELIGIBILITY:

All individuals and/or heads of households served must qualify as "homeless" based on Categories 1 or 4 of the "homeless" definition found at 24 CFR 578.3 and **must be referred via Coordinated Entry**.

All Lexington CoC RRH providers will work from the viewpoint of screening people *in* rather than *out*.

1. Head of household must meet must qualify as "homeless" based on Categories 1 or 4 of the "homeless" definition found at 24 CFR 578.3.
2. Programs may not establish additional eligibility requirements beyond those required by this document.

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3. Programs cannot disqualify an individual or family because of evictions or poor rental history, criminal history, or credit history.
4. The only reasons programs may have the option to disqualify an individual or family from program entry are:
 - a. Household make-up, provided it does not violate HUD's Fair Housing and Equal Opportunity requirements or HUD's Equal Access Rule (Singles-Only programs can disqualify households with children, Families-Only programs can disqualify single households, etc.)
 - b. RRH subsidy money has been exhausted.

PERSONNEL (attached job description template)

STANDARD: The program shall be adequately staffed by qualified personnel to ensure quality service delivery, effective program management, and the safety of program participants.

A housing-based case worker and manager are organized and trained professionals that act as positive change agents in holistically assisting individuals/families in achieving and maintaining housing, while concurrently promoting awareness and teaching strategies that reduce the likelihood of a return to homelessness in the future.

Agency must have a staffing contingency plan for staff absences.

CRITERIA:

1. The agency selects, for its service staff, only those employees with appropriate knowledge, or experience, for working with individuals and families experiencing homelessness and/or other issues that put individuals or families at risk of housing instability.
2. The program provides training to all paid and volunteer staff on both the policies and procedures used by the program and on specific skill areas as determined by the program.
3. The program provides diversity training to all paid and volunteer staff.
4. All paid and volunteer service staff participates in ongoing and/or external training and professional development to further enhance their knowledge and ability to work with individuals and families experiencing homelessness and/or other issues that put individuals or families at risk of housing instability.
5. All KYHMIS users must abide by the standard operating procedures found in the Lexington CoC KYHMIS Policies and Procedures manual. Additionally, users must adhere to the privacy and confidentiality terms set forth in the User Agreement and attend all trainings as applicable.
6. Staff with supervisory responsibilities for overall program operations and agency staff with responsibilities for supervision of the casework, counseling, and/or case management components

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shall have, at a minimum, a bachelor's degree in a human service-related field and/ or demonstrated ability and experience that qualifies them to assume such responsibility.

7. All staff must have a written job description that at a minimum addresses the major tasks to be performed and the qualifications required for the position.
8. Case workers' job descriptions direct them to focus on housing and to use strengths based practices.
9. Case workers are trained on RRH case management strategies and related evidence based practices, as well as, program policies and community resources.
10. Case supervisors review current cases and individual service plans on a regular and consistent basis to ensure quality/coordinated services.
11. Program has clear safety procedures for home visits.

PROGRAM ENROLLMENT PROCESS:

STANDARD: The program will have minimal entry requirements to ensure the most vulnerable of the population are being served. The program will ensure active client participation and informed consent.

CRITERIA:

1. The case worker will schedule a face to face meeting with client at a location of the client's choice. This can include the office, a community location such as the library, or emergency shelter.
2. The case worker explains the program rules and expectations prior to admitting the individual or family into the program. These rules and expectations should assure fairness, to avoid arbitrary decisions that may vary from client to client, or staff to staff.
3. The case worker will explain housing first principles and practices to the individual/family.
4. The program will utilize all standardized Lexington CoC forms for enrollment as applicable.
5. The program will maintain KYHMIS Release of Information, documentation including enrollment packet, documentation of homelessness, disability documentation, and other pertinent demographic and identifying data in KYHMIS.
6. Paper files can also be kept as long as they are stored in a secure location.

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HOUSING IDENTIFICATION:

STANDARD: The program will assist participants in locating safe, affordable housing that meets participants' needs.

CRITERIA:

1. In locating housing, the program considers the needs of the individual or family experiencing homelessness.
2. The program provides assistance in accessing suitable housing. The case worker **will**:
 - a. Complete a housing barriers assessment with the participant household and generate a housing identification plan aimed at addressing identified barriers.
 - b. Provide program participants with a list of potential landlords or housing units for self-guided housing identification efforts.
 - c. Provide 3-4 potential housing options to the program participant within 7 days from program enrollment. An exception will be made in the case of participants whose extreme housing barriers make it impossible for program staff to identify multiple housing options (ex: sex offenders).
 - d. Assist the individual/family with navigating the community in order to facilitate property walk-throughs, application submissions, meeting of property managers, etc. This may include assistance with transportation.
 - e. Advocate with prospective landlords on behalf of the participant to generate partnership with the program and facilitate the housing process.
3. Programs will assess potential housing for compliance with HUD Housing Quality Standards (HQS), lead-based paint, and rent reasonableness and fair market rent standards prior to the participant signing a lease with the landlord, and the program signing a rental assistance agreement with the landlord. See 24 CFR 578.37(a)(1)(ii).
4. The program signs a rental assistance agreement with the landlord.
5. The program participant signs a lease with the landlord.
6. The program and the participant sign a housing stabilization plan.
7. All rent payments made by program participants must be paid directly to the landlord or property owner.

FINANCIAL ASSISTANCE STANDARDS:

STANDARD: No RRH project will have a uniform policy for providing rental assistance as this kind of policy is not driven by participant's assessed needs.

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All assistance provided through ESG and CoC Programs must benefit households who have an annual income below 30 percent of median household income for the area based on household size, and as updated annually by HUD (with the exception of those who are currently homeless by HUD definition, but must be within 30 percent at 1-year certification). Continued assistance after 12 months through ESG Programs must benefit households who have an annual income at or below 30 percent of Area Median Income (AMI) regardless of homeless status at entry.

INCOME DETERMINATION (attached income verification forms): Minimum standards for determination of an individual or family's annual income consist of calculating income in compliance with 24 CFR 5.609. Annual income is defined as all amounts, monetary or not, which:

- Go to, or on behalf of, the family head or spouse (even if temporarily absent) or to any other family member; or
- Are anticipated to be received from a source outside the family during the 12-month period following admission or annual reexamination effective date; and
- Which are not specifically excluded in paragraph (c) of 24 CFR 5.609.
- Annual income also means amounts derived (during the 12-month period) from assets to which any member of the family has access.
- Providers will use standardized CoC forms to document income. In verifying income providers are required to obtain third party verification whenever possible. Self-certification or verification is to be accepted only when all efforts have been made to obtain third party verification have not produced results.

CRITERIA: The program's minimum standards for Rental Assistance should include:

1. The program employs a progressive approach when determining the amount the household will contribute toward their monthly rent payment. Programs should remain flexible, taking into account the unique and changing needs of the household while staying within program regulations. Programs **will review** the amount of rental assistance paid for the participating household every 3 months and changes made to the agreement will be determined by continued need and ability of the household to sustain housing long-term. In no event will RRH assistance exceed 24 months. Programs should have written policies and procedures for determining the amount of rent participants pay towards housing costs. ***This amount must be reasonable based on household income – including \$0 for households with no income and 30% of adjusted gross income for households with an income.***
2. The program may provide assistance with:
 - a. Rental application fees
 - b. Security deposits (up to 2 months)
 - c. First and last month's rent
 - d. Property damage (up to 1 month's rent, one time per participant)
 - e. Utility deposits
 - f. One-time moving costs
 - g. Rental arrears (ESG only)
 - h. Utility arrears (ESG only)
3. Participants receive the approval for the minimum amount of financial assistance necessary to prevent homelessness.

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4. Providers should work with landlords to provide documentation of financial assistance every 3 months (attached financial assistance contract form).
5. The program will re-evaluate the household for continued income eligibility at least annually. To continue to receive Rapid Re-Housing assistance, a program participant's re-evaluation must demonstrate eligibility based on:
 - a. Lack of resources and support networks. The program participant's household must continue to lack sufficient resources and support networks to retain housing without program assistance.
 - b. Need. The recipient or subrecipient must determine the amount and type of assistance that the individual or family will need to maintain stability in permanent housing.
 - c. If a participant is enrolled in the program for longer than 12 months, the program participant household's annual income must be demonstrated not to exceed 30% of area median income (AMI) as determined by HUD and in accordance with 24 CFR 576.401(b)(1)(i). (ESG only)

CASE MANAGEMENT SERVICES

STANDARD: The program will provide access to case management services by trained staff to each individual or family participating in the program in the person's home, not an office. Case workers are required to maintain a minimum of two contacts per week with participant households.

