



## LEXINGTON POLICE DEPARTMENT

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150 East Main Street • Lexington, KY 40507 • (859) 258-3600

October 19, 2021

Ms. April Taylor

RE: Formal Complaint PIU2021F-010—Officer Caleb Wade

Dear Ms. Taylor,

The Public Integrity Unit completed the investigation of your Formal Complaint against Officer Caleb Wade for violation of General Order 1973-02K Disciplinary Procedures of Sworn Officers, Appendix B, Operational Rule 1.35 – Violating Any Rules of the Department.

After reviewing the investigative summary and the body worn camera video, Chief Lawrence Weathers determined the disposition of the complaint as “Unfounded”. Chief Weathers has recommended that no further action be taken with regard to this complaint.

Formal Complaint PIU2021F-010 regarding Officer Caleb Wade is closed.

Please feel free to contact the Public Integrity Unit if you have any questions regarding this determination.

Sincerely,

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Lieutenant David Biroshik  
Bureau of Investigation  
Public Integrity Unit  
(859) 258-3625

cc: Chief Lawrence Weathers  
Asst. Roger Holland  
Officer Caleb Wade  
File – PIU2021F-010



## LEXINGTON POLICE DEPARTMENT

150 East Main Street • Lexington, KY 40507 • (859) 258-3600

October 19, 2021

Ms. Brandy Hosner

RE: Formal Complaint PIU2021F-010—Officer Caleb Wade

Dear Ms. Hosner,

The Public Integrity Unit completed the investigation of your Formal Complaint against Officer Caleb Wade for violation of General Order 1973-02K Disciplinary Procedures of Sworn Officers, Appendix B, Operational Rule 1.35 – Violating Any Rules of the Department.

After reviewing the investigative summary and the body worn camera video, Chief Lawrence Weathers determined the disposition of the complaint as “Unfounded”. Chief Weathers has recommended that no further action be taken with regard to this complaint.

Formal Complaint PIU2021F-010 regarding Officer Caleb Wade is closed.

Please feel free to contact the Public Integrity Unit if you have any questions regarding this determination.

Sincerely,

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Lieutenant David Biroshik  
Bureau of Investigation  
Public Integrity Unit  
(859) 258-3625

cc: Chief Lawrence Weathers  
Asst. Roger Holland  
Officer Caleb Wade  
File – PIU2021F-010



## LEXINGTON POLICE DEPARTMENT

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150 East Main Street • Lexington, KY 40507 • (859) 258-3600

October 19, 2021

Garret Gabriel

RE: Formal Complaint PIU2021F-010—Officer Caleb Wade

Dear Garret Gabriel,

The Public Integrity Unit completed the investigation of your Formal Complaint against Officer Caleb Wade for violation of General Order 1973-02K Disciplinary Procedures of Sworn Officers, Appendix B, Operational Rule 1.35 – Violating Any Rules of the Department.

After reviewing the investigative summary and the body worn camera video, Chief Lawrence Weathers determined the disposition of the complaint as “Unfounded”. Chief Weathers has recommended that no further action be taken with regard to this complaint.

Formal Complaint PIU2021F-010 regarding Officer Caleb Wade is closed.

Please feel free to contact the Public Integrity Unit if you have any questions regarding this determination.

Sincerely,

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Lieutenant David Biroschik  
Bureau of Investigation  
Public Integrity Unit  
(859) 258-3625

cc: Chief Lawrence Weathers  
Asst. Roger Holland  
Officer Caleb Wade  
File – PIU2021F-010



## LEXINGTON POLICE DEPARTMENT

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150 East Main Street • Lexington, KY 40507 • (859) 258-3600

October 19, 2021

Ms. Jamila Green

RE: Formal Complaint PIU2021F-010—Officer Caleb Wade

Dear Ms. Green,

The Public Integrity Unit completed the investigation of your Formal Complaint against Officer Caleb Wade for violation of General Order 1973-02K Disciplinary Procedures of Sworn Officers, Appendix B, Operational Rule 1.35 – Violating Any Rules of the Department.

After reviewing the investigative summary and the body worn camera video, Chief Lawrence Weathers determined the disposition of the complaint as “Unfounded”. Chief Weathers has recommended that no further action be taken with regard to this complaint.

Formal Complaint PIU2021F-010 regarding Officer Caleb Wade is closed.

Please feel free to contact the Public Integrity Unit if you have any questions regarding this determination.

Sincerely,

---

Lieutenant David Biroshik  
Bureau of Investigation  
Public Integrity Unit  
(859) 258-3625

cc: Chief Lawrence Weathers  
Asst. Roger Holland  
Officer Caleb Wade  
File – PIU2021F-010



## LEXINGTON POLICE DEPARTMENT

150 East Main Street • Lexington, KY 40507 • (859) 258-3600

October 19, 2021

Ms. Jessica Bowman

RE: Formal Complaint PIU2021F-010—Officer Caleb Wade

Dear Ms. Bowman,

The Public Integrity Unit completed the investigation of your Formal Complaint against Officer Caleb Wade for violation of General Order 1973-02K Disciplinary Procedures of Sworn Officers, Appendix B, Operational Rule 1.35 – Violating Any Rules of the Department.

After reviewing the investigative summary and the body worn camera video, Chief Lawrence Weathers determined the disposition of the complaint as “Unfounded”. Chief Weathers has recommended that no further action be taken with regard to this complaint.

Formal Complaint PIU2021F-010 regarding Officer Caleb Wade is closed.

Please feel free to contact the Public Integrity Unit if you have any questions regarding this determination.

Sincerely,

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Lieutenant David Biroshik  
Bureau of Investigation  
Public Integrity Unit  
(859) 258-3625

cc: Chief Lawrence Weathers  
Asst. Roger Holland  
Officer Caleb Wade  
File – PIU2021F-010



## LEXINGTON POLICE DEPARTMENT

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150 East Main Street • Lexington, KY 40507 • (859) 258-3600

October 19, 2021

Mr. Richard O'Neill

RE: Formal Complaint PIU2021F-010—Officer Caleb Wade

Dear Mr. O'Neill,

The Public Integrity Unit completed the investigation of your Formal Complaint against Officer Caleb Wade for violation of General Order 1973-02K Disciplinary Procedures of Sworn Officers, Appendix B, Operational Rule 1.35 – Violating Any Rules of the Department.

After reviewing the investigative summary and the body worn camera video, Chief Lawrence Weathers determined the disposition of the complaint as "Unfounded". Chief Weathers has recommended that no further action be taken with regard to this complaint.

Formal Complaint PIU2021F-010 regarding Officer Caleb Wade is closed.

Please feel free to contact the Public Integrity Unit if you have any questions regarding this determination.

Sincerely,

---

Lieutenant David Biroshik  
Bureau of Investigation  
Public Integrity Unit  
(859) 258-3625

cc: Chief Lawrence Weathers  
Asst. Roger Holland  
Officer Caleb Wade  
File – PIU2021F-010



Lexington-Fayette Urban County  
Division of Police  
**MEMORANDUM**  
Lexington, Kentucky

DATE OF ISSUE  
October 15, 2021

EFFECTIVE DATE

NUMBER  
COP:  
21-0175

TO: Kenneth Armstrong, Commissioner  
Department of Public Safety

SUBJECT: Disciplinary Recommendation  
PIU2021F-010  
Officer Caleb Wade

FROM: Lawrence B. Weathers  
Chief of Police

I met with Officer Caleb Wade on October 13, 2021, and am recommending this case be administratively closed as "Unfounded" due to insufficient evidence for violation of General Order 1973-02K, Disciplinary Procedures of Sworn Officers, Appendix B, Operational Rule 1.35 – Violating Any Rules of the Department.

