

# LEXINGTON

## AMENDED 2016 ONE-YEAR ACTION PLAN

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

AUGUST 1, 2017

FOR FURTHER INFORMATION:

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Annual Action Plan  
2016

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Refer to original 2016 Annual Action Plan for the attachments.

The purpose of this amendment is to recognize additional program income received during the 2016 program year and to establish new projects.

## **Executive Summary**

### **AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)**

#### **1. Introduction**

The Lexington-Fayette Urban County Government's 2016 Annual Action Plan represents the jurisdiction's goals and objectives for addressing housing and community development needs for the period July 1, 2016 through June 30, 2017. This executive summary provides an overview of the plan including Lexington-Fayette County's proposed strategy for addressing these problems during the coming year. The goals in the Consolidated Plan include the provision of safe and affordable housing for low- and moderate-income persons, homeless persons, and for persons with special needs; public improvements and neighborhood revitalization in low- and moderate-income neighborhoods; renovation of buildings and sites in the community that are used for the provision of social services to low-income persons; and provision of services that will positively impact social and economic conditions for low-income persons. The Lexington-Fayette Urban County Government (LFUCG) uses Community Development Block Grant funds, HOME Investment Partnerships Program funds, and Emergency Solutions Grants Program funds primarily for housing rehabilitation, new housing development, first-time homeownership, public improvements, and public services for at-risk youth, homeless persons, and other persons with special needs.

Two substantial amendments were completed during the 2016 Program Year. The first was to amend the 2012, 2013, 2014, and 2016 Annual Action Plans to cancel the Children's Advocacy Rehabilitation Project, the Imani Family Life Center Project, the Salvation Army homeless services project and the St. James Place SRO Services projects and to provide funding in the amount of \$173,000 for the Arbor Youth Services Facility Rehabilitation Project. A notice was published in the newspaper and on the website, and a public hearing was held on February 9, 2017. No comments were received.

The second amendment was to recognize \$2,139,000 in program income from the CDBG Program and to establish new projects that include: Hope Center Operations, LFUCG Parks Improvements, Family Care Center Courtyard Project, the AVOL Rehabilitation Project, and the Leestown VA Housing Project. These projects will be funded out of the CDBG Program Income.

#### **2. Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The jurisdiction recognizes a need for an expanded supply of affordable housing, specifically affordable housing for extremely low-income renter families. As identified in the Needs Assessment in the Consolidated Plan, approximately 18,000 households are severely cost-burdened, meaning that they pay greater than 50% of their income for housing, and most of these households are at or below 30% of area median income. Over the one year period, the jurisdiction plans to add or rehabilitate 228 units of rental housing through Consolidated Plan resources and other funding sources. Since the housing authority's housing choice voucher waiting list is almost consistently closed, the jurisdiction expects to continue the operation of HOME-assisted Tenant-Based Rental Assistance programs for very low-income households, who are homeless, at-risk of homelessness, or with special needs, specifically severe mental illnesses and HIV/AIDS. Also identified as a need is rehabilitation of existing housing units occupied by low-income owners. In response, the jurisdiction will continue operation of a housing rehabilitation program, an emergency repair program, an energy efficiency program, and an accessibility program for persons who are disabled. Over the one-year period, it is anticipated that 59 households will be assisted. In response to the area's high cost of homeownership, the jurisdiction will continue to support subsidies for first-time homebuyers. Over the one-year period, 11 households will be assisted with homeownership.

The jurisdiction will allocate funding for operation of programs for the homeless and for at-risk youth. Emergency Solutions Grants funding will be used to offset costs of operating an emergency shelter for single men and an emergency shelter for adults and children who are the victims of domestic violence. Annually, approximately 2,275 persons will have access to emergency shelter and other services to help them move to permanent housing. ESG funds will also be used with Community Development Block Grant funds to provide homeless and at-risk of homeless persons with severe mental illnesses with immediate access to shelter, with a goal of transitioning them to permanent housing. Annually, it is projected that 12 households will be served. With ESG funds, a rapid rehousing/homelessness prevention program will continue to assist 19 households annually.

The jurisdiction will continue its replacement of stormwater facilities, sidewalks, streets, and sanitary sewers in the Meadows-Northland-Arlington area. Over the one-year period, a public investment of \$933,500 million will be made to improve the livability of this neighborhood.

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The following is a summary of accomplishments in Program Year 2014 (Fiscal Year 2015):

- Using HOME funds with the support of local financial institutions, REACH made it possible for 12 low-income households to become homeowners.
- Lexington Habitat for Humanity constructed 15 houses for homeownership for households whose incomes are at or below 60% of median income. HOME funds were used by Habitat to provide gap financing in the production of these units that received community support with donations of funds, building supplies, and volunteer labor.