CRITERIA:

1. **Case Management:** Component services and activities consist of: (i) Counseling; (ii) Developing, securing, and coordinating services; (iii) Obtaining federal, state and local benefits; (iv) Monitoring and evaluating program participant progress; (v) Developing an individualized housing and service plan, including planning a path to permanent housing stability.
2. **Other Eligible Services** may be provided:
 - a. Child Care
 - b. Education Services
 - c. Employment assistance and job training
 - d. Housing search and counseling services
 - e. Legal services
 - f. Life skills training
 - g. Mental health services
 - h. Outpatient health services
 - i. Substance abuse treatment services
 - j. Transportation

** See 24 CFR 578.53 for more specific information.
3. The case worker will explain case worker and program participant expectations (attached case management expectations form):
 - a. Case workers are expected to:

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- i. Work with the client to be housed or stay housed
 - ii. Work with the client on case management tasks to overcome housing barriers
 - iii. Advocate on behalf of the client
 - iv. Refer to community resources and assuring linkage with those resources
 - v. Modify case plans to change with client need
 - vi. Monitor, evaluate, and record client progress
 - vii. Treat clients with dignity and respect
 - viii. Respond to and assist clients in crisis
- b. Program participants are expected to:
 - i. Work with the case worker to be housed or stay housed
 - ii. Have an active role in planning, reviewing and changing their case plan
 - iii. Follow through on case management tasks
 - iv. Give accurate information about circumstances that may impact their housing
 - v. Assist by making and keeping a safe environment
 - vi. Notify the case worker if a scheduled appointment needs changed
- 4. Program connects households to community resources that help households maintain a lease and address barriers to housing retention. Program is required to offer households assistance in applying for mainstream benefit assistance. Households have the right to decline—however, participants should understand that assistance is not ongoing and is intended to aid households in becoming self-sufficient.
- 5. Program improves individual/households understanding of landlord/tenant rights and responsibilities and addresses other issues in the past resulted in housing crisis or housing loss (**attached landlord/tenant rights and responsibilities form**).
- 6. Caseload Ratios:
 - a. Staff serving clients maintaining housing should not exceed a caseload ratio of 40 clients to one staff member.
 - b. Staff serving initial clients seeking housing should not exceed a caseload ratio of 25 clients to one staff member.
 - c. Staff serving youth should not exceed a caseload ratio of 10 clients to one staff member.

EVICION

STANDARD: The program will work with participant households to prevent eviction whenever possible. When preventing eviction is not possible, the program will assist households in mitigating negative consequences and establishing subsequent housing.

CRITERIA:

- 1. Program will work with participant households to prevent eviction whenever possible. This includes review of lease agreement terms at move-in, engaging participants in resolving issues that arise which threaten housing retention, and mediation with landlords.

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2. Should a participant household be unable to remain in housing despite all eviction prevention strategies, the program should work with households to mitigate negative consequences. This includes engaging participants in voluntarily vacating the housing unit to prevent formal eviction proceedings.
3. Eviction does not constitute termination. The program will work to rapidly rehouse any participant household that is evicted from housing.

TERMINATION

STANDARD: Termination is supposed to be limited to only the most severe cases. Programs will exercise judgement and examine all extenuating circumstances when determining if violations are serious enough to warrant termination.

CRITERIA:

1. The program explains the services that are available and encourages each adult household member to participate in program services, but does not make service usage a requirement or the denial of services a reason for disqualification or termination. Although participation in service plans is offered weekly as a resource, participants cannot be terminated for not following through with the plans.
2. To terminate assistance to program participant, the agency must follow the due process provisions set forth in 24 CFR 576.402.
3. To terminate financial assistance and/or housing relocation and stabilization services to program participants, the required formal process, at a minimum, must consist of:
 - Written notice to the program participant containing a clear statement of the reasons for termination;
 - A review of the decision, in which the program participant has the opportunity to present written or oral objections before a person other than the person who made or approved the termination decision;
 - Prompt written notice of the final decision to the program participant.

*Termination under this section does not preclude the program from providing further assistance at a later date to the same individual or family.

PARTICIPANT GRIEVANCES: All providers of RRH programs shall be required to have a written formal termination and grievance policy that is provided to all participants at intake and made available at any time, to enable a participant to dispute an agency decision on eligibility for assistance (or any other reason). The policy must include the method by which an applicant would be made aware of the grievance procedure, and the formal process for review and resolution.

If a participant household violates program requirements, the provider may terminate assistance in accordance with a formal process established above.

FOLLOW-UP SERVICES

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STANDARD: Programs must ensure a continuity of services to all clients exiting their programs. Agencies can provide these services directly or through referrals to other agencies.

CRITERIA:

1. Programs develop exit plans with the participant to ensure continued permanent housing stability and connection to community resources, as desired.
2. Programs should attempt to follow up with participants through verbal or written contact at least once 6 months after the client exits the program. A program may provide follow-up services to include identification of additional needs and referral to other agency and community services in order to prevent future episodes of homelessness.
3. Supportive services may be provided up to 6 months after the financial assistance stops.

EVALUATION AND PLANNING

STANDARD: Ongoing program planning and evaluation will be conducted by the Lexington CoC Program and Evaluation Committee. It will take into consideration the criteria below and any other local data benchmarks as defined by the Program and Evaluation Committee.

CRITERIA:

1. The program has written goals and objectives, that are evaluated annually, for its services to meet the outcomes required by HUD performance benchmarks including:
 - Reduce the length of time program participants experience homelessness. Households served by the program should move into permanent housing in an average of 60 days or less.
 - Maximize permanent housing success rates. Programs should ensure that at least 80% of exiting households exit to a permanent housing destination.
 - Decrease the number of households returning to homelessness. Programs should ensure that at least 95% of households exiting the program do not become homeless again within one year of exit.

Housing-Based Case Worker Job Description

A housing-based case worker is an **organized and trained professional** that acts as a **positive change agent** in holistically assisting individuals/families in **achieving and maintaining housing**, while concurrently promoting awareness and teaching strategies that **reduce the likelihood of a return to homelessness** in the future.

Job Knowledge & Core Competencies

Housing-based case workers should possess knowledge in the following areas:

- History of homelessness
- Poverty
- Health & mental health services
- Addictions
- Treatment
- Harm reduction
- Housing
- Hoarding
- Income supports/benefits
- Corrections
- Domestic violence
- Children's services
- Fetal alcohol spectrum disorders
- Brain injuries
- Medication management
- Trauma/abuse

Housing-based case workers should possess the following core competencies:

- Practice motivational interview and exercise active listening;
- Practice assertive engagement;
- Assist service participants in working through the Stages of Change;
- Ability to teach, model, and reflect on actions and attitudes in a range of settings;
- Coordinate and advocate for services as appropriate;
- Help service participants establish goals and an individualized service plan;
- Appropriately prepare documentation;
- Organize and chair case conferences;
- Perform their duties safely, including working safely alone;
- Perform First Aid and CPR, and maintain certification in both;
- Employ Universal Precautions as necessary in specific situations;
- Assess risks and develop appropriate plans to help ensure continuation of service;
- Complete incident reports as necessary in response to specific situations;
- De-escalate and learn from conflict through effective de-briefing;
- Respect privacy and confidentiality in accord with all relevant legislation;
- Perform duties in a culturally competent manner;
- Follow all relevant legislation;

Attachment A
Housing-Based Case Worker Job Description
October 30, 2018

Form 200
Verification of Employment

RE: _____ SSN XXX-XX-_____
Applicant's Name (print) (last four digits)

The person referenced above is a participant in a federally assisted housing program. Federal regulations require that we verify the income of program participants. The information provided will remain confidential to satisfaction of that stated purpose only. I do hereby authorize the release of this information:

Applicant's Name (print clearly) Signature of Applicant Date

SECTION TO BE COMPLETED BY THE EMPLOYER

Employer: _____

Address _____ City _____ State _____ Zip _____

Employee Job Title: _____

Presently Employed: ☐ Yes-Employment Start Date _____ ☐ No-Last day of Employment _____

Current Rate of Pay: \$ _____
(circle one) hourly weekly bi-weekly semi-monthly monthly yearly other _____

Average # of regular hours per week: _____

Overtime Rate: \$ _____ per hour Average # of overtime hours per week: _____

Shift Differential Rate: \$ _____ per hour Average # of shift differential hours per week: _____

Commissions, bonuses, tips, other: \$ _____
(circle one) hourly weekly bi-weekly semi-monthly monthly yearly other _____

List any anticipated change in the employee's rate of pay within the next 12 months: _____

If the employee's work is seasonal or sporadic, please indicate the layoff period(s): _____

Employer's Signature _____ Employer's Printed Name _____ Date _____

Phone Number: _____ Fax: _____



Form 201
Verification of Benefits or Pension

RE: _____ SSN: XXX-XX- _____
Applicant's Name (print) (last four digits)

The person referenced above is a participant in a federally assisted housing program. Federal regulations require that we verify all household income of program participant.. The information provided will remain confidential. Please complete all information below.