I find that there does not appear to be sufficient evidence produced to sustain this complaint. Body Worn Camera and Public Integrity Unit synopsis was reviewed.

I have included the summary of this formal complaint for your review.

*Lawrence B. Weathers*

Lawrence B. Weathers  
Chief of Police

LBW/rmh

Attachment



LEXINGTON POLICE  
DEPARTMENT  
**MEMORANDUM**  
Lexington, Kentucky

DATE OF ISSUE  
September 3, 2021

EFFECTIVE DATE

NUMBER  
PIU 21-098

TO:  
Lawrence Weathers  
Chief of Police

SUBJECT:  
Formal P.I.U.21.F.010  
Officer Caleb Wade  
Summary

FROM:  
Lieutenant David Biroschik  
Bureau of Investigation  
Public Integrity Unit

This memorandum will provide a synopsis of the investigation; however, other supporting documents may be viewed in conjunction with this memorandum.

On May 25, 2021 the below listed citizens filed a formal complaint against Officer Caleb Wade 54561 for violation of General Order 1973-02K, Disciplinary Procedures of Sworn Officers Appendix B, Operational Rule 1.35 – Violating Any Rules of the Department.

Citizens

- Jessica Bowman
- Garret Gabriel
- Jamila Green
- Brandy Hosner
- Richard O’Neill
- April Taylor

Allegations:

On March 30, 2021, LPD Officer C Wade responded to a call involving [redacted] who was known to be autistic and had been reported by his caseworker as experiencing a mental health crisis or potential psychotic break. Despite knowing [redacted] is autistic and was under duress, body camera footage shows Wade continuing to approach [redacted] even after [redacted] appears to look around and assess that he is being backed into a fence.

This violates GO 2005-01A IV E 3, which provides Guidelines for General Interaction for Interacting with Persons Affected by Mental Illness or in Crisis. Backing someone into a fence is not assuming “a quiet non-threatening manner when approaching or conversing with the individual,” as listed in subsection a. Threatening to tase [redacted] also violates this subsection. Subsections b, c, and d were violated by not contacting [redacted]’s family during the time that [redacted] was allowed to walk from Kroger’s to his apartment complex, by rushing the situation, and by continuing to approach [redacted] until he took off running.



GO 2017-02 IV F advises that people with autism may,

- 3. Be unaware of the severity or level of danger of situations
- 6. Be unresponsive to verbal commands
- 9. Become easily overwhelmed by a situation
- 10. Try to run away or act upset if detained. "Officers may encounter people with I/DD in a variety of situations"

GO 2005-01A IV B advises that generalized signs and symptoms of a mental health crisis include:

- "b. Strong and unrelenting fear of persons, places, or things. Behavior is extremely inappropriate for a given context.
  - c. Frustration in new or unforeseen circumstances; inappropriate or aggressive behavior in dealing with the situation
  - e. Delusions, the belief in thoughts or ideas that are false, such as delusions of grandeur ("I am Christ") or paranoid delusions ("Everyone is out to get me").
  - f. Hallucinations of any of the five senses"
- Given these advisements, Wade did not appropriately de-escalate the situation.

### Investigation

The signed Formal Complaint on Officer Caleb Wade centers on an incident that occurred on March 30, 2021 at 2148 Larkspur Drive, with . To better understand the incident and the mindset of the subject and officers, I reviewed other incidents involving that had occurred over the past 24 hours prior to the event.

The first incident involving occurred at the Krogers located at 1808 Alexandria Drive on Monday March 29, 2021. Officer Adam O'Quinn responded to the store at approximately 1906 hours, where he spoke to a manager about a previous event. The manager stated that around 1730 hour, a male black subject caused a disorder while checking out, due to a "gift card" apparently not working. The staff at the store was able to get the cards working, but the subject continued to threaten them. As she and two other employees walked him out of the store, told them twice that he was going to return and kill them all. The manager stated they recognized the subject from where he shopped in the store on previous occasions.

Officer O'Quinn did not locate the subject that evening, but he did document the incident with Case # 2021-49303 (Terroristic Threatening 3<sup>rd</sup> degree).

The second incident occurred on Tuesday, March 30, 2021 at approximately 1707, again at the Krogers located at 1808 Alexandria Drive. At this time, Officer Gregory Gerton and Officer Tyler Farrell responded in reference to the subject from the previous night being in the store. Officer Gerton spoke with the complainant / employee who met them in the parking lot.

The complainant stated the subject from the previous night had been in the store a short time before the officer's arrival and had threatened the employees, by saying he was going to "whip their ass". The complainant gave a description of the subject and showed him a photo of the individual from his phone. He also said the subject was observed walking away into a neighborhood near the store and pointed in the direction he was last seen. Officer Gerton and

Officer Farrell drove into the neighborhood, where they located the subject, later identified as walking on Maywick Drive.

During the encounter on Maywick Drive [redacted] was extremely hostile, yelling at the officers, he threw a soda bottle towards the officers and threatened to kill them. He would walk towards the officers, but would turn and run from them as they approached. Officers told [redacted] they wanted to talk to him, but he kept running away. They also told him they would talk to him where he was standing, which was approximately 25 yards away, again refused.

When [redacted] continued to escalate the situation with the officers, they did attempt to apprehend him during a foot pursuit, but decided against it quickly, when Officer Farrell stated he would just get warrants against him for Terroristic Threatening. Based upon his previous contacts, Officer Farrell knew who [redacted] was and knew where he lived. Both officers walked back to their cruisers and confirmed [redacted]'s identity on their MDC. Officer Gerton cleared the area and Officer Farrell decided to drive to [redacted]'s home to see if he could locate him there.

The third incident was occurring at the same time the Maywick Drive incident was playing out. At approximately 1722 hours, Officer Caleb Wade was dispatched to [redacted] Larkspur Drive apt [redacted] in reference to a Terroristic Threatening case. The complainant on the call identified as [redacted] advised he was the Program Director for the [redacted]. He also stated around 1630 hours that day, that [redacted] had called him and made several vague threats against other case workers. One of the threats made, was that he was going to put one of the case workers head on a platter. [redacted] also advised him he was in the Mexican Cartel and they were experimenting drugs on him. [redacted] was concerned with [redacted], stating he had been acting very strange ever since he was in jail for a month and wanted officers to conduct a welfare check.

After gathering all of the information from [redacted] via cellphone, Officer Wade drove over to [redacted]'s apartment. He was familiar with [redacted] due to several encounters in the past, including an assault committed by [redacted] against some of his friends. His recollection of that incident was that [redacted] went to another home and got into a physical altercation over alcohol and video games. [redacted] had left prior to his arrival, but was located at his home covered in blood, apparently from his nose. [redacted] refused to cooperate at that time, only saying he had fallen, while the victim and witnesses stated he was the aggressor. No charges were placed for this incident as the victim decided not to prosecute. Do to this call though Officer Wade knew what apartment [redacted] lived, to which he went to on March 30<sup>th</sup>.