- LFUCG's Division of Grants and Special Programs' Single Family Housing Rehabilitation Program financed and managed 26 projects with HOME/CDBG funds, bringing these substandard units up to code, improving energy efficiency and providing accessibility improvements when needed. An additional 17 households were provided with emergency repairs that included HVAC, plumbing, and/or electrical repairs.
- Using CDBG funds, LFUCG's partner agency, Community Action Council, assisted 7 households with energy efficient HVAC replacements in conjunction with a Department of Energy Low-Income Home Energy Assistance Program. A second partner agency, the Realtors Community Housing Foundation, completed 15 housing accessibility modifications, including the construction of ramps and installation of lifts for households with accessibility problems. CDBG funds were combined with privately raised donations and volunteer labor.

The LFUCG provided gap financing with HOME funds for the following rental housing projects:

- Davis Park View – construction of 14 units for LMI households
- Parkside Phase II was awarded HOME gap financing for construction of 36 units, completed in the Fall of 2015.
- Fayette County Local Development Corporation - construction of 3 HOME-assisted units for LMI Households.
- Trent Senior Village was awarded HOME gap financing for reconstruction of 54 units for persons 55 years and older. Anticipated completion date is Fall of 2016.

The LFUCG provided Hope Center with CDBG funding for the rehabilitation of its emergency shelter for homeless men.

Three agencies, (Community Action Council, AIDS Volunteers, and Bluegrass Regional Mental Health-Mental Retardation Board, Inc.) operated HOME-financed Tenant Based Rental Assistance programs for very low-income persons with disabilities who are either homeless or on the brink of homelessness: 16 households were assisted.

The following agencies were provided with CDBG and/or ESG funds to provide supportive services to homeless persons in order to assist them in moving to permanent housing: Hope Center, Hope Center for Women, Chrysalis House, Greenhouse17, Bluegrass Regional Mental Health-Mental Retardation Board, Inc., New Beginnings of the Bluegrass, Bluegrass.org, Volunteers of America, and LFUCG's Division of Adult and Tenant Services.

The following agencies were provided with CDBG funds to operate programs for low-income youth to enable them to mature into successful adults: LFUCG's Police Activities League, Repairers of the Breach, Living Arts & Science Center, Bracktown Baptist, and Arbor Youth Services.

LFUCG's Division of Engineering, using CDBG funds, continued public improvements in the Northland Arlington Meadows neighborhood. Households in a low-moderate income area now have improved storm water drainage facilities, sidewalks, and streets. In addition, locally generated sewer construction funds were used to rebuild sanitary sewer lines in this neighborhood.

#### **4. Summary of Citizen Participation Process and consultation process**

The Lexington-Fayette Urban County Government (LFUCG) followed an adopted Citizens Participation Plan in its development of the 2016 Annual Action Plan. The LFUCG conducted two public hearings (September 14, 2015, and February 29, 2016) in an attempt to solicit citizen comment on the housing and community development needs of the community. In addition to advertising in the community's daily newspaper, The Lexington Herald-Leader, the government sends out a first-class direct mail to neighborhood associations in low-income neighborhoods, churches in low-income neighborhoods, urban county council members and their staff, and to organizations and individuals who have indicated an interest in the housing and community development needs of the low-income, homeless, and special needs populations. The public hearings are also advertised in the Key Newsjournal, a print and online publication targeted to issues in Lexington's African-American community.

The public hearings are advertised on the government's cable channel and on the government's internet webpage, with the public invited to email ideas and suggestions. The government's Office of Public Information also distributes notices of the public hearings through social media

Consultations were conducted with agencies serving low-income and homeless persons (including the local Continuum of Care entity) in an attempt to determine housing and service needs of the homeless and at-risk homeless. Consultations were also conducted with a local community development corporation, neighborhood associations, and other organizations whose missions are to improve housing, services, and economic opportunities for low-income people.

Two substantial amendments were completed during the 2016 Program Year. The first was to amend the 2012, 2013, 2014, and 2016 Annual Action Plans to cancel the Children's Advocacy Rehabilitation Project, the Imani Family Life Center Project, the Salvation Army homeless services project and the St. James Place SRO Services projects and to provide funding in the amount of \$173,000 for the Arbor Youth Services Facility Rehabilitation Project. A notice was published in the newspaper and on the website, and a public hearing was held on February 9, 2017. No comments were received.

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A committee was formed by Sally Hamilton, Chief Administrative Officer, comprised of representatives from the Division of Grants and Special Programs, General Services, the Office of Affordable Housing, and other government personnel. The focus of the committee was to select projects that could move forward quickly and target 51% low and moderate income persons, or are located in eligible low-income census tracts. Once the projects were selected, a notice identifying the amendment and the selected

projects was published in the newspaper and placed on the website, and a public hearing was held on June 15, 2017.

**5. Summary of public comments**

One comment was received on May 24, 2017 from Christopher Skidmore, the Executive Director for Mission Lexington. His organization did not receive funding from the Extended Social Resource (ESR) program that is funded out of city funds, and he voiced his concerns regarding that decision using the opportunity for comment. A response was sent to Mr. Skidmore on June 8, 2017 explaining the different funding sources (ESR vs CDBG), and the reason why the projects were chosen for the CDBG program income amendment. No further comments were received.

**6. Summary of comments or views not accepted and the reasons for not accepting them**

Not applicable.