I do hereby authorize the release of this information:

Applicant's Name (print clearly) Signature of Applicant Date

SECTION TO BE COMPLETED BY BENEFITS ADMINISTRATOR

Amount of monthly payment to participant: \$ _____

OR

Amount of weekly payments to participant: \$ _____

Date Payments Began: _____ Date Payments Ended: _____

Deductions from gross income for medical insurance premiums: \$ _____

Type of Benefit (check one): ☐ Pension ☐ Annuity ☐ Retirement ☐ VA ☐ Welfare ☐ Social Security
☐ Unemployment ☐ Kinship ☐ K-TAP ☐ Other (please list): _____

I certify this information is true and complete.

Name (print) Signature Date

Title Agency/Company Telephone

Address City State Zip



Form 202
Verification of Child Support

RE: _____ SSN XXX-XX-_____
Applicant's Name (print) (last four digits)

The person referenced above is a participant in a federally assisted housing program. Federal regulations require that we verify the income of program participants. Please complete all information below.

I do hereby authorize the release of this information:

Applicant's Name (print clearly) Signature of Applicant Date

SECTION TO BE COMPLETED BY CHILD SUPPORT PROVIDER

Amount of child support payments: \$ _____ weekly; \$ _____ monthly; \$ _____ other.

If inconsistent, list total amount in last six months: \$ _____

Date child support payments began: _____ Date ended: _____

Names of children for which payments are made:

Name _____ Name _____

Name _____ Name _____

Name _____ Name _____

I certify this information is true and complete.

Name (Print) Signature Date

Address City State Zip Telephone

Title or relation to participant (agency if applicable)



Form 203
Verification of Informal Support

RE: _____ SSN: XXX-XX- _____
Applicant's Name (print) (last four digits)

The person referenced above is a participant in a federally assisted housing program. Federal regulations require that we verify all income for the program participant's household. The information provided will remain confidential. Please complete all information below.

I do hereby authorize the release of this information:

Applicant's Name (print clearly) _____ Signature of Applicant _____ Date _____

SECTION TO BE COMPLETED BY INFORMAL SUPPORT PROVIDER

I certify that I provide financial assistance in the amount of \$ _____ ☐ weekly ☐ monthly

The assistance provided is for:


LEXINGTON
Housing Assistance
Prevention & Intervention

I certify this information is true and complete.

Name (print) _____ Signature _____ Date _____

Relationship to Participant _____ Agency (if applicable) _____ Telephone _____

Address _____ City _____ State _____ Zip _____



Form 204
Zero Income Certification

I, _____, have applied for emergency or rental assistance through the _____ program. Program regulations require verification of all income from participating households. Income includes but is not limited to:

- Gross wages, salaries, overtime pay, commissions, fees, tips and bonuses
- Net income from operation of a business or from rental or real personal property
- Interest, dividends and other net income of any kind for real personal property
- Periodic payments received from Social Security, annuities, insurance policies, retirement funds, pensions, disability or death benefits and other similar types of periodic receipts
- Lump sum payment(s) for the delayed start of a periodic payment (except as provided in 24 CFR 5.609 (b)(5))
- Payments in lieu of earnings, such as unemployment and disability compensation, worker's compensation, and severance pay
- Public assistance
- Alimony and child support payments (whether through the court system or not)
- Regular pay, special pay and allowances of a head of household or spouse who is a member of the Armed Forces (whether or not living in the dwelling)
- Regular monetary gifts from family and/or friends

I have stated during this verification process that I have no income at this time. I have not received income since _____. I do not expect to receive any income until _____. I applied for _____ (other financial assistance) on _____ (date).

I understand that any misrepresentation of information or failure to disclose information requested on this form may disqualify me from participation in the program for which I am applying, and may be grounds for termination of assistance. I certify that the above information is true and correct. I also understand that it is my responsibility to report all changes to my household composition or income when they occur.

Signature: _____ Date: _____

Witness: _____ Date: _____



Form 205
Income Verification Due Diligence

RE: _____ SSN XXX-XX

The completion of this form is required when source documents and/or third-party verifications of income are not obtainable and/or HUD's preferred method of verifying income is not followed. HUD specifies the following order for income verifications: source documents, written third-party, oral third-party, and self-certification.

Potential reasons for not obtaining source documents: applicant/participant does not receive paystubs due to direct deposit, the first paycheck has not yet been received, social security award letter has been misplaced or lost, etc.

Potential reasons for not obtaining third-party verification include: inability to contact third party, third party refused to provide information, etc.

Efforts reflecting attempts to follow HUD's preferred order include phone calls, e-mails, letters, faxes, etc.

When documenting the efforts and outcomes for phone call attempts, descriptions must include the name and title of the individual, contact number, date and time. Copies of efforts to obtain third-party documentation through e-mail correspondence, certified letters, faxes, etc. should be attached to this document.

Describe the reason(s) for the inability to acquire HUD's preferred income verification:

Describe efforts to follow HUD's preferred method of verifying income and the outcome:

Document(s) attached: ☐ Yes ☐ No

If yes, specify: _____

Signature _____

Date _____

Print Name _____

Date _____



Form 210
Verification of Assets

RE: _____ SSN: XXX-XX- _____
Applicant's Name (print) (last four digits)

The above referenced person is an applicant in a federally assisted housing program. Federal regulations require that we verify all assets of the program participants and their household. The information provided will remain confidential to satisfaction of that stated purpose only.

By signing below I authorize the release of this information:

Participant's Signature _____ Date _____

SECTION TO BE COMPLETED BY BANKING INSTITUTION

	<u>Current Balance</u>	<u>Interest Rate on Account</u>	<u>Date Account Opened</u>
Checking Account #1:	\$ _____	\$ _____	_____
Checking Account #2:	\$ _____	\$ _____	_____
	<u>Current Balance</u>	<u>Interest Rate on Account</u>	<u>Date Account Opened</u>
Savings Account #1:	\$ _____	\$ _____	_____
Savings Account #2:	\$ _____	\$ _____	_____
Other Accounts			
<u>Account Type</u>	<u>Current Balance</u>	<u>Interest Rate on Account</u>	<u>Date Account Opened</u>
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____

I certify this information is accurate.

Name (print clearly) _____ Title _____ Signature _____ Date _____

Financial Institution _____ Telephone Number _____

Address _____ City _____ State _____ Zip _____



Form 220
Verification of Child Care Expense

RE: _____ SSN: XXX-XX-_____
Applicant's Name (print) (last four digits)

The individual referenced above is a participant in a federally assisted housing program. Federal regulations require that we verify expenses paid for the care of dependent children enabling the family member to be employed or to attend school. The amounts provided must be paid out-of-pocket by the participant and may not be reimbursed from another source.

By signing below I authorize the release of this information and certify that I am not reimbursed from any source for the amount paid:

Applicant's Signature _____ Date _____

SECTION TO BE COMPLETED BY THE CHILD CARE PROVIDER

By signing below, I certify that I provide child care services for the above-referenced participant and receive the amount of compensation stated. Please complete all information requested.

Names of children for which payments are made:

Name _____	Name _____
Name _____	Name _____

I receive \$ _____ weekly for services **(OR)** I receive \$ _____ monthly for services

Date child care began: _____ number of hours child care is provided: _____ daily
(OR) _____ weekly **(OR)** monthly.