After knocking on the door several times with no response, Officer Wade walked back towards his car with the intention of calling [redacted] back to possibly gather more information. It was at this time he noticed an individual walking through the parking lot looking away and back at him continuously. He realized it was [redacted] so he immediately activated his body worn camera.

Officer Wade was approximately 20-30 feet from [redacted] when he called out to him, telling him he would like to talk to him. [redacted] responded by saying "no, no you are not going to do this", he then turned and began walking away. Officer Wade calmly told [redacted] he just

wanted to talk to him, then turned around and pulled a knife from his waistband and held it up in a threatening manner and told Officer Wade he "would have to kill him first."

After telling to drop the knife Officer Wade advised dispatch he needed a backup unit, it was shortly after this that Officer Farrell arrived and told him he had arrestable charges for the earlier incident on Maywick Drive. Officer Wade was closest to during this part, with Officer Farrell approximately 10 feet behind him.

During the next 50-60 seconds Officer Wade continued to try to talk to and de-escalate the situation. is backing up through the parking lot while Officer Wade slowly follows, never trying to close the distance between the two. There is a time that stops backing for a brief moment, which the officers do also trying not to push or escalate the incident. Officer Wade asks to drop the knife, which he refuses to do. Officer Wade continues to talk to him in a calming manner, holding his empty hands up in front of him, again attempting to de-escalate the situation and show he meant him no harm.

It was at this time that Officer Wade states he knew he was going to have to place under Emergency Detention. He was clearly having some sort of mental crisis and as a result was lashing out in a violent manner. Based upon the information he was given by being advised that there were arrestable charges pending by Officer Farrell and the fact that had a knife and had made threats of harm to others; Officer Wade knew he could not let leave and try to locate him at another time because he was a danger to himself and others.

Officer Wade also observed at this time that was backing up towards a fence, he was concerned that he may run, so without closing the gap he started moving out around some parked cars, attempting to place himself in a position to cut him off if he did flee. While he was still talking to him; turned, ran towards the fence, and jumped over it. continued to flee down the street, still with the knife in hand. Concerned with 's violent behavior Officer Wade and Officer Farrell both jumped the fence also and pursued after him on foot. While chasing him Officer Wade made the comment that they were going to have to use a Taser on if he did not stop. This statement is reasonable for an officer to make after all other de-escalation attempts have failed. Verbalization of the potential utilization of the Taser can serve as an additional form of lower levels of control, which supports de-escalation efforts in an attempt to gain compliance from an individual.

## Conclusion

The basis of the Formal Complaint list allegations where the complainants believe Officer Wade violated policy. The General Order cited in the Formal Complaint is General Order 2005-01A Interacting with Persons Affected by Mental Illness or in Crisis. The allegations broke down are:

1. *Officer Wade backed into a fence while knowing he suffers from autism and was under duress. That this is not assuming "a quiet non-threatening manner when approaching or conversing with the individual".*

Officer Wade during this event was not only dealing with an individual that was under mental duress, he was dealing with an individual that was displaying violent behavior and was armed with a deadly weapon. Officer Wade never attempted to close the gap on \_\_\_\_\_, but did follow him as \_\_\_\_\_ moved towards the fence. The entire time he was talking to him he was calm, talking in a soothing manner, and attempting to de-escalate the situation the entire time.

*2. Officer Wade threatened to "tase".*

Officer Wade attempted to de-escalate the situation through the entire contact. He did not mention using his Taser until \_\_\_\_\_ jumped the fence and ran from the scene. \_\_\_\_\_'s actions prior to this had convinced Officer Wade he was a danger to the public as he ran down the road carrying a knife.

*3. Officer Wade violated policy by not contacting \_\_\_\_\_'s family during the time that he was allowed to walk from Kroger's to his apartment complex.*

This allegation is not attributed to Officer Wade and should be unfounded without further review. Officer Wade was not the officer that was dealing with \_\_\_\_\_ on Maywick, or at Kroger's. His actions started at the apartment after receiving the terroristic threatening call from \_\_\_\_\_. At no time during \_\_\_\_\_'s walk home was Officer Wade aware of what was going on there, so there would be no reason for him to call \_\_\_\_\_'s family.

*4. Officer Wade rushed the situation and by continuing to approach \_\_\_\_\_ which caused him to take off running.*

The situation between Officer Wade and \_\_\_\_\_ lasted less than one minute. Officer Wade never attempted to approach \_\_\_\_\_, he only followed along attempting to talk to him. When \_\_\_\_\_ stopped walking at one point, Officer Wade did also. Officer Wade attempted to slow the situation down by talking in a calm manner, at no point did Officer Wade rush, or push the situation.

Throughout the Formal Complaint there are multiple points the complainants have put down that officers should be able to identify a person in a mental crisis. I don't believe that the officers were confused or did not recognize that \_\_\_\_\_ was experiencing some type of mental crisis. The fact that \_\_\_\_\_ was experiencing a mental crisis is not the only issue the officers were dealing with, they were also dealing with an individual that was threatening to harm and kill multiple people. He was armed with a deadly weapon and the officers had good reason to believe if let go he posed a danger to the citizens of Lexington. Due to this fact, Officer Wade attempted to de-escalate the situation and looked for a safe way of taking \_\_\_\_\_ into custody.

After reviewing all evidence and BWC Footage it is my opinion that Officer Wade followed all policies and procedures of the Lexington Police Department. I would recommend that the Formal Complaint brought against him be marked unfounded.



Lexington Police Department  
**MEMORANDUM**  
 Lexington, Kentucky

DATE OF ISSUE  
 06/03/2021

EFFECTIVE DATE  
 06/03/2021

NUMBER  
 PIU: 21-047

|   |   |
|---|---|
| <b>TO:</b><br>Assistant Chief Brian Maynard<br>Bureau of Patrol                               | <b>SUBJECT:</b><br><br>FORMAL COMPLAINT |
| <b>FROM:</b><br>Lieutenant David Biroshik<br>Bureau of Investigation<br>Public Integrity Unit |   |

**COMPLAINANT:** Jessica Bowman, Garret Gabriel, Jamila Green, Brandy Hosner, Richard O'Neill, April Taylor

**ACCUSED OFC.:** Officer Caleb Wade

**ALLEGATION:** Violation of General Order 2005-01A, Interacting with Persons Affected by Mental Illness or in Crisis.

**ALLEGED CIRCUMSTANCES: (Copied from written statement provided by complainants)**

On March 30, 2021, LPD Officer C Wade responded to a call involving [redacted] who was known to be autistic and had been reported by his caseworker as experiencing a mental health crisis or potential psychotic break. Despite knowing [redacted] is autistic and was under duress, body camera footage shows Wade continuing to approach [redacted] even after [redacted] appears to look around and assess that he is being backed into a fence. This violates GO 2005-01A IV E 3 which provides Guidelines for General Interaction for Interacting with Persons Affected by Mental Illness or in Crisis. Backing someone into a fence is not assuming "a quiet non threatening manner when approaching or conversing with the individual," as listed in subsection a. Threatening to tase [redacted] also violates this subsection. Subsections b, c, and d were violated by not contacting [redacted]'s family during the time that [redacted] was allowed to walk from Kroger's to his apartment complex, by rushing the situation, and by continuing to approach [redacted] until he took off running. GO 2017-02 IV F advises that people with autism may, "3. Be unaware of the severity or level of danger of situations. 6. Be unresponsive to verbal commands.9. Become easily overwhelmed by a situation.10. Try to run away or act upset if detained."Officers may encounter people with I/DD in a variety of situations."GO 2005-01A IV B advises that generalized signs and symptoms of a mental health crisis include:"b. Strong and unrelenting fear of persons, places, or things. Behavior is extremely inappropriate for a given context.c. Frustration in new or unforeseen circumstances; inappropriate or aggressive behavior in dealing with the situation. e. Delusions, the belief in thoughts or ideas that are false, such as delusions of grandeur ("I am Christ") or paranoid delusions ("Everyone is out to get me"). f. Hallucinations of any of the five senses" Given these advisements, Wade did not appropriately de-escalate the situation.