**7. Summary**

Lexington-Fayette Urban County Government solicits applications from organizations requesting funds to carry out Consolidated Plan projects. Because funding is limited, the LFUCG is unable to fulfill all requests. A summary of all applications received is attached in appendices.

DRAFT

**PR-05 Lead & Responsible Agencies – 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role		Name	Department/Agency
CDBG Administrator	LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT		Division of Grants and Special Programs
HOME Administrator	LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT		Division of Grants and Special Programs
ESG Administrator	LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT		Division of Grants and Special Programs

**Table 1 – Responsible Agencies**

**Narrative (optional)**

Lexington-Fayette Urban County Government is a fully merged city-county government with the powers of both a city of the second class and a county created from the merger of the City of Lexington and the County of Fayette in 1974 and operates pursuant to Chapter 67A of the Kentucky Revised Statutes. The Urban County Government operates under a Mayor-Council form of government where executive and administrative functions are vested with the Mayor and legislative authority rests with the Urban County Council. The Mayor is the chief executive officer and is elected to serve a four-year term. The Urban County Council has fifteen members, including twelve district members who serve two-year terms and three at-large members who serve four-year terms. The Mayor is assisted in the administration of the government by a Chief Administrative Officer and seven departmental commissioners.

The Division of Grants and Special Programs, the governmental unit that has responsibility for the planning and writing of grant applications for federal funds and responsibility for the financial management of federal funds and for compliance with federal regulations, is under the LFUCG’s Chief Administrative Officer. The Division of Grants and Special Programs has responsibility as lead agency for the development of the consolidated plan and for contracting and management of CDBG, HOME, and ESG funds. The Division of Grants and Special Programs operates a homeowner housing rehabilitation program and provides loan servicing for the first-time homebuyer programs operated by nonprofit organizations that use HOME funding.

In 2014, the Lexington-Fayette Urban County Government created an Office of Homelessness Prevention and Intervention (OHPI) in response to recommendations made in the January 2013 report of the Mayor's Commission on Homelessness. The OHPI Director, also under the supervision of the Chief Administrative Officer, is responsible for planning and coordinating recommendations made by the Commission. An LFUCG Homelessness Prevention and Intervention Board appointed by the Mayor was created to guide this mission. The OHPI will assume responsibility for the Continuum of Care process in May of 2015.

In 2014, the Lexington-Fayette Urban County Government also created its own Affordable Housing Trust Fund, providing for an Affordable Housing Board and an Affordable Housing Manager who reports to the Commissioner of Planning, Preservation, and Development. The mission of this program is to leverage public investment to preserve, produce, and provide safe, quality, affordable housing. The Affordable Housing Trust Fund has an independent board appointed by Mayor and approved by Council.

### **Consolidated Plan Public Contact Information**

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## **AP-10 Consultation – 91.100, 91.200(b), 91.215(I)**

### **1. Introduction**

In preparation of the 2016 Annual Action Plan, consultation was sought with a variety of organizations who had an interest in homelessness, housing for low-income persons, social and mental health services, and community development activities. In addition to the organizations below, consultations were conducted with the LFUCG Affordable Housing Manager, the LFUCG Director of Homelessness Prevention and Intervention, the Lexington-Fayette Urban County Housing Authority, the Mayor's Commission on Citizens with Disabilities, and LFUCG's Director of Aging and Disability Services. LFUCG's Affordable Housing Manager and Director of Homeless Prevention and Intervention were new positions in Fiscal Year 2015, and are currently working on developing a joint homeless and affordable housing strategy.

#### **Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))**

The Lexington-Fayette Urban County Government relies upon the community's many nonprofit and government-based organizations to provide health and social services to low-income households. In providing general fund dollars and federal funds to subrecipient organizations including assisted housing and public housing providers, the LFUCG requires the development of partnerships among existing social service providers to ensure that low- and moderate-income households are provided with a seamless level of services to enhance their self-sufficiency. The LFUCG Department of Social Services has established four divisions (Youth Services, Family Services, Adult and Tenant Services, and Aging and Disability Services), a Domestic Violence Prevention Board, and the Lexington Global Engagement Center (multi-cultural affairs). The staff of these divisions and offices has created collaborative relationships throughout the community with the mission of having all residents healthy and fully engaged.

#### **Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

Central Kentucky Housing & Homeless Coalition, a private, nonprofit organization, previously provided leadership on the planning and development of homeless services and facilities and was been responsible for development of the annual Continuum of Care. With implementation of HEARTH, it became clear that this agency, with no full-time staff, is unable to effectively fulfill the new requirements of this legislation. In July of 2012, a Mayor's Commission on Homelessness was formed to examine issues and concerns surrounding Lexington's homeless population and to recommend needed changes. The final report from this commission contained 48 inter-related recommendations regarding 1) systemic factors affecting homelessness in Lexington: the housing and wage gap, collaboration and coordination, funding, data management, case management and supportive services, a unified system

of entry, education and outreach, day centers, and 24-hour shelter service; and 2) subpopulations of homeless: families, persons with mental illness, the chronically homeless, youth and young adults, persons with substance abuse issues, survivors of intimate partner violence, hospital and jail discharges, the elderly, and veterans. In Fiscal Year 2015, the Lexington-Fayette Urban County Government authorized a new government-based program, Office of Homelessness Prevention and Intervention, with a full-time director and a 13-member board. This new staff and board have assumed responsibility for the Continuum of Care. For fiscal year 2016, the Mayor's Proposed Budget includes funding for a new full-time Continuum of Care Coordinator.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