Is any portion of the child care expense paid by another source? ☐ Yes ☐ No

If Yes: Total child care amount: \$ _____ Amount paid by another source: \$ _____

If amounts are received for child care during holidays, vacations, etc., please provide dates and amount received: _____

I certify that this information is accurate:

Child Care Provider Signature _____ Name (print) _____

Child Care Facility (if applicable) _____ Telephone # _____

Address _____ City _____ State _____ Zip _____



Form 221
Verification of Attendant Care Expense

RE: _____ SSN XXX-XX-_____
Applicant's Name (print) (last four digits)

The individual referenced above is a participant in a federally assisted housing program. Federal regulations require that we verify attendant care expenses paid for unreimbursed, anticipated costs. The amounts provided must be paid out-of-pocket by the individual or family member and may not be reimbursed from another source.

By signing below I authorize the release of this information and certify that I am not reimbursed from any source for the amount paid:

Applicant's Signature _____ Date _____

SECTION TO BE COMPLETED BY ATTENDANT CARE PROVIDER

By signing below, I certify that I provide attendant care for the above-referenced participant and receive the amount of compensation stated.

Is any portion of the attendant care expense paid by another source? ☐ Yes ☐ No

If Yes: Total amount: \$ _____ Amount paid by another source: \$ _____

I receive \$ _____ weekly for services (OR) I receive \$ _____ monthly for services

Date attendant care began: _____

Number of hours care is provided: _____ daily (OR) _____ weekly (OR) monthly.

If amounts are received for attendant care during holidays, vacations, etc., please provide dates and amount received: _____

I certify that this information is true and complete.

Attendant Care Provider Signature _____ Name (print) _____

Attendant Care Facility (if applicable) _____ Phone Number _____

Address _____ City _____ State _____ Zip _____



Form 222
Verification of Auxiliary Apparatus Expenses

RE: _____ SSN XXX-XX-_____
 Applicant's Name (print) (last four digits)

The individual referenced above is a participant in a federally assisted housing program. Federal regulations require that we verify the out-of-pocket medical expenses of program participants. This information includes the estimated out-of-pocket medical expenses (e.g. wheelchair, walker ramp, vision impaired expenses, etc.) of participant for the anticipated next 12-month period. If not available, then provide medical expenses for the past 12-month period. Expenses do not include amounts covered by insurance or reimbursed to the participant.

By signing below I authorize the release of this information and certify that I am not reimbursed from any source for the amount paid.

Applicant's Signature _____ Date _____

SECTION TO BE COMPLETED BY DOCTOR OR OFFICE STAFF

Description of Expenses	Total Out-of-Pocket Amount Paid by Participant	
	Anticipated 12 Mo. (OR)	Last Actual 12 Mo.

The information is provided by:

Name (print) _____ Signature _____ Date _____

Title _____ Name of Business _____ Phone Number _____

Address _____ City _____ State _____ Zip _____



Form 223
Verification of Medical Expenses

RE: _____ SSN: XXX-XX-_____
Applicant's Name (print) (last four digits)

The individual referenced above is a participant in a federally assisted housing program. Federal regulations require that we verify the out-of-pocket medical expenses of program participants. This information must be provided by a third party, such as a doctor or pharmacist, familiar with the actual or estimated out-of-pocket medical expenses of the participant for the next 12-month period. If not available, please provide medical expenses for the past 12-month period. Expenses do not include amounts covered by insurance or reimbursed to the participant.

By signing below I authorize the release of this information and certify that I am not reimbursed from any source for the amount paid:

Applicant's Signature _____ Date _____

SECTION TO BE COMPLETED BY THE DOCTOR, PHARMACIST OR OFFICE STAFF

Description of Expenses	Total Out-of-Pocket Amount Paid by Participant	
	Anticipated 12 Mo. (OR)	Last Actual 12 Mo.

The information is provided by:

Name (print) _____ Signature _____ Date _____

Title _____ Name of Business _____ Phone Number _____

Address _____ City _____ State _____ Zip _____



Financial Assistance Contract for Rapid Re-Housing

RE _____ SSN XXX-XX-_____
Applicant's Name (print) (last four digits)

Tenant name: _____

Unit address: _____

Please indicate the type of financial assistance being provided (check all that apply):

☐ Security Deposit ☐ Utility Deposit ☐ Financial Assistance

This financial assistance contract is by and between _____ (Agency Name) and _____ (Landlord/Owner). The effective date is _____. The total unit rent as identified in the lease is \$ _____ per month and is due on the _____ day of each month. The owner/landlord agrees to accept and _____ (Agency Name) agrees to pay assistance payments for the above referenced tenant for a period not to exceed 24 months or the end of the tenant's lease term.

This financial assistance agreement will terminate on one of the following:

- (1) The tenant moves out of the housing unit voluntarily
- (2) The lease terminates and is not renewed
- (3) The tenant becomes ineligible to receive RRH financial assistance
- (4) If the unit fails to meet the housing quality standards of 24 CFR 982.401(a)
- (5) Immediately upon program funding ending

The landlord/owner further agrees that during the term of this contract, the landlord/owner will provide _____ (Agency Name) with copies of any lease violations or notice to vacate the unit that are provided to the tenant.

This financial assistance is intended to assist the tenant in returning to self-sufficiency. As such, the tenant household's income will be reviewed every three months the need for and amount of continued financial assistance. _____ (Agency Name) will notify the owner/landlord of any changes in financial assistance.

\$ _____ will be/is _____ (Agency Name) responsibility (1st month's rent)

\$ _____ will be/is _____ (Agency Name) responsibility (Ongoing)

Landlord/Owner Date

Agency Representative Date



Case Management Expectations

RE: _____ SSN: XXX-XX-_____
Applicant's Name (print) (last four digits)

As a participant of rapid re-housing assistance provided by _____ (Agency Name), case management services are available to my household for the duration of my assistance. The case worker providing this service is expected to make contact with my household a minimum of two times per week.

I understand and acknowledge that the case worker is expected to:

- _____ Work with the client to be housed or stay housed
- _____ Work with the client on case management tasks to overcome housing barriers
- _____ Advocate on behalf of the client
- _____ Refer to community resources and assuring linkage with those resources
- _____ Modify case plans to change with client need
- _____ Monitor, evaluate, and record client progress
- _____ Treat clients with dignity and respect
- _____ Respond to and assist clients in crisis

I understand and acknowledge that the program participant is expected to:

- _____ Work with the case worker to be housed or stay housed
- _____ Have an active role in planning, reviewing and changing their case plan
- _____ Follow through on case management tasks
- _____ Give accurate information about circumstances that may impact their housing
- _____ Assist by making and keeping a safe environment
- _____ Notify the case worker if a scheduled appointment needs changed

Program Participant Date

Agency Representative Date

Uniform Residential Landlord & Tenant Act (URLTA) Tenant/Landlord Rights & Responsibilities Overview

The Uniform Residential Landlord & Tenant Act (URLTA) is a state law that is adoptable by counties and cities. The counties that choose to adopt the law must adopt it in full- they may not pick and choose what statutes they wish to enforce and which they do not. Out of Kentucky's 120 counties, only about 19 have adopted URLTA. It is important to recognize whether a jurisdiction has adopted the law before relying on it. The following counties have adopted the law: **Fayette**, Jefferson, Oldham, and Pulaski. The following cities have adopted the law: Covington, Newport, Florence, Georgetown, Dayton, Taylor Mill, Ludlow, Bellevue, Melbourne, Barbourville, Bromley, Southgate, Woodlawn, and Silver Grove. URLTA details the rights and responsibilities of landlords and tenants. The law can be a very helpful resource and guide for both landlords and tenants, as the expectations and guidelines are laid out in a clearer manner than the counties in which URLTA is not adopted.

Some of a tenant's rights:

- _____ A tenant has the right to view an apartment and know the bank account in which the security deposit will be held before signing the lease.
- _____ A tenant has the right to record a list of any damages or defects that exist in an apartment and ask that the landlord sign off on the list before moving into an apartment.
- _____ When a tenant is moving out of a unit, the tenant has the right to walk through his or her apartment with the landlord to note any damages, and require that the list be signed by both him or her and the landlord. If the tenant leaves the apartment in good condition the landlord must mail the security deposit to the tenant's most recent address within 30 days. If the tenant does not leave the apartment in good condition, the landlord holds the right to use the security deposit to make necessary repairs- the tenant may require to see the cost of the repairs.