**ACTION REQUESTED:**

- The Bureau Commander and Officer Wade should sign the Acknowledgment Sheet and process this complaint.

- The Commanding Officer should provide the attached copy of the Form 111 and the Officer's Rights Packet to Officer Wade
- Officer Wade should contact the Public Integrity Unit to arrange for a time to provide a formal statement.

DATE                      TIME

Bureau Commander CMO. KEVIN METCALF 3751A 6-4-21 1620

Supervisor LT. Samantha Moore 44982 6-4-21 1620

Officer C. WADE 54561 6-4-2021 1621

Accused officer would like the Public Integrity Unit to notify the FOP President or their designee that a formal complaint is filed against them. (Circle One) YES or **NO**

Returned to the Public Integrity Unit  6/11/21 1013

---

Lieutenant David Biroshik  
Bureau of Investigation  
Public Integrity Unit

mrv

enclosures

cc: Chief Lawrence Weathers  
file - PIU2021F-010

**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

|                                   |                  |                                  |                                     |  |                           |
|-----------------------------------|------------------|----------------------------------|-------------------------------------|--|---------------------------|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B.                              | D.O.E<br>3/6/2017  | Present Assignment<br>BOP |
| Complainant<br>Bowman, Jessica    |                  | Address-Apt. No. - Zip Code      |                                     |  | Telephone No.             |
| Employed By                       |                  | Business Address - Zip Code      |                                     |  | Telephone No.             |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur | Date and Time Reported<br>5/25/2021 | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |                           |

**Brief Description of Allegations:**

On March 30, 2021, LPD Officer C Wade responded to a call involving \_\_\_\_\_ who was known to be autistic and had been reported by his caseworker as experiencing a mental health crisis or potential psychotic break. Despite knowing \_\_\_\_\_ is autistic and was under duress, body camera footage shows Wade continuing to approach \_\_\_\_\_ even after \_\_\_\_\_ appears to look around and assess that he is being backed into a fence. This violates GO 2005-01A IV E 3 which provides Guidelines for General Interaction for Interacting with Persons Affected by Mental Illness or in Crisis. Backing someone into a fence is not assuming "a quiet non threatening manner when approaching or conversing with the individual," as listed in subsection a. Threatening to tase \_\_\_\_\_ also violates this subsection. Subsections b, c, and d were violated by not contacting \_\_\_\_\_'s family during the time that \_\_\_\_\_ was allowed to walk from Kroger's to his apartment complex, by rushing the situation, and by continuing to approach \_\_\_\_\_ until he took off running. GO 2017-02 IV F advises that people with autism may,

I swear/affirm that the facts set out above are true to the best of my knowledge and belief.

  
(Complainant)

Date: 5/25/2021

Subscribed and sworn before me this date: 5/25/21 (Date) Melanie R. Votaw KYNP 2019 (Notary)

My Commission Expires: 2-3-2025

Witnesses:  
Name \_\_\_\_\_ Address \_\_\_\_\_ Phone Number \_\_\_\_\_

**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

|                                   |                  |                                  |                                     |  |                           |
|-----------------------------------|------------------|----------------------------------|-------------------------------------|--|---------------------------|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B.                              | D.O.E<br>3/6/2017  | Present Assignment<br>BOP |
| Complainant<br>See page 1         |                  | Address-Apt. No. - Zip Code      |                                     |  | Telephone No.             |
| Employed By                       |                  | Business Address - Zip Code      |                                     |  | Telephone No.             |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur | Date and Time Reported<br>5/25/2021 | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |                           |

**Brief Description of Allegations**

- "3. Be unaware of the severity or level of danger of situations.
  - 6. Be unresponsive to verbal commands.
  - 9. Become easily overwhelmed by a situation.
  - 10. Try to run away or act upset if detained." Officers may encounter people with I/DD in a variety of situations."
- GO 2005-01A IV B advises that generalized signs and symptoms of a mental health crisis include:
- "b. Strong and unrelenting fear of persons, places, or things. Behavior is extremely inappropriate for a given context.
  - c. Frustration in new or unforeseen circumstances; inappropriate or aggressive behavior in dealing with the situation.
  - e. Delusions, the belief in thoughts or ideas that are false, such as delusions of grandeur ("I am Christ") or paranoid delusions ("Everyone is out to get me").
  - f. Hallucinations of any of the five senses"
- Given these advisements, Wade did not appropriately de-escalate the situation.



| Chief of Police (or "Designee"):  |   |
|---|---|
| Policy Violation  | Finding   |
| 60.1973-OLK OR 1.35 VIOLATING ANY RULES OF THE DEPT   | <input checked="" type="checkbox"/> Proper Conduct<br><input type="checkbox"/> Improper Conduct<br><input type="checkbox"/> Insufficient Evidence<br><input type="checkbox"/> Policy Failure<br><input checked="" type="checkbox"/> Unfounded |
| Chief of Police Recommendation  |   |
| <input checked="" type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended  |   |
| <b>Comments:</b><br>REVIEWED BWC VIDEO AND INFORMATION SYNOPSIS PROVIDED BY PIU PROPER CONDUCT COMPLAINT UNFOUNDED  |   |
| Signature: <i>[Handwritten Signature]</i>   | Date: 10/13/2024  |
| Disciplinary Review Board   |   |
| Policy Violation  | Finding   |
|   | <input type="checkbox"/> Proper Conduct<br><input type="checkbox"/> Improper Conduct<br><input type="checkbox"/> Insufficient Evidence<br><input type="checkbox"/> Policy Failure<br><input type="checkbox"/> Unfounded                       |
| Disciplinary Review Board Recommendation  |   |
| <input type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended   |   |
| <b>Comments:</b><br><br>  |   |
| Signature: _____  | Date: _____   |
| Chief of Police 2nd Recommendation  |   |
| <input type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended   |   |
| <b>Comments:</b><br><br>  |   |
| Signature: _____  | Date: _____   |
| <p><b>Proper Conduct:</b> Allegation is true; the action of the agency or the officer was consistent with agency policy.</p> <p><b>Improper Conduct:</b> The allegation is true; the action of the agency or the officer was inconsistent with agency policy.</p> <p><b>Insufficient Evidence:</b> There is insufficient proof to confirm or to refute the allegation.</p> <p><b>Policy Failure:</b> The action of the agency or the officer was consistent with agency policy, but the policy did not take into account the circumstances present in this instance.</p> <p><b>Unfounded Complaint:</b> Either the allegation is demonstrably false or there is no credible evidence to support it.</p> |   |