Lexington-Fayette Urban County Government staff meets annually with the Continuum of Care for the purpose of reviewing allocation of Emergency Solutions Grants funds and discussing how these funds may be better used to address the needs of the homeless population. A summary of applications for these funds is presented to the CoC group for review and comment. The Continuum of Care has voiced concerns about how Rapid Rehousing/Homelessness Prevention program is being operated. These concerns have been helpful in creating a more effective program. LFUCG staff members have become licensed users of the Kentucky HMIS in order to provide support to the community's homeless providers for the purpose of improving participation and helping to create a community-wide coordinated assessment. For the 2016 plan, staff also met with the newly formed Homelessness Prevention and Intervention Board (future Continuum of Care) and the LFUCG Division of Social Services to begin a process of program evaluation.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**

See below.

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	HOPE CENTER
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation pending
2	<b>Agency/Group/Organization</b>	Lexington Fair Housing Council
	<b>Agency/Group/Organization Type</b>	Service-Fair Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Impediments to Fair Housing
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation pending
3	<b>Agency/Group/Organization</b>	LEXINGTON HABITAT FOR HUMANITY
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation pending
5	<b>Agency/Group/Organization</b>	Lexington Community Land Trust
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation pending
6	<b>Agency/Group/Organization</b>	REACH, INC.
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Executive Director of the organization was consulted on revisions to the First Time Homebuyer guidelines and on how federal financial assistance to first-time homebuyers could be improved.
7	<b>Agency/Group/Organization</b>	COMMUNITY ACTION COUNCIL FOR LEXINGTON-FAYETTE, BOURBON,HARRISON
	<b>Agency/Group/Organization Type</b>	Community Action Council
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation pending

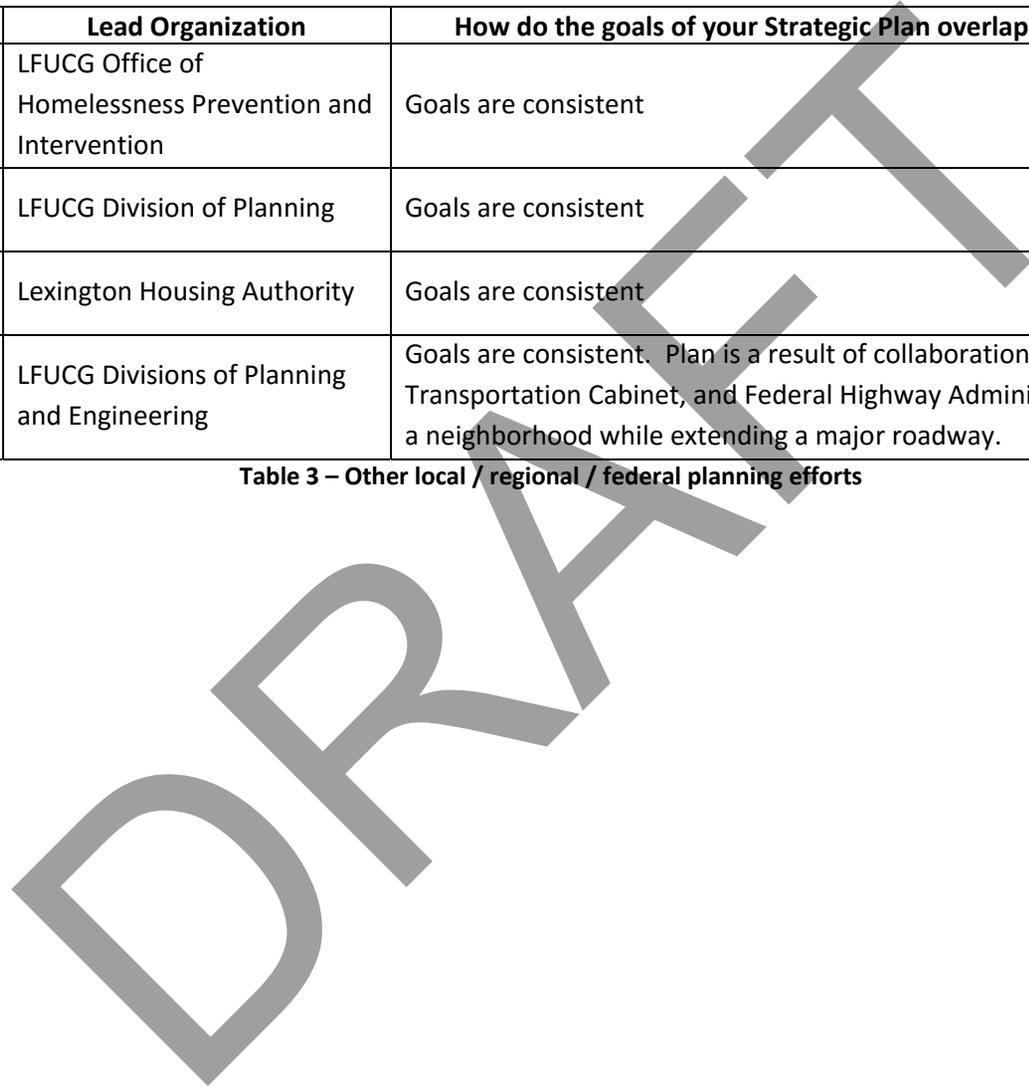
**Identify any Agency Types not consulted and provide rationale for not consulting**