Some of a tenant's responsibilities:

- _____ A tenant must maintain the apartment clean, take care of the appliances, and throw their trash away in the designated areas.
- _____ A tenant must pay their rent on time.
- _____ A tenant must not disturb the peace and safety of their neighbors.
- _____ A tenant must not break the terms of the written lease.
- _____ A tenant must inform the landlord when they plan on being away from their apartment for more than 7 days.

Some of a landlord's rights:

- _____ A landlord may enter a unit to inspect, make repairs, and/or show the unit to prospective tenants, but the landlord must provide the tenant with 2 days notice prior.
- _____ A landlord may enter into a unit without notice only for emergency purposes. Other than these listed times, a landlord must have a court order to enter into a unit.

**Uniform Residential Landlord & Tenant Act (URLTA)
Tenant/Landlord Rights & Responsibilities Overview**

Some of a landlord's responsibilities:

- _____ A landlord must inform the tenant who they pay their rent to and who takes care of maintenance issues.
- _____ A landlord must maintain a property that is up to local health and safety code to guarantee the well-being of the tenant.
- _____ A landlord must maintain all appliances of the home in working order (electrical appliances, heating, plumbing, and other included amenities).
- _____ A landlord must always provide working hot water.
- _____ A landlord must assure heat between (October 1-May 1) during the year.

Other:

- _____ Both the landlord and the tenant must comply with and complete the terms of the rental contract.
- _____ If there are any problems with a tenant's apartment (such as with the heating, plumbing, the stove, air conditioning), the tenant must give the landlord written notice of the problems.
- _____ In the case that a landlord does not respond and/or acknowledge the problems, the tenant must contact the necessary local agency, if possible (such as Code Enforcement or the Health Department). If the living situation is a safety hazard, then the tenant should address the lease violation in written form to the landlord. The tenant can therein state that they are giving 30 days notice to vacate the unit unless the landlord cures the problem within 14 days of when he receives the notice (certified mail is necessary to ensure that a landlord receives the mail).
- _____ If a landlord cuts off necessary services to a tenant's unit, such as water, heating, etc., the tenant has the right to end the lease with proper notification given.
- _____ If a tenant violates the lease, the landlord needs to write out the specific violation and provide the tenant written notice.
- _____ If the violation is for non-payment of rent, the landlord must give notice of 7 days to either pay the rent or evict. If the rent is not paid during the period of those 7 days, the landlord may proceed with filing for eviction in court.
- _____ If the violation is for non-compliance with the lease, the landlord must give the tenant a notice of 14 days to either cure the problem or evict. If the problem is not cured within the 14 days, the landlord may proceed with filing for eviction in court.
- _____ If a tenant is on a month to month lease, either the landlord or the tenant may provide 30 days notice to vacate when ready to end the lease. If a tenant is on a week to week lease, then either the landlord or the tenant may provide 7 days notice to vacate when ready to end the lease.

**Uniform Residential Landlord & Tenant Act (URLTA)
Tenant/Landlord Rights & Responsibilities Overview**

It is extremely important for a tenant to understand fully what they are signing when they agree to a lease; the lease is the document that will stand as law between a tenant and landlord in court, if ever presented in court. A tenant should be aware of the terms of the lease (the duration of it, how much notice they will need to provide the landlord before vacating at the end of the lease, etc.).

By endorsing below, I acknowledge that my case worker has reviewed tenant/landlord rights and responsibilities under the Uniform Residential Landlord & Tenant Act (URLTA) with me. In addition, I have been provided with a copy of this document as well as contact information for the Lexington Fair Housing Council:

**Lexington Fair Housing Council
207 E Reynolds Rd, Ste 130
Lexington, KY 40517
(859) 971-8067**

Program Participant

Date

Agency Representative

Date

Lexington-Fayette, KY 2020 Five-Year Anti-Poverty Strategic Plan

Jurisdiction Goals, Programs and Policies for reducing the number of Poverty-Level Families

The goal of Lexington-Fayette Urban County Government's anti-poverty strategy is to reduce the number of households with income below the poverty line by linking the targeted population with basic education and job training programs, the provision of job placement services, and by reducing the barriers that keep this population from full participation in the economic mainstream. These barriers include insufficient childcare, lack of access to transportation, housing that is in poor condition and/or unaffordable, rising utility and food costs, low levels of educational attainment, substance abuse, mental illness, and poor access to health care. The anti-poverty strategy includes the interaction and coordination among social service delivery agencies to provide services to this population.

Seven groups of persons have been identified as over-represented in the poverty ranks. They are High School Dropouts, Persons with Disabilities, Ex-Offenders, Female-Headed Households/Teen Mothers/Young Families, Homeless persons, Welfare-Dependent adults, and Limited English Proficiency persons.

The predominant anti-poverty agency for Lexington-Fayette County is the **COMMUNITY ACTION COUNCIL FOR LEXINGTON-FAYETTE, BOURBON, HARRISON AND NICHOLAS COUNTIES (COUNCIL)**, chartered in 1965 as part of the War on Poverty. Through a combination of child development programs, family development programs, emergency services, transportation services, volunteer opportunities and community advocacy, the Council serves to eliminate poverty in the community. The Council believes in creating livable communities and provides services and programs for low-income persons and families.

How are the Jurisdiction poverty reducing goals, programs, and policies coordinated with this affordable housing plan

The jurisdiction's Consolidated Plan proposes the development and operation of programs, services, and facilities that target all of the groups of people who have been identified by Community Action Council as over-represented in the poverty ranks. The plan proposes expenditure of funds for production of affordable housing units for all low-income people, including extremely-low income people, all of whom may be defined as living below the poverty level. In addition, The Lexington-Fayette Urban County Government, by Ordinance No. 103-2014 on September 11, 2014, has designated annual funding in the amount of \$2,000,000 for the "Affordable Housing Fund," and annual funding in the amount of at least \$850,000 for the "Innovative and Sustainable Solutions to Homelessness Fund" continuing on into the Fiscal Year 2022. LFUCG annually allocates operating funds (General Fund) to community partner agencies with general fund dollars. To this end, an estimated annual allocation in the amount of \$850,000 will be expended for emergency shelters in the community in Fiscal Year 2022.

Groups of People over-represented in the poverty ranks- Part 1

Lexington-Fayette, KY 2020 Five-Year Anti-Poverty Strategic Plan

High school dropouts –A person's economic prospects are significantly reduced without, at a minimum, a high school diploma or GED certification. According to the U.S. Census Bureau's 2009-2013 American Community Survey 5-Year Estimates, high school graduates in Kentucky earn an average annual salary of \$25,981 compared to \$18,498 for those without a high school diploma. In Kentucky, the lost lifetime earnings for the number of high school dropouts in 2011 alone totaled more than \$2.1 billion (Alliance for Excellent Education, 2012). In addition, increases in health care costs, crime rates and public assistance spending are correlated with increases in high school dropout rates (Alliance for Excellent Education, 2011).

In Kentucky, 15.4 percent of those ages 18 to 24 have less than a high school degree (American Community Survey, 2013). The reported high school dropout rate for Kentucky in 2013 was 1.7 percent (Kentucky Department of Education); in comparison, the national high school dropout rate was 6.6 percent in 2012 (National Center for Educational Statistics, 2013). Fayette County, Kentucky reportedly had a dropout rate of 2.2 percent in 2013 (Kentucky Department of Education). The reported dropout rate initially appears to be low.

The labor force participation rate for high school dropouts ages 16 to 19 is substantially lower than for those who graduated high school and were not enrolled in college. Nationally, 27.9 percent of high school dropouts were unemployed as compared to 25.8 percent of high school graduates (Bureau of Labor Statistics, 2013).

Black and Hispanic youth are more likely than non-Hispanic whites to drop out of high school (National Center for Education Statistics, 2013). Additionally, the status drop out rate for youth from low-income households was 12 percent, while those from upper middle class households was only 4 percent (National Center for Education Statistics, 2013.) Other factors that are predictive of dropping out of high school include poor academic performance, high absenteeism and having a disability (Laird et al., 2007).