**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

|                                   |                  |                                  |        |                                     |  |
|-----------------------------------|------------------|----------------------------------|--------|-------------------------------------|--|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B. | D.O.E<br>3/6/2017                   | Present Assignment<br>BOP  |
| Complainant<br>Gabriel, Garret    |                  | Address-Apt. No. - Zip Code      |        |                                     | Telephone No.  |
| Employed By                       |                  | Business Address - Zip Code      |        |                                     | Telephone No.  |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur |        | Date and Time Reported<br>5/25/2021 | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |

**Brief Description of Allegations:**

On March 30, 2021, LPD Officer C Wade responded to a call involving \_\_\_\_\_ who was known to be autistic and had been reported by his caseworker as experiencing a mental health crisis or potential psychotic break. Despite knowing \_\_\_\_\_ is autistic and was under duress, body camera footage shows Wade continuing to approach \_\_\_\_\_ even after \_\_\_\_\_ appears to look around and assess that he is being backed into a fence. This violates GO 2005-01A IV E 3 which provides Guidelines for General Interaction for Interacting with Persons Affected by Mental Illness or in Crisis. Backing someone into a fence is not assuming "a quiet non threatening manner when approaching or conversing with the individual," as listed in subsection a. Threatening to tase \_\_\_\_\_ also violates this subsection. Subsections b, c, and d were violated by not contacting \_\_\_\_\_'s family during the time that \_\_\_\_\_ was allowed to walk from Kroger's to his apartment complex, by rushing the situation, and by continuing to approach \_\_\_\_\_ until he took off running. GO 2017-02 IV F advises that people with autism may,

I swear/affirm that the facts set out above are true to the best of my knowledge and belief.

*Garret Gabriel*  
(Complainant)

Date: 5/25/21

Subscribed and sworn before me this date: 5/25/21 *Melanie CR Votaw*  
(Date) (Notary)

My Commission Expires: 2-3-2025

Witnesses:

Name \_\_\_\_\_ Address \_\_\_\_\_ Phone Number \_\_\_\_\_


**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

|                                   |                  |                                  |        |                                     |  |
|-----------------------------------|------------------|----------------------------------|--------|-------------------------------------|--|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B. | D.O.E<br>3/6/2017                   | Present Assignment<br>BOP  |
| Complainant<br>See page 1         |                  | Address-Apt. No. - Zip Code      |        |                                     | Telephone No.  |
| Employed By                       |                  | Business Address - Zip Code      |        |                                     | Telephone No.  |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur |        | Date and Time Reported<br>5/25/2021 | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |

**Brief Description of Allegations**

- “3. Be unaware of the severity or level of danger of situations.
  - 6. Be unresponsive to verbal commands.
  - 9. Become easily overwhelmed by a situation.
  - 10. Try to run away or act upset if detained.”Officers may encounter people with I/DD in a variety of situations.”
- GO 2005-01A IV B advises that generalized signs and symptoms of a mental health crisis include:
- “b. Strong and unrelenting fear of persons, places, or things. Behavior is extremely inappropriate for a given context.
  - c. Frustration in new or unforeseen circumstances; inappropriate or aggressive behavior in dealing with the situation.
  - e. Delusions, the belief in thoughts or ideas that are false, such as delusions of grandeur (“I am Christ”) or paranoid delusions (“Everyone is out to get me”).
  - f. Hallucinations of any of the five senses”
- Given these advisements, Wade did not appropriately de-escalate the situation.

| Chief of Police (or "Designee"):  |  |
|---|--|
| <b>Policy Violation</b>   | <b>Finding</b>                                     |
| EO 1973-02K (2) 1.35 VIOLATION OF ANY RULES OF DPT  | <input checked="" type="checkbox"/> Proper Conduct |
|   | <input type="checkbox"/> Improper Conduct          |
|   | <input type="checkbox"/> Insufficient Evidence     |
|   | <input type="checkbox"/> Policy Failure            |
|   | <input checked="" type="checkbox"/> Unfounded      |
| Chief of Police Recommendation  |  |
| <input type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended   |  |
| <b>Comments:</b>  |  |
| REVIEWED BNC VIDEO AND INFORMATION IN SYNOPSIS PROVIDED BY PIA    PROPER CONDUCT COMPLAINT UNFOUNDED  |  |
| Signature:   | Date: 10/13/2021                                   |
| Disciplinary Review Board   |  |
| <b>Policy Violation</b>   | <b>Finding</b>                                     |
|   | <input type="checkbox"/> Proper Conduct            |
|   | <input type="checkbox"/> Improper Conduct          |
|   | <input type="checkbox"/> Insufficient Evidence     |
|   | <input type="checkbox"/> Policy Failure            |
|   | <input type="checkbox"/> Unfounded                 |
| Disciplinary Review Board Recommendation  |  |
| <input type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended   |  |
| <b>Comments:</b>  |  |
|   |  |
| Signature: _____  | Date: _____  |
| Chief of Police 2nd Recommendation  |  |
| <input type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended   |  |
| <b>Comments:</b>  |  |
|   |  |
| Signature: _____  | Date: _____  |
| <p><b>Proper Conduct:</b> Allegation is true; the action of the agency or the officer was consistent with agency policy.</p> <p><b>Improper Conduct:</b> The allegation is true; the action of the agency or the officer was inconsistent with agency policy.</p> <p><b>Insufficient Evidence:</b> There is insufficient proof to confirm or to refute the allegation.</p> <p><b>Policy Failure:</b> The action of the agency or the officer was consistent with agency policy, but the policy did not take into account the circumstances present in this instance.</p> <p><b>Unfounded Complaint:</b> Either the allegation is demonstrably false or there is no credible evidence to support it.</p> |  |

**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

|                                   |                  |                                  |                                     |  |                           |
|-----------------------------------|------------------|----------------------------------|-------------------------------------|--|---------------------------|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B.                              | D.O.E<br>3/6/2017  | Present Assignment<br>BOP |
| Complainant<br>Green, Jamila      |                  | Address-Apt. No. - Zip Code      |                                     |  | Telephone No.             |
| Employed By                       |                  | Business Address - Zip Code      |                                     |  | Telephone No.             |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur | Date and Time Reported<br>5/25/2021 | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |                           |

**Brief Description of Allegations:**

On March 30, 2021, LPD Officer C Wade responded to a call involving [redacted] who was known to be autistic and had been reported by his caseworker as experiencing a mental health crisis or potential psychotic break. Despite knowing [redacted] is autistic and was under duress, body camera footage shows Wade continuing to approach [redacted] even after [redacted] appears to look around and assess that he is being backed into a fence. This violates GO 2005-01A IV E 3 which provides Guidelines for General Interaction for Interacting with Persons Affected by Mental Illness or in Crisis. Backing someone into a fence is not assuming "a quiet non threatening manner when approaching or conversing with the individual," as listed in subsection a. Threatening to tase [redacted] also violates this subsection. Subsections b, c, and d were violated by not contacting [redacted]'s family during the time that [redacted] was allowed to walk from Kroger's to his apartment complex, by rushing the situation, and by continuing to approach [redacted] until he took off running. GO 2017-02 IV F advises that people with autism may,

I swear/affirm that the facts set out above are true to the best of my knowledge and belief.