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	LFUCG Office of Homelessness Prevention and Intervention	Goals are consistent
2013 Comprehensive Plan for LFUCG	LFUCG Division of Planning	Goals are consistent
Moving to Work Annual Plan	Lexington Housing Authority	Goals are consistent
Newtown Pike Extension Corridor Plan	LFUCG Divisions of Planning and Engineering	Goals are consistent. Plan is a result of collaboration among LFUCG, Kentucky Transportation Cabinet, and Federal Highway Administration in an effort to preserve a neighborhood while extending a major roadway.

**Table 3 – Other local / regional / federal planning efforts**

**Narrative (optional)**



## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

The Lexington-Fayette Urban County Government (LFUCG) followed an adopted Citizens Participation Plan in its development of the 2016 Annual Action Plan. The LFUCG conducted two public hearings (September 14, 2015, and February 29, 2016) in an attempt to solicit citizen comment on the housing and community development needs of the community. A draft plan was published on March 1, 2016 providing for a 30-day review and comment period through March 31, 2016. The plan was submitted to the Urban County Council for work session review and final approval on April 21, 2016.

Two substantial amendments were completed during the 2016 Program Year. The first was to amend the 2012, 2013, 2014, and 2016 Annual Action Plans to cancel the Children's Advocacy Rehabilitation Project, the Imani Family Life Center Project, the Salvation Army homeless services project and the St. James Place SRO Services projects and to provide funding in the amount of \$173,000 for the Arbor Youth Services Facility Rehabilitation Project. A notice was published in the newspaper and on the website, and a public hearing was held on February 9, 2017. No comments were received.

The second amendment was to recognize \$2,139,000 in program income from the CDBG Program and to establish new projects that include: Hope Center Operations, LFUCG Parks Improvements, Family CARE Center Courtyard Project, the AVOL Rehabilitation Project, and the Leestown VA Housing Project. These projects will be funded out of the CDBG Program Income.

A committee was formed by Sally Hamilton, Chief Administrative Officer, comprised of representatives from the Division of Grants and Special Programs, General Services, the Office of Affordable Housing, and other government personnel. The focus of the committee was to select projects that could move forward quickly and target 51% low and moderate income persons, or are located in eligible low-income census tracts. Once the projects were selected, a notice identifying the amendment and the selected projects was published in the newspaper and placed on the website, and a public hearing was held on June 15, 2017. One comment was received.

**Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	Minorities Non-targeted/broad community	14 persons attended first public hearing. 13 persons attended second public hearing. The public hearings for the two amendments were held during the regularly schedule Council meetings.	One comment was received on May 24, 2017 from Christopher Skidmore, the Executive Director for Mission Lexington. His organization did not receive funding from the Extended Social Resource (ESR) program that is funded out of city funds, and he voiced his concerns regarding that decision using the opportunity for comment. A response was sent to Mr. Skidmore on June 8, 2017 explaining the different funding sources (ESR vs CDBG), and the reason why the projects were chosen for the CDBG program income amendment. No further comments were received.	The comment was received and responded to. No further action was required.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Letters to organizations, churches, and neighborhood association	Minorities Persons with disabilities Non-targeted/broad community Neighborhood Associations	Applications from several organizations were received. A summary of these applications has been attached in the Executive Summary.	No comments were received.	Some projects were not approved for funding due to past performance, but primarily due to limited funding.	
3	Internet Outreach	Non-targeted/broad community	Public hearings and plan publication were advertised on the government's internet. No comments were received.	No comments were received.	No comments were received.	<a href="http://www.lexingtonky.gov">www.lexingtonky.gov</a>

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

In addition to HOME, CDBG, and ESG funds allocated from the U.S. Department of Housing and Urban Development, the jurisdiction allocates general fund dollars in the amount of \$207,190 as grant match for HOME to be used for housing rehab, homeownership, and rental housing development, and grant match in the amount of \$65,272 for rapid rehousing/homelessness prevention (ESG) and \$10,000 for administration (ESG).

The Jurisdiction also is providing general dollars annually to the Housing Trust Fund in the amount of \$2,000,000 and to the Office of Homelessness Prevention and Intervention in the amount of \$750,000. An additional allocation of general fund dollars in the amount of \$757,500 will be used for emergency shelter operations. LFUCG sewer user funds in the amount of \$233,500 will be allocated to the replacement of sanitary sewers along with CDBG-funded public improvements.