Groups of People over represented in the poverty ranks-Part 2

Persons with disabilities – According to the 2013 American Community Survey, in Lexington-Fayette County, 9.6% (19,207) of the population between 18 and 64 years of age had a disability. Of this working age population, only 7,458 (38.8%) were reported as employed. Among this age group, 31.9% of individuals with a disability were reported as having income in the previous 12 months below poverty level, while among those without disabilities, only 17.69% were reported as having income in the previous 12 months below poverty level.

Ex-Offenders – It is difficult to get information on the ex-offenders residing in Fayette County. For those who are currently in correction institutions in Fayette County, it is also difficult to determine when those persons will be released and where they will reside upon release.

Female-Headed Households, Teen Mothers, and Young Families – According to the 2013 American Community Survey in Fayette County the poverty rate among female-headed households is

Lexington-Fayette, KY 2020 Five-Year Anti-Poverty Strategic Plan

34.9%. Among female headed families with children under the age of 18, the poverty rate is 45.9%. The poverty rate in female-headed families with children under the age of five is 61.3%. This latter group of families includes many teenage mothers. The poverty rate among all families is 12.2 percent. From the 2013 American Community Survey, in the previous 12 months 305 females between the ages of 15 and 19 years of age gave birth. According to Kentucky KIDS COUNT, young families (parents under the age of 25) are particularly at-risk of living in poverty. Many of these young parents are products of foster care, involvement in the juvenile justice system, and lack a high school diploma. Based upon data from the 2013 Kentucky KIDS COUNT, the Fayette County child poverty rate is 23%. 2013 Kentucky KIDS COUNT also states: 6.6 percent of children in Fayette County do not have health insurance

Groups of People over represented in the poverty ranks-Part 3

Homeless persons – This is probably the most difficult group to identify as it is by far the most transient. The Central Kentucky Housing and Homeless Initiative conducted a count of homeless persons in January 2014. The total number of homeless persons counted was **1,453**. This included **566** in emergency shelter and **887** in transitional housing programs. In addition, the preliminary results from the January 2015 unsheltered count identified **37** individuals residing on the street. These results are preliminary and it will be important to compare this number with the shelter count as the K Count was scheduled on an evening in which Lexington experienced very cold weather.

Welfare-dependent adults – With the passage of federal welfare reform limiting the total number of years recipients may receive monthly TANF assistance, the economic system must accommodate a population of poverty-level welfare-dependent adults with children who must learn job skills, obtain full-time employment and become self-sufficient. According to the Administration of Children and Families, there were a total of 28,587 families that received assistance through the Kentucky Transitional Assistance Program (K-TAP) in fiscal year 2014. Kentucky established the K-TAP program utilizing Federal funds from the TANF block grant. This program provides financial and medical assistance for low-income families with children. As of 2013, 1,761 children received benefits through the K-TAP program in Fayette County.

Limited English Proficiency persons– The Lexington-Fayette County area has experienced a surge in the growth of its international population during the past 25 years. Most of these new residents have moved to the area in search of work, sanctuary, or education. Poor English language skills have made them unable to find jobs that pay a living wage and also puts them at a disadvantage in obtaining housing and social services. According to the 2011-2013 American Community Survey, 3.1% of all households have no one age 14 and over speaking English only or speaks English very well. Of the population five years of age and over, 15,142 persons speak English less than "very well." The primary populations that do not speak English very well are persons who speak Spanish (8,833) and persons who speak Chinese (2,081).

Child Development Programs

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Child development programs impact the mission and vision of Community Action Council through direct child development services, parent and community involvement, and advocacy on behalf of families and children. These programs include:

Head Start is a comprehensive child development program that involves parents, community leaders and agencies in providing the opportunity for children to be successful in school. Children are given the opportunity to develop social skills, improve health and physical abilities, enhance cognitive skills such as problem solving, concepts and critical thinking, language and literacy including pre-reading, development and positive feelings of self-worth before entering elementary school. Community Action Council operates 12 Direct Managed child development centers in Fayette, Harrison, Madison and Scott counties, 3 Blended sites in Fayette County, 12 partnership sites with community child care centers in Fayette County, and 1 partnership site with Nicholas County Elementary School in Nicholas County. In addition, the Council provides an Early Head Start program in Bourbon County. Community Action Council now serves Head Start eligible children through Home Based programs in Fayette, Harrison and Nicholas counties. Head Start, with the options of part day/part year and full day/full year programs, is free for eligible 3-5 year olds.

Early Head Start works with families that are expecting a child or have children under 3, with the target age being under 1 for maximum impact. The program provides intensive care for children through quality child development services in a center or home setting. In addition, family development specialists work with the families to assist them in achieving social and economic self-sufficiency.

Migrant Head Start is a coalition of Head Start providers across Kentucky. It is being established to address the child care needs of migrant families. Through the Network, children of migrant farm worker families can be enrolled in extended-day Migrant Head Start programs that offer a developmentally and culturally appropriate learning environment. The Network will also offer other support services to improve migrant family and child health, educational and developmental opportunities.

Child Care is also available to eligible families for full-day/full-year care, for an affordable fee at all of its child development centers. In many instances, subsidies are available to cover the fees.

Family Development Programs

Family development programs impact the mission and vision of Community Action Council through direct family development and self-sufficiency services, parent involvement, and advocacy on behalf of low-income families.

LEEP (Literacy, Education, Experience & Post-Secondary) offers a comprehensive support system to youth ages 18-21 who are eligible to receive their GED and who are from households with incomes below 100% of the Federal Poverty Guidelines. The program's goal is to assist participants in completing their secondary school requirements and subsequently, in securing employment and/or enrolling in a post-secondary school or program through case management, tutoring, mentoring and education and

Lexington-Fayette, KY 2020 Five-Year Anti-Poverty Strategic Plan

career counseling, as well as leadership development opportunities and paid and unpaid work experiences.

Project Independence assists homeless families in reconstructing their lives by working with the families to determine and address the causes of homelessness. Help is provided through transitional housing, case management, rental assistance, and meeting basic needs like food referrals and clothing. Additional services are available to families with three- and four-year old children.

Young One Eighty offers young persons ages 16-24 who are out of school and/or have criminal records the opportunity to engage in conversations that impact their daily lives with mentors and volunteers. Mentors provide guidance and positively influence youth's decisions and actions.

Employment and Child Care Opportunities (ECCO) offers parents of Head Start, Early Head Start or Migrant Head Start with the opportunity to receive their Child Development Credential (CDA) while their children are in child care. The child care fees that help support a full day are provided by funding from the Lexington-Fayette Urban County Government. The program provides community service/job skills training to support self-sufficiency.

Transportation

Transportation services impact the mission and vision of Community Action Council through direct transportation services to a wide range of low-income children and adults, and advocacy on behalf of low-income people for the leverage of more transportation resources.

Program Transportation - For many low-income people, access to transportation is a major barrier. To overcome this barrier, the Council provides transportation for participants of programs such as Head Start and Migrant Head Start. Seniors at Fayette County senior housing complexes may also ride on the Council vehicles to local shopping centers through a program funded by the Lexington-Fayette Urban County Government. Transportation is also provided for medical needs and prescription delivery.

Emergency Services

Emergency services impact the mission and vision of Community Action Council through direct emergency services to low-income families that act as a safety net and allow for the better use of other available income. Advocacy takes place on behalf of low-income families in order to leverage more resources available through private and public entities. Programs and funding vary and additional forms of assistance may be available at any time. The Council strives to meet all needs presented to its staff members.

God's Pantry Collaboration The Council renovated one of its neighborhood centers to accommodate a much needed pantry for residents in the highest food referral area of Lexington.

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Summer Cooling – Each summer, air conditioners are loaned to people whose health is threatened by the high heat and humidity as verified by their doctors. The program was established and operates through local donations.

Low-Income Home Energy Assistance Program (LIHEAP) – A federally funded program, LIHEAP offers energy assistance to low-income individuals and families through two components: subsidy and crisis. Subsidy provides a one-time payment for heating costs. The crisis component serves clients who have received shut-off notices and will be without heat within 4 days. Payment amounts for both components are determined by family size, income and type of heat used in the home, and are made directly to vendors.

WinterCare – A statewide privately-supported energy assistance fund, WinterCare is a partnership between utilities and community action agencies that helps low-income, disabled and elderly persons with their utility costs. Donations to this fund assist those who are ineligible for federal assistance, but still fall below the poverty line. Community Action Council provides staff administrative support for the statewide fund.