Jamila Green Date: 5/25/21  
(Complainant)

Subscribed and sworn before me this date: 5/25/21 Melanie R. Votaw KYNP20919  
(Date) (Notary)

My Commission Expires: 2-3-2025

Witnesses:  
Name \_\_\_\_\_ Address \_\_\_\_\_ Phone Number \_\_\_\_\_

**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

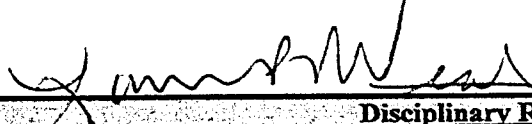
|                                   |                  |                                  |                                     |  |                           |
|-----------------------------------|------------------|----------------------------------|-------------------------------------|--|---------------------------|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B.                              | D.O.E<br>3/6/2017  | Present Assignment<br>BOP |
| Complainant<br>See page 1         |                  | Address-Apt. No. - Zip Code      |                                     |  | Telephone No.             |
| Employed By                       |                  | Business Address - Zip Code      |                                     |  | Telephone No.             |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur | Date and Time Reported<br>5/25/2021 | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |                           |

**Brief Description of Allegations**

“3. Be unaware of the severity or level of danger of situations.  
 6. Be unresponsive to verbal commands.  
 9. Become easily overwhelmed by a situation.  
 10. Try to run away or act upset if detained.” Officers may encounter people with I/DD in a variety of situations.”

GO 2005-01A IV B advises that generalized signs and symptoms of a mental health crisis include:  
 “b. Strong and unrelenting fear of persons, places, or things. Behavior is extremely inappropriate for a given context.  
 c. Frustration in new or unforeseen circumstances; inappropriate or aggressive behavior in dealing with the situation.  
 e. Delusions, the belief in thoughts or ideas that are false, such as delusions of grandeur (“I am Christ”) or paranoid delusions (“Everyone is out to get me”).  
 f. Hallucinations of any of the five senses”

Given these advisements, Wade did not appropriately de-escalate the situation.

| Chief of Police (or "Designee"):   |   |
|--|---|
| Policy Violation   | Finding   |
| GO 1973-0216 (NR 1.35 VIOLATION OF<br>ANY RULES OF DEP   | <input checked="" type="checkbox"/> Proper Conduct<br><input type="checkbox"/> Improper Conduct<br><input type="checkbox"/> Insufficient Evidence<br><input type="checkbox"/> Policy Failure<br><input checked="" type="checkbox"/> Unfounded |
| Chief of Police Recommendation   |   |
| <input type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended  |   |
| <b>Comments:</b><br>REVIEWED BWC VIDEO AND INFORMATION IN SYNOPSIS PROVIDED<br>BY PIU. PROPER CONDUCT, COMPLAINT UNFOUNDED   |   |
| <b>Signature:</b>   | <b>Date:</b> 10/13/2021   |
| Disciplinary Review Board  |   |
| Policy Violation   | Finding   |
|  | <input type="checkbox"/> Proper Conduct<br><input type="checkbox"/> Improper Conduct<br><input type="checkbox"/> Insufficient Evidence<br><input type="checkbox"/> Policy Failure<br><input type="checkbox"/> Unfounded                       |
| Disciplinary Review Board Recommendation   |   |
| <input type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended  |   |
| <b>Comments:</b><br><br>   |   |
| <b>Signature:</b>  | <b>Date:</b>  |
| Chief of Police 2nd Recommendation   |   |
| <input type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended  |   |
| <b>Comments:</b><br><br>   |   |
| <b>Signature:</b>  | <b>Date:</b>  |
| <b>Proper Conduct:</b> Allegation is true; the action of the agency or the officer was consistent with agency policy.<br><b>Improper Conduct:</b> The allegation is true; the action of the agency or the officer was inconsistent with agency policy.<br><b>Insufficient Evidence:</b> There is insufficient proof to confirm or to refute the allegation.<br><b>Policy Failure:</b> The action of the agency or the officer was consistent with agency policy, but the policy did not take into account the circumstances present in this instance.<br><b>Unfounded Complaint:</b> Either the allegation is demonstrably false or there is no credible evidence to support it. |   |

**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

|                                   |                  |                                  |                                     |  |                           |
|-----------------------------------|------------------|----------------------------------|-------------------------------------|--|---------------------------|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B.                              | D.O.E.<br>3/6/2017   | Present Assignment<br>BOP |
| Complainant<br>Hosner, Brandy     |                  | Address-Apt. No. - Zip Code      |                                     |  | Telephone No.             |
| Employed By                       |                  | Business Address - Zip Code      |                                     |  | Telephone No.             |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur | Date and Time Reported<br>5/25/2021 | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |                           |

**Brief Description of Allegations:**

On March 30, 2021, LPD Officer C Wade responded to a call involving \_\_\_\_\_ who was known to be autistic and had been reported by his caseworker as experiencing a mental health crisis or potential psychotic break. Despite knowing \_\_\_\_\_ is autistic and was under duress, body camera footage shows Wade continuing to approach \_\_\_\_\_ even after \_\_\_\_\_ appears to look around and assess that he is being backed into a fence. This violates GO 2005-01A IV E 3 which provides Guidelines for General Interaction for Interacting with Persons Affected by Mental Illness or in Crisis. Backing someone into a fence is not assuming "a quiet non threatening manner when approaching or conversing with the individual," as listed in subsection a. Threatening to tase \_\_\_\_\_ also violates this subsection. Subsections b, c, and d were violated by not contacting \_\_\_\_\_'s family during the time that \_\_\_\_\_ was allowed to walk from Kroger's to his apartment complex, by rushing the situation, and by continuing to approach \_\_\_\_\_ until he took off running. GO 2017-02 IV F advises that people with autism may,

I swear/affirm that the facts set out above are true to the best of my knowledge and belief.

Brandy Hosner Date: 5/25/2021  
(Complainant)

Subscribed and sworn before me this date: 5/25/21 Melanie R. Votaw KYNP20919  
(Date) (Notary)

My Commission Expires: 2-3-2025

Witnesses:  
Name

Address

Phone Number

Recorded By: Melanie Votaw, Bureau of Investigation, Public Integrity Unit

(Rev. 10/19)



**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

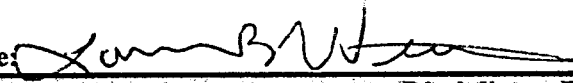
|                                   |                  |                                  |                                     |  |                           |
|-----------------------------------|------------------|----------------------------------|-------------------------------------|--|---------------------------|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B.                              | D.O.E<br>3/6/2017  | Present Assignment<br>BOP |
| Complainant<br>See page 1         |                  | Address-Apt. No. - Zip Code      |                                     |  | Telephone No.             |
| Employed By                       |                  | Business Address - Zip Code      |                                     |  | Telephone No.             |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur | Date and Time Reported<br>5/25/2021 | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |                           |

**Brief Description of Allegations**

“3. Be unaware of the severity or level of danger of situations.  
 6. Be unresponsive to verbal commands.  
 9. Become easily overwhelmed by a situation.  
 10. Try to run away or act upset if detained.” Officers may encounter people with I/DD in a variety of situations.”