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	2,073,408	2,289,000	106,000	4,468,408	6,670,224	

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	965,289	180,000	0	1,145,289	3,420,357	
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	184,355	0	0	184,355	553,065	
Other	public - local	Acquisition Admin and Planning Housing Multifamily rental new construction Multifamily rental rehab Services	2,750,000	0	0	2,750,000	8,250,000	Lexington Fayette Urban County Government General Fund

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - local	Admin and Planning Financial Assistance Homebuyer assistance Rental Assistance	282,462	0	0	282,462	847,386	Lexington-Fayette Urban County Government uses general fund dollars to match the HOME program and to provide match for administration and rapid rehousing in the ESG program
Other	public - local	Overnight shelter	757,500	0	0	757,500	2,272,500	Lexington-Fayette Urban County Government General Fund
Other	public - local	Public Improvements	233,500	0	0	233,500	895,000	Sewer User funds collected for purpose of operating sanitary sewer system and for rehabilitating deteriorating sanitary sewers

Table 5 - Expected Resources – Priority Table

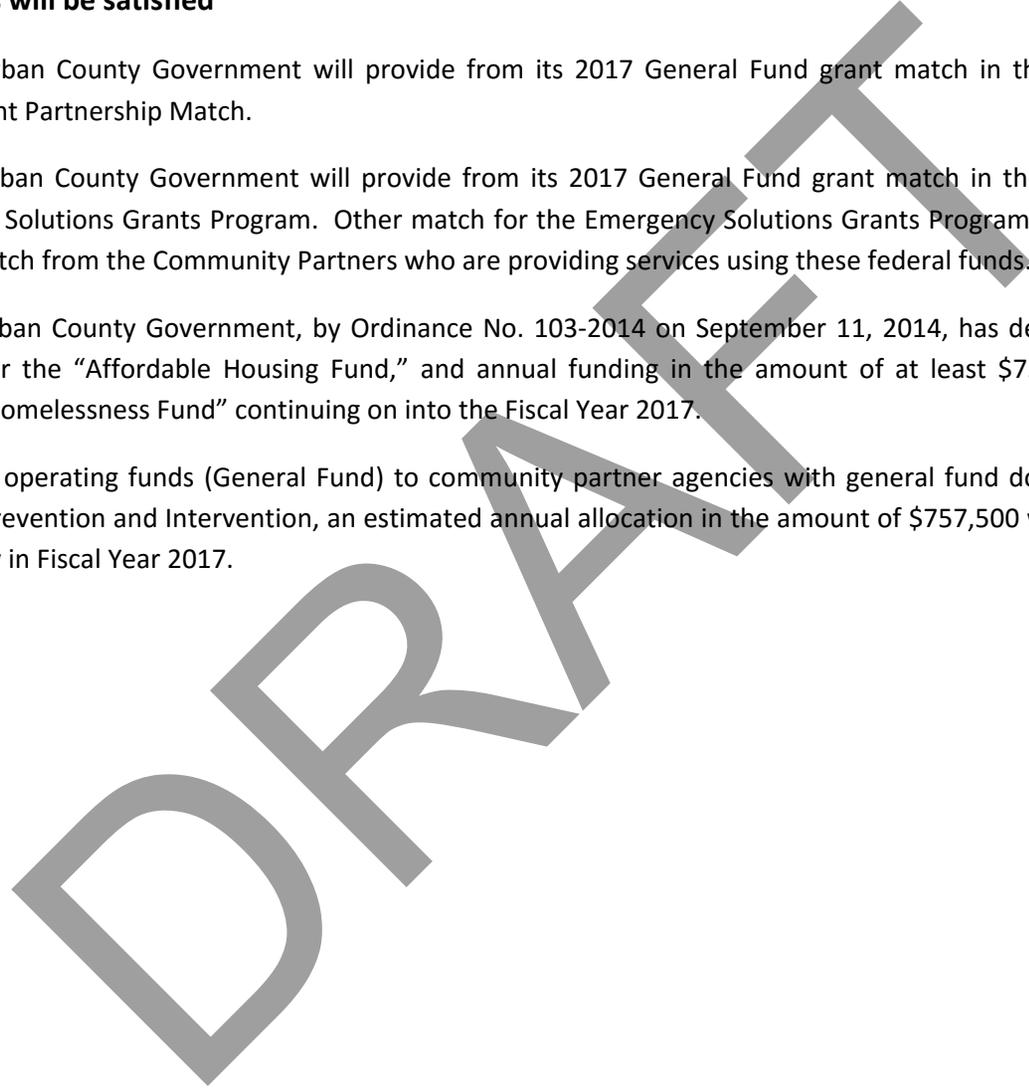
**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

The Lexington-Fayette Urban County Government will provide from its 2017 General Fund grant match in the amount of \$207,190 for the required HOME Investment Partnership Match.

The Lexington-Fayette Urban County Government will provide from its 2017 General Fund grant match in the amount of \$75,272 as partial match for the Emergency Solutions Grants Program. Other match for the Emergency Solutions Grants Program in the amount of \$109,083 will be provided as in-kind match from the Community Partners who are providing services using these federal funds.