Energy Assistance Programs – A project with Columbia Gas of Kentucky, the Energy Assistance Program (EAP) assists low-income households in managing their gas expenses. Delta EAP provides similar assistance to through the Council to its customers. The Kentucky Utilities Home Energy Program (HEA) provides electric utility assistance in both winter and summer months.

Help to Others H2O - ensures that low-income households have access to water by providing assistance with water payments in emergency situations. The H2O Fund is available to all eligible Kentucky-American Water Company customers in 10 counties (Fayette, Bourbon, Harrison, Gallatin, Grant, Owen, Jessamine, Scott, Woodford, and Clark). The Fund was initiated by Kentucky-American Water Company and Community Action Council, but is supported through private donations that KAWC matches.

Housing

Weatherization Assistance Program (WAP) helps to improve insulation and repairs heating systems to reduce energy bills for single family homes, mobile homes and apartments in Fayette, Bourbon, Harrison & Nicholas counties. Incomes up to 200% of the poverty are eligible for WAP. WAP serves approximately 150 households annually. Partnerships with Kentucky Housing Corporation (KHC) and LFUCG under the **Clean Energy Corps** and the **Nonprofit Housing Production and Repair Program** expand the impact of WAP services. Additional partnerships with Kentucky Utilities expand the reach of WAP under the **WeCare Weatherization Program** and **WinterBlitz** programs.

Two collaborations between LFUCG and Community Action provide the **Weatherization Enhancement Program** and the **Heat System Repair Program** which repair or replace faulty heat system equipment for households up to 200% of poverty incomes in Fayette County. Another partnership with Columbia Gas of Kentucky provides the similar **High-efficiency Furnace Replacement Program**.

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Volunteer Programs

The ***Retired and Senior Volunteer Program (RSVP)***. RSVP helps people age 55 and older put their skills and life experience to work for organizations that meet critical community needs. RSVP volunteers can be found addressing community needs that focus on health and nutrition, human service needs, education, environmental concerns, and community and economic development or anywhere else that people need help.

Began in 1999, the ***Foster Grandparents Program*** brings seniors and children together so that each may enrich the lives of the other. More than 45 low-income seniors, over age 60 work with children with special or exceptional needs at various sites, including the Council's Child development Centers. The program focuses on improving cognitive, language, social and development needs building a strong foundation for literacy, while also offering the emotional support essential to children. Foster Grandparents are paid a modest stipend for their service.

Community Outreach and Network of Services

Community Action Council is an important resource for low-income families and individuals. In many cases, the Council's role is to link those needing help to services provided by other organizations in the community. The Council also provides outreach and assistance to neighborhoods and other community organizations to build collaborations and promote the Council's mission to prevent, reduce and eliminate poverty.

Advocacy and Community Leadership

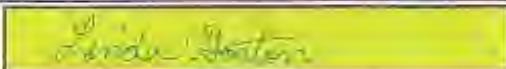
Community Action Council staff actively participate in the community to advance the organization's mission to prevent, reduce and eliminate poverty. This is accomplished in many ways such as participation on community boards and committees, public awareness and/or educational activities of important issues related to the mission, as well as organizing an on-going educational community forum called The LexEndPoverty Forum.

Grantee SF-424's and Certification(s)

OMB Number: 4040-0034
Expiration Date: 11/29/2025

Application for Federal Assistance SF-424		
<p>* 1. Type of Submission:</p> <p><input type="checkbox"/> Preapplication</p> <p><input checked="" type="checkbox"/> Application</p> <p><input type="checkbox"/> Changed/Corrected Application</p>		
<p>* 2. Type of Application:</p> <p><input checked="" type="checkbox"/> New</p> <p><input type="checkbox"/> Continuation</p> <p><input type="checkbox"/> Revision</p>		
<p>* If (revision, state appropriate reason(s):</p> <p>_____</p>		
<p>* Other (Specify):</p> <p>_____</p>		
<p>* 3. Date Received:</p> <p>05/16/2023</p>		<p>4. Applicant Identifier:</p> <p>_____</p>
<p>5a. Federal Entity Identifier:</p> <p>_____</p>		<p>5b. Federal Award Identifier:</p> <p>_____</p>
<p>State Use Only:</p>		
<p>6. Date Received by State:</p> <p>_____</p>		<p>7. State Application Identifier:</p> <p>_____</p>
<p>8. APPLICANT INFORMATION:</p>		
<p>* a. Legal Name: Lexington-Fayette Urban County Government</p>		
<p>* b. Employer/Taxpayer Identification Number (EIN/TIN):</p> <p>61-0808140</p>		<p>* c. UEI:</p> <p>U01GJHWEXA95</p>
<p>d. Address:</p>		
<p>* Street: 200 East Main Street</p>		
<p>Street: _____</p>		
<p>* City: Lexington</p>		
<p>County/Parish: Fayette</p>		
<p>* State: KY: Kentucky</p>		
<p>Province: _____</p>		
<p>* Country: USA: UNITED STATES</p>		
<p>* Zip / Postal Code: 40507-1210</p>		
<p>e. Organizational Unit:</p>		
<p>Department Name:</p> <p>Housing Advocacy & Comm Dev.</p>		<p>Division Name:</p> <p>Grants and Special Programs</p>
<p>f. Name and contact information of person to be contacted on matters involving this application:</p>		
<p>Prefix: Mr. * First Name: Theresa</p>		
<p>Middle Name: _____</p>		
<p>* Last Name: Reynolds</p>		
<p>Suffix: _____</p>		
<p>Title: Director, Grants and Special Programs</p>		
<p>Organizational Affiliation:</p> <p>_____</p>		
<p>* Telephone Number: 859-258-3376</p>		<p>Fax Number: _____</p>
<p>* Email: Larawan2@lexingtonky.gov</p>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="3: County Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.218"/>	
CFDA Title: <input type="text" value="Community Development Block Grant"/>	
* 12. Funding Opportunity Number: <input type="text" value="E/A"/>	
* Title: <input type="text" value="Community Development Block Grant"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text" value="Lexington-Fayette Exp.pdf"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="Lexington-Fayette Urban County Government 2023 Community Development Block Grant Project"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="000-006"/>	* b. Program/Project: <input type="text" value="000-006"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Download Attachment"/> <input type="button" value="Remove Attachment"/>	
17. Proposed Project:	
* a. Start Date: <input type="text" value="03/01/2023"/>	* b. End Date: <input type="text" value="06/30/2024"/>
18. Estimated Funding (\$):	
* a. Federal:	<input type="text" value="2,340,527.00"/>
* b. Applicant:	<input type="text" value="0.00"/>
* c. State:	<input type="text" value="0.00"/>
* d. Local:	<input type="text" value="0.00"/>
* e. Other:	<input type="text" value="0.00"/>
* f. Program Income:	<input type="text" value="175,000.00"/>
* g. TOTAL:	<input type="text" value="2,515,527.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text" value="05/05/2023"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input type="checkbox"/> c. Program is not covered by E.O. 12372	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Download Attachment"/> <input type="button" value="Remove Attachment"/>	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Linda"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Gordon"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Mayor"/>	
* Telephone Number: <input type="text" value="559-258-3100"/>	Fax Number: <input type="text" value="559-258-3104"/>
* Email: <input type="text" value="lmgordon@eximtechnology.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="05/16/2023"/>

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4753) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-643) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7524-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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Prescribed by GSA Circular A-102

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§278a-1 to 278a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874) and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11585 (identification and protection of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-346 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
► Brighton Regional District, County, Colorado	05/15/2023

Standard Form 424B (Rev. 7-97) Back

ASSURANCES - CONSTRUCTION PROGRAM

OMB Number: 0540-0009
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0345-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State the right to examine all records, books, papers or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4729-4733) relating to prescribed standards of merit systems for programs funded under one of the 16 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, 'Subpart F').
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1551-1553, and 1605-1608), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§525 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-5 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§801 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statutes) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102


11. Will comply, or has already complied, with the requirements of Titles I and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276s-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11993; (d) evaluation of food hazards in floodplains in accordance with EO 11986; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 175(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 108 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-7 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act/Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
Washington, Egyptian Urban Community Development	12/12/2022