GO 2005-01A IV B advises that generalized signs and symptoms of a mental health crisis include:  
 “b. Strong and unrelenting fear of persons, places, or things. Behavior is extremely inappropriate for a given context.  
 c. Frustration in new or unforeseen circumstances; inappropriate or aggressive behavior in dealing with the situation.  
 e. Delusions, the belief in thoughts or ideas that are false, such as delusions of grandeur (“I am Christ”) or paranoid delusions (“Everyone is out to get me”).  
 f. Hallucinations of any of the five senses”

Given these advisements, Wade did not appropriately de-escalate the situation.

| Chief of Police (or "Designee"):   |   |
|--|---|
| Policy Violation   | Finding   |
| 60 1973 - 02143 <del>VIOLATION</del><br><del>BY OR 1.75 VIOLATION</del> <del>BY</del> <del>KILLS</del><br>OF THE DEPT  | <input checked="" type="checkbox"/> Proper Conduct<br><input type="checkbox"/> Improper Conduct<br><input type="checkbox"/> Insufficient Evidence<br><input type="checkbox"/> Policy Failure<br><input checked="" type="checkbox"/> Unfounded |
| Chief of Police Recommendation   |   |
| <input type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended  |   |
| <b>Comments:</b><br>REVIEWED BWC VIDEO AND INFORMATION IN SYNOPSIS<br>PROVIDED BY PIU PROPER CONDUCT COMPLAINT UNFOUNDED   |   |
| <b>Signature:</b>   | <b>Date:</b> 10/13/2021   |
| Disciplinary Review Board  |   |
| Policy Violation   | Finding   |
|  | <input type="checkbox"/> Proper Conduct<br><input type="checkbox"/> Improper Conduct<br><input type="checkbox"/> Insufficient Evidence<br><input type="checkbox"/> Policy Failure<br><input type="checkbox"/> Unfounded                       |
| Disciplinary Review Board Recommendation   |   |
| <input type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended  |   |
| <b>Comments:</b>   |   |
| <b>Signature:</b>  | <b>Date:</b>  |
| Chief of Police 2nd Recommendation   |   |
| <input type="checkbox"/> Recommend Case Be Closed <input type="checkbox"/> Corrective Training Recommended <input type="checkbox"/> Below Disciplinary Action Recommended  |   |
| <b>Comments:</b>   |   |
| <b>Signature:</b>  | <b>Date:</b>  |
| <b>Proper Conduct:</b> Allegation is true; the action of the agency or the officer was consistent with agency policy.<br><b>Improper Conduct:</b> The allegation is true; the action of the agency or the officer was inconsistent with agency policy.<br><b>Insufficient Evidence:</b> There is insufficient proof to confirm or to refute the allegation.<br><b>Policy Failure:</b> The action of the agency or the officer was consistent with agency policy, but the policy did not take into account the circumstances present in this instance.<br><b>Unfounded Complaint:</b> Either the allegation is demonstrably false or there is no credible evidence to support it. |   |

**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

|                                   |                  |                                  |        |                                     |  |
|-----------------------------------|------------------|----------------------------------|--------|-------------------------------------|--|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B. | D.O.E<br>3/6/2017                   | Present Assignment<br>BOP  |
| Complainant<br>O'Neill, Richard   |                  | Address-Apt. No. - Zip Code      |        |                                     | Telephone No.  |
| Employed By                       |                  | Business Address - Zip Code      |        |                                     | Telephone No.  |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur |        | Date and Time Reported<br>5/25/2021 | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |

**Brief Description of Allegations:**

On March 30, 2021, LPD Officer C Wade responded to a call involving \_\_\_\_\_ who was known to be autistic and had been reported by his caseworker as experiencing a mental health crisis or potential psychotic break. Despite knowing \_\_\_\_\_ is autistic and was under duress, body camera footage shows Wade continuing to approach \_\_\_\_\_ even after \_\_\_\_\_ appears to look around and assess that he is being backed into a fence. This violates GO 2005-01A IV E 3 which provides Guidelines for General Interaction for Interacting with Persons Affected by Mental Illness or in Crisis. Backing someone into a fence is not assuming "a quiet non threatening manner when approaching or conversing with the individual," as listed in subsection a. Threatening to tase \_\_\_\_\_ also violates this subsection. Subsections b, c, and d were violated by not contacting \_\_\_\_\_'s family during the time that \_\_\_\_\_ was allowed to walk from Kroger's to his apartment complex, by rushing the situation, and by continuing to approach \_\_\_\_\_ until he took off running. GO 2017-02 IV F advises that people with autism may,

I swear/affirm that the facts set out above are true to the best of my knowledge and belief.

*R. O'Neill* Date: \_\_\_\_\_  
(Complainant)

Subscribed and sworn before me this date: 5/25/21 Melanie R. Votaw KYNP20919  
(Date) (Notary)

My Commission Expires: 2-3-2025

Witnesses:  
Name \_\_\_\_\_ Address \_\_\_\_\_ Phone Number \_\_\_\_\_

Recorded By: Melanie Votaw, Bureau of Investigation, Public Integrity Unit

(Rev. 10/19)

**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

|                                   |                  |                                  |                                     |                   |  |
|-----------------------------------|------------------|----------------------------------|-------------------------------------|-------------------|--|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B.                              | D.O.E<br>3/6/2017 | Present Assignment<br>BOP  |
| Complainant<br>See page 1         |                  | Address-Apt. No. - Zip Code      |                                     |                   | Telephone No.  |
| Employed By                       |                  | Business Address - Zip Code      |                                     |                   | Telephone No.  |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur | Date and Time Reported<br>5/25/2021 |                   | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |

**Brief Description of Allegations**

“3. Be unaware of the severity or level of danger of situations.  
 6. Be unresponsive to verbal commands.  
 9. Become easily overwhelmed by a situation.  
 10. Try to run away or act upset if detained.” Officers may encounter people with I/DD in a variety of situations.”

GO 2005-01A IV B advises that generalized signs and symptoms of a mental health crisis include:  
 “b. Strong and unrelenting fear of persons, places, or things. Behavior is extremely inappropriate for a given context.  
 c. Frustration in new or unforeseen circumstances; inappropriate or aggressive behavior in dealing with the situation.  
 e. Delusions, the belief in thoughts or ideas that are false, such as delusions of grandeur (“I am Christ”) or paranoid delusions (“Everyone is out to get me”).  
 f. Hallucinations of any of the five senses”

Given these advisements, Wade did not appropriately de-escalate the situation.