The Lexington-Fayette Urban County Government, by Ordinance No. 103-2014 on September 11, 2014, has designated annual funding in the amount of \$2,000,000 for the “Affordable Housing Fund,” and annual funding in the amount of at least \$750,000 for the “Innovative and Sustainable Solutions to Homelessness Fund” continuing on into the Fiscal Year 2017.

LFUCG annually allocates operating funds (General Fund) to community partner agencies with general fund dollars. With the creation of the Office of Homelessness Prevention and Intervention, an estimated annual allocation in the amount of \$757,500 will be expended for emergency shelters in the community in Fiscal Year 2017.



**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

The only publicly owned property that is anticipated to be used to address the needs identified in the plan are community centers and park land for use as public facilities.

**Discussion**

Lexington and its affordable housing developers and partner agencies will continue to pursue funding from the Kentucky Affordable Housing Trust Fund, National Affordable Housing Trust Fund, Low-Income Housing Tax Credits, HUD's SHOP program, HUD's 811 and 202 programs, VASH program, and other state and federal resources as are available to fulfill identified needs of the community.

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## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Homeowner Housing Rehabilitation	2015	2019	Affordable Housing		Affordable Housing	CDBG: \$650,173 HOME: \$50,000 Grant Match: \$50,000	Homeowner Housing Rehabilitated: 30 Household Housing Unit
2	Handicap Accessibility for Homeowners	2015	2019	Affordable Housing		Affordable Housing	CDBG: \$10,000	Homeowner Housing Rehabilitated: 4 Household Housing Unit
3	Homeownership	2015	2019	Affordable Housing		Affordable Housing	HOME: \$200,000 Grant Match: \$50,000	Homeowner Housing Added: 3 Household Housing Unit Direct Financial Assistance to Homebuyers: 8 Households Assisted
4	Development of Rental Housing	2015	2019	Affordable Housing Homeless Non-Homeless Special Needs		Affordable Housing	HOME: \$758,760 Grant Match: \$107,190	Rental units constructed: 40 Household Housing Unit Rental units rehabilitated: 182 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Public Improvements	2015	2019	Non-Housing Community Development		Public Improvements and Infrastructure	CDBG: \$700,000 LFUCG Sewer User Funds: \$233,500	Public service activities other than Low/Moderate Income Housing Benefit: 2000 Persons Assisted
6	Emergency Repair for Homeowners	2015	2019	Affordable Housing		Affordable Housing	CDBG: \$50,000	Homeowner Housing Rehabilitated: 25 Household Housing Unit
7	Other Public Facilities	2015	2019	Non-Housing Community Development		Public Facilities	CDBG: \$779,999	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 6026 Persons Assisted Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 12 Households Assisted
8	Public Services-Youth Programs	2015	2019	Non-Housing Community Development		Public Services	CDBG: \$70,435	Public service activities other than Low/Moderate Income Housing Benefit: 566 Persons Assisted
9	Public Services - Non-Homeless Special Populations	2015	2019	Non-Homeless Special Needs		Public Services	CDBG: \$42,000	Public service activities other than Low/Moderate Income Housing Benefit: 102 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
10	Public Services-- Homeless Populations	2015	2019	Homeless		Public Services	CDBG: \$310,800 ESG: \$97,260 Grant Match: \$97,260 Local Emergency Shelter: \$757,500	Homeless Person Overnight Shelter: 3600 Persons Assisted
11	Rapid Rehousing	2015	2019	Homeless		Rapid Rehousing	ESG: \$57,772 Grant Match: \$57,772	Tenant-based rental assistance / Rapid Rehousing: 19 Households Assisted
12	Homelessness Prevention	2015	2019	At-risk of homelessness		Homelessness Prevention	ESG: \$19,323 Grant Match: \$19,323	Homelessness Prevention: 36 Persons Assisted
13	Fair Housing	2015	2019	Administration		Public Services	CDBG: \$50,000	Other: 1 Other
14	Parks and Community Centers	2015	2019	Non-Housing Community Development		Public Facilities	CDBG: \$1,162,500	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 49039 Persons Assisted

Table 6 – Goals Summary

## Goal Descriptions

1	<b>Goal Name</b>	Homeowner Housing Rehabilitation
	<b>Goal Description</b>	HOME and CDBG funds will be used to continue the Single Family Housing Rehabilitation Program that provides either deferred loans or low-interest loans to low-income owner occupants for purposes of bringing their houses up to code. It is expected that approximately 30 units will be rehabilitated during the 2016 program year and that 25 of these units will be occupied by households whose incomes are below 50% of median income and 5 units will be occupied by households whose incomes are from 50% to 80% of median income.
2	<b>Goal Name</b>	Handicap Accessibility for Homeowners
	<b>Goal Description</b>	Using CDBG funds, the Realtors Community Housing Foundation will continue providing low-income elderly and disabled homeowners with exterior accessibility modifications to include ramps and lifts. It is anticipated that 4 households will be assisted.
3	<b>Goal Name</b>	Homeownership
	<b>Goal Description</b>	HOME funds will be used to support homeownership program as operated by Lexington Habitat for Humanity. This organization constructs/rehabs new units. A minimum of 3 households with incomes below 60% of area median income will become homeowners. HOME funds will be used to a support first-time homebuyer program that is operated by R.E.A.C.H., Inc. Approximately 8 low-income households will become homeowners with assistance from this program.
4	<b>Goal Name</b>	Development of Rental Housing
	<b>Goal Description</b>	HOME funds will be used to support the rehabilitation of 180 existing rental units at Parkway Plaza. This project will also be supported with private financing and equity from Low-Income Housing Tax Credits. The Fayette County Local Development Corporation, recognized as a Community Housing Development Organization, will use HOME funds for the new construction of a minimum of 4 new rental units for low income households. Additionally, they will rehabilitate 2 rental units for low income households. HOME funds will be used to support the construction of 36 new rental units for low-income families earning 60% or less of the area median income for Parkside Phase III.
5	<b>Goal Name</b>	Public Improvements
	<b>Goal Description</b>	With CDBG funds, the LFUCG will continue its public improvements in the Meadows/Northland/Arlington neighborhood with the replacement of curbs, gutters and sidewalks, street reconstruction, storm sewer improvements, and sanitary sewer improvements on Park View Avenue, from Bryan Avenue to Oak Hill Drive, including a portion of the 300 block, the 400, and 500 blocks of Park View Avenue

6	<b>Goal Name</b>	Emergency Repair for Homeowners
7	<b>Goal Name</b>	<p data-bbox="428 323 1915 358">Other Public Facilities</p> <p data-bbox="428 365 1915 462"><b>Goal Description</b> LFUCG will use CDBG funds in the amount of \$20,000 to update an existing outdoor recreational area for the local residents. Funding will be used to resurface the existing basketball court and running track and to replace basketball goals and install new benches.</p> <p data-bbox="428 495 1915 665"><b>Amendment:</b> LFUCG will use CDBG funds in the amount of \$299,000 (\$139,080 2017 Con Plan and \$160,000 2016 PI) to repair and transform the existing enclosed courtyard area of the LFUCG Family Care Center into an accessible amphitheater, offering an outdoor classroom and performance area for low-income children and families. The number of beneficiaries is estimated to be 440 individuals.</p> <p data-bbox="428 698 1915 836">LFUCG will use CDBG funds in the amount of \$237,919 (\$64,919 2017 Con Plan and \$173,000 previous years) to rehabilitate Arbor Youth Services which is comprised of a homeless youth shelter and a Drop-In-Center located at 536 and 540 West Third Street. Both are historic buildings requiring extensive repairs. The number of beneficiaries is estimated to be 423 homeless youth.</p> <p data-bbox="428 868 1915 966">LFUCG will use CDBG funds in the amount of \$123,000 (\$50,000 2017 Con Plan and \$73,000 previous years) to install a new Fire Sprinkler System for the Hope Center located at 360 W. Loudon Avenue. The fire sprinkler was originally installed in 1992 and is in poor condition. Approximately 1,600 men are served at this shelter annually.</p> <p data-bbox="428 998 1915 1323">LFUCG will use \$100,000 in CDBG 2016 PI to repair two facilities operated by AVOL. AVOL operates two housing facilities: Solomon House, a 7 bedroom, long-term permanent community residence for people with AIDS, and Rainbow Apartments, a 5-unit complex of furnished units for people living with HIV/AIDS, who are transitioning from homelessness to permanent housing. This project proposes rehabilitation and repair of two properties owned by LFUCG located at 1807 Dalton Court and 851 Old Todds Road and leased to AVOL which operates housing programs on site AVOL currently leases both buildings from LFUCG. The grantee has not made any major repairs to the properties since they were purchased (1992 and 1996), and AVOL has requested funding so that they can make necessary and critical repairs to the buildings such as the replacement of sewer lines and interior pipes, drains and other fixtures, painting, floor replacement, and replacement of exterior bricks that are crumbling.</p>

8	<b>Goal Name</b>	Public Services-Youth Programs
	<b>Goal Description</b>	The LFUCG will use approximately 12.83% of its CDBG revenue for the support of public services in the community. Of the \$173,235 allocated for services, \$70,435 will be used to support services for low-income youth. These services will be Police Activities League, Center for Family & Community Services at Charles Young Center, Community Action Council for Lexington-Fayette, Bourbon, Harrison, and Nicholas Counties, and the Living Arts and Science Center.
9	<b>Goal Name</b>	Public Services - Non-Homeless Special Populations
	<b>Goal Description</b>	The LFUCG will use approximately 12.83% of its CDBG revenue for the support of public services in the community. Of the \$173,235 allocated for services, \$42,000 will be used to support services for low-income populations residing at St. James Place. These residents were previously homeless; a majority of them are disabled and have low educational levels.
10	<b>Goal Name</b>	Public Services--Homeless Populations
	<b>Goal Description</b>	The LFUCG will use approximately 12.83% of its CDBG revenue for the support of public services in the community. Of the \$173,235 allocated