ST-426D (Rev. 7-97) Back

Application for Federal Assistance SF-424		
<div> <div> * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Change/Corrected Application </div> <div> * 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision </div> <div> * 3. Revision, select appropriate title(s): <input type="text"/> * Other (Specify): <input type="text"/> </div> </div>		
* 3. Date Received: 02/02/23		* 4. Applicant Identifier: <input type="text"/>
* 5. Federal Entity Identifier: <input type="text"/>		* 6. Federal Award Identifier: <input type="text"/>
State Use Only:		
* 7. Date Received by State: <input type="text"/>		* 8. State Application Identifier: <input type="text"/>
8. APPLICANT INFORMATION:		
* a. Legal Name: Lexington-Fayette Urban County Government		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 61-0838140		* c. UEI: VW1GSRWXP95
d. Address:		
* Street: 200 East Main Street		
Street2: <input type="text"/>		
* City: Lexington		
County/Parish: Fayette		
* State: KY Kentucky		
Province: <input type="text"/>		
* Country: USA: UNITED STATES		
* Zip / Postal Code: 40501-0310		
e. Organizational Unit:		
Department Name: Housing Advocacy & Comm. Dev.		Division Name: Grants and Special Programs
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: Mr. * First Name: Marissa		
Middle Name: <input type="text"/>		
* Last Name: Reynolds		
Suffix: <input type="text"/>		
Title: Director, Grants and Special Programs		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: 606-258-3070		* Fax Number: <input type="text"/>
* Email: mreynolds@lexingtonky.gov		

Application for Federal Assistance SF-424		
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="County Government"/>		
Type of Applicant 2: Select Applicant Type: <input type="text"/>		
Type of Applicant 3: Select Applicant Type: <input type="text"/>		
* Other (specify): <input type="text"/>		
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development"/>		
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.239"/>		
CFDA Title: <input type="text" value="HOME Investment Partnerships Program"/>		
* 12. Funding Opportunity Number: <input type="text" value="N/A"/>		
* Title: <input type="text" value="HOME Investment Partnerships Program"/>		
13. Competition Identification Number: <input type="text"/>		
Title: <input type="text"/>		
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text" value="Lexington-Fayette Urban County Government 2025 HOME Investment Partnerships Project"/>		
<input type="text" value="Lexington-Fayette Urban County Government 2025 HOME Investment Partnerships Project"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>		
* 15. Descriptive Title of Applicant's Project: <input type="text" value="Lexington-Fayette Urban County Government 2025 HOME Investment Partnerships Project"/>		
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>		

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: KY-008	* b. Program/Project: KY-008
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="Download Attachment"/>	
17. Proposed Project:	
* a. Start Date: 07/01/2023	* b. End Date: 06/30/2024
18. Estimated Funding (\$):	
* a. Federal:	1,145,260.00
* b. Applicant:	300,000.00
* c. State:	0.00
* d. Local:	0.00
* e. Other:	0.00
* f. Program Income:	100,000.00
* g. TOTAL:	1,545,260.00
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on 05/05/2023 <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review <input type="checkbox"/> c. Program is not covered by E.O. 12372	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach: <div> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="Download Attachment"/> </div>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: Mr.	* First Name: Linda
Middle Name:	
* Last Name: Genton	
Suffix:	
* Title: Mayor	
* Telephone Number: 502-258-3100	Fax Number: 502-258-3154
* Email: lgenton@kentucky.gov	
* Signature of Authorized Representative: 	* Date Signed: 05/16/2023

ASSURANCES - NON-CONSTRUCTION PROGRAMS

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1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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Prescribed by OMB Circular A-102

- b. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 48 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of vitalizing facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§1401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523) and; (h) protection of endangered species under the Endangered Species Act of 1975, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.)
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1965 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act, during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Fayetteville, Arkansas	05/16/2025

Standard Form 424B (Rev. 7-87) Back

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number 4046-0008
Expiration Date: 02/28/2025

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1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards of agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §5480) et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-362) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683 and 1685-1688) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 ad 3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.) as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to this application.

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Prescribed by GWS Circular A-112

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-645) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11736; (c) protection of wetlands pursuant to EO 11660; (d) evaluation of flood hazards in floodplains in accordance with EO 11888; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and; (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§459a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
Lehigh Valley Economic Development Corporation	05/16/2023

SI-4747 (Rev. 7-97) Back

Application for Federal Assistance SF-424		
<div> <div> * 1. Type of Submission <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application </div> <div> * 2. Type of Application <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision </div> <div> * If Revision, select appropriate item(s): <input type="checkbox"/> Other (Specify): <input type="checkbox"/> </div> </div>		
* 3. Date Received: 04/12/2025		4. Applicant Identifier:
5a. Federal Entity Identifier: 		5b. Federal Award Identifier:
State Use Only:		
6. Date Received by State:		7. State Application Identifier:
B. APPLICANT INFORMATION:		
* a. Legal Name: Lexington-Fayette Urban County Government		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 61-0656140		* c. UEL: TXIGLEXXK96
d. Address:		
* Street: 200 East Main Street Street: * City: Lexington County/Parish: Fayette Co. * State: KY; Kentucky Province: * Country: USA; UNITED STATES * Zip / Postal Code: 40507-1310		
e. Organizational Unit:		
Department Name: Housing Advocacy & Comm. Dev.		Division Name: Grants and Special Programs
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: Ms. * First Name: Theresa Middle Name: * Last Name: Reynolds Suffix: Title: Director, Grants and Special Programs Organizational Affiliation: * Telephone Number: 859-255-1098 Fax Number: * Email: lorenser2@lexingtonky.gov		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="6: County Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.231"/>	
CFDA Title: <input type="text" value="Emergency Solutions Grant Program"/>	
* 12. Funding Opportunity Number: <input type="text" value="N/A"/>	
* Title: <input type="text" value="Emergency Solutions Grant Program"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Area Affected by Project (Cities, Counties, States, etc.): <input type="text" value="Lexington-Fayette Kop.pdf"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="Lexington-Fayette Urban County Government 2023 Emergency Solutions Grant Project"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: KY-CSS	* b. Program/Project: KY-006
Attach an additional list of Program/Project Congressional Districts if needed:	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Remove Attachment"/> <input type="button" value="Download Attachment"/>	
17. Proposed Project:	
* a. Start Date: 07/01/2023	* b. End Date: 06/30/2024
18. Estimated Funding (\$):	
* a. Federal:	204,653.00
* b. Applicant:	52,611.00
* c. State:	0.00
* d. Local:	0.00
* e. Other:	100,042.00
* f. Program Income:	0.00
* g. TOTAL:	457,306.00
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on 05/05/2023 <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach: <div> <input type="button" value="Add Attachment"/> <input type="button" value="Remove Attachment"/> <input type="button" value="Download Attachment"/> </div>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: Mr.	* First Name: Linda
Middle Name:	
* Last Name: Barton	
Suffix:	
* Title: Mayor	
* Telephone Number: 859-266-0100	* Fax Number: 859-266-0100
* Email: mayor@lexingtonky.gov	
* Signature of Authorized Representative:	* Date Signed: 05/16/2023

ASSURANCES - NON-CONSTRUCTION PROGRAMS

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Prescribed by GSA Circular A-102

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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
Jefferson County, Oregon	05/16/2023

Revised Form 480 (Rev. 7-97) Back

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 03/29/2025

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1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4729-4753) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 600, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1581-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§522 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290-293 and 290 et seq.), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§800 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Obsolete

Authorized for Local Reconstruction

Standard Form 424-D (Rev. 7-87)
Prescribed by GSA Circular A 102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. Those requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7378) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11968; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-623); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
Washington Statewide Habitat County Government	05/28/2020

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CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing —The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan — It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4685) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 12 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying —To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction —The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan —The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 — It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701a) and implementing regulations at 24 CFR Part 75.


Signature of Authorized Official

5/16/23
Date

Mayor
Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. **Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2023-2024 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.


Signature of Authorized Official

5/16/23
Date

Mayor _____
Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.



Signature of Authorized Official

5/16/23

Date

Mayor
Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs - It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

Linda Lorton
Signature of Authorized Official

5/16/23
Date

Mayor
Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided; without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy: The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.


Signature of Authorized Official

5/16/23
Date

Mayer
Title

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility.
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.


Signature of Authorized Official

5/16/23
Date

Mayo _____
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.