**Chief of Police (or "Designee"):**

| Policy Violation   | Finding  |
|--|--|
| 60.1473-202 OR 1.35 VIOLATION IN ANY RULES OF THE DEPARTMENT | <input checked="" type="checkbox"/> Proper Conduct |
|  | <input type="checkbox"/> Improper Conduct          |
|  | <input type="checkbox"/> Insufficient Evidence     |
|  | <input type="checkbox"/> Policy Failure            |
|  | <input checked="" type="checkbox"/> Unfounded      |

**Chief of Police Recommendation**

Recommend Case Be Closed     Corrective Training Recommended     Below Disciplinary Action Recommended

**Comments:**  
REVIEWED BWC VIDEO AND INFORMATION IN SYNOPSIS PROVIDED BY PIU. PROPER CONDUCT COMPLAINT UNFOUNDED

**Signature:**  **Date:** 10/13/2021

**Disciplinary Review Board**

| Policy Violation | Finding  |
|------------------|--|
|                  | <input type="checkbox"/> Proper Conduct        |
|                  | <input type="checkbox"/> Improper Conduct      |
|                  | <input type="checkbox"/> Insufficient Evidence |
|                  | <input type="checkbox"/> Policy Failure        |
|                  | <input type="checkbox"/> Unfounded             |

**Disciplinary Review Board Recommendation**

Recommend Case Be Closed     Corrective Training Recommended     Below Disciplinary Action Recommended

**Comments:**

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Chief of Police 2nd Recommendation**

Recommend Case Be Closed     Corrective Training Recommended     Below Disciplinary Action Recommended

**Comments:**

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Proper Conduct:** Allegation is true; the action of the agency or the officer was consistent with agency policy.  
**Improper Conduct:** The allegation is true; the action of the agency or the officer was inconsistent with agency policy.  
**Insufficient Evidence:** There is insufficient proof to confirm or to refute the allegation.  
**Policy Failure:** The action of the agency or the officer was consistent with agency policy, but the policy did not take into account the circumstances present in this instance.  
**Unfounded Complaint:** Either the allegation is demonstrably false or there is no credible evidence to support it.

**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

|                                   |                  |                                  |        |                                     |  |
|-----------------------------------|------------------|----------------------------------|--------|-------------------------------------|--|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B. | D.O.E<br>3/6/2017                   | Present Assignment<br>BOP  |
| Complainant<br>Taylor, April      |                  | Address-Apt. No. - Zip Code      |        |                                     | Telephone No.  |
| Employed By                       |                  | Business Address - Zip Code      |        |                                     | Telephone No.  |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur |        | Date and Time Reported<br>5/25/2021 | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |

**Brief Description of Allegations:**

On March 30, 2021, LPD Officer C Wade responded to a call involving \_\_\_\_\_ who was known to be autistic and had been reported by his caseworker as experiencing a mental health crisis or potential psychotic break. Despite knowing \_\_\_\_\_ is autistic and was under duress, body camera footage shows Wade continuing to approach \_\_\_\_\_ even after \_\_\_\_\_ appears to look around and assess that he is being backed into a fence. This violates GO 2005-01A IV E 3 which provides Guidelines for General Interaction for Interacting with Persons Affected by Mental Illness or in Crisis. Backing someone into a fence is not assuming "a quiet non threatening manner when approaching or conversing with the individual," as listed in subsection a. Threatening to tase \_\_\_\_\_ also violates this subsection. Subsections b, c, and d were violated by not contacting \_\_\_\_\_'s family during the time that \_\_\_\_\_ was allowed to walk from Kroger's to his apartment complex, by rushing the situation, and by continuing to approach \_\_\_\_\_ until he took off running. GO 2017-02 IV F advises that people with autism may,

I swear/affirm that the facts set out above are true to the best of my knowledge and belief.

April Taylor Date: 5/25/21  
(Complainant)

Subscribed and sworn before me this date: 5/25/21 Melanie R. Votaw KYNP 2099  
(Date) (Notary)

My Commission Expires: 2-3-2025

Witnesses:  
Name \_\_\_\_\_ Address \_\_\_\_\_ Phone Number \_\_\_\_\_

Recorded By: Melanie Votaw, Bureau of Investigation, Public Integrity Unit

(Rev. 10/19)

**Lexington Police Department  
Formal Complaint  
Form 111**

File #: 2021F-010

|                                   |                  |                                  |                                     |  |                           |
|-----------------------------------|------------------|----------------------------------|-------------------------------------|--|---------------------------|
| Member(s) Involved<br>Wade, Caleb |                  | Employee No.<br>54561            | D.O.B.                              | D.O.E<br>3/6/2017  | Present Assignment<br>BOP |
| Complainant<br>See page 1         |                  | Address-Apt. No. - Zip Code      |                                     |  | Telephone No.             |
| Employed By                       |                  | Business Address - Zip Code      |                                     |  | Telephone No.             |
| Date of Incident<br>3/30/2021     | Time of Incident | Location of Incident<br>Larkspur | Date and Time Reported<br>5/25/2021 | How Reported:<br><input type="checkbox"/> Letter <input type="checkbox"/> Phone<br><input checked="" type="checkbox"/> Person <input type="checkbox"/> Email |                           |

**Brief Description of Allegations**

“3. Be unaware of the severity or level of danger of situations.  
 6. Be unresponsive to verbal commands.  
 9. Become easily overwhelmed by a situation.  
 10. Try to run away or act upset if detained.” Officers may encounter people with I/DD in a variety of situations.”

GO 2005-01A IV B advises that generalized signs and symptoms of a mental health crisis include:  
 “b. Strong and unrelenting fear of persons, places, or things. Behavior is extremely inappropriate for a given context.  
 c. Frustration in new or unforeseen circumstances; inappropriate or aggressive behavior in dealing with the situation.  
 e. Delusions, the belief in thoughts or ideas that are false, such as delusions of grandeur (“I am Christ”) or paranoid delusions (“Everyone is out to get me”).  
 f. Hallucinations of any of the five senses”

Given these advisements, Wade did not appropriately de-escalate the situation.

**Chief of Police (or "Designee"):**

| Policy Violation                                      | Finding  |
|---|--|
| 60-1973-20X OD 135 VIOLATION OF<br>ANY RULES OF DEPT. | <input checked="" type="checkbox"/> Proper Conduct |
|   | <input type="checkbox"/> Improper Conduct          |
|   | <input type="checkbox"/> Insufficient Evidence     |
|   | <input type="checkbox"/> Policy Failure            |
|   | <input checked="" type="checkbox"/> Unfounded      |

**Chief of Police Recommendation**

Recommend Case Be Closed     Corrective Training Recommended     Below Disciplinary Action Recommended

**Comments:**  
REVIEWED BWC VIDEO AND SYNOPSIS ~~PROVIDED BY P.I.U.~~  
INFORMATION IN SYNOPSIS PROVIDED BY P.I.U.  
PROPER CONDUCT COMPLAINT UNFOUNDED

Signature:  Date: 10/13/2021

**Disciplinary Review Board**

| Policy Violation | Finding  |
|------------------|--|
|                  | <input type="checkbox"/> Proper Conduct        |
|                  | <input type="checkbox"/> Improper Conduct      |
|                  | <input type="checkbox"/> Insufficient Evidence |
|                  | <input type="checkbox"/> Policy Failure        |
|                  | <input type="checkbox"/> Unfounded             |

**Disciplinary Review Board Recommendation**

Recommend Case Be Closed     Corrective Training Recommended     Below Disciplinary Action Recommended

**Comments:**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Chief of Police 2nd Recommendation**

Recommend Case Be Closed     Corrective Training Recommended     Below Disciplinary Action Recommended

**Comments:**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Proper Conduct:** Allegation is true; the action of the agency or the officer was consistent with agency policy.  
**Improper Conduct:** The allegation is true; the action of the agency or the officer was inconsistent with agency policy.  
**Insufficient Evidence:** There is insufficient proof to confirm or to refute the allegation.  
**Policy Failure:** The action of the agency or the officer was consistent with agency policy, but the policy did not take into account the circumstances present in this instance.  
**Unfounded Complaint:** Either the allegation is demonstrably false or there is no credible evidence to support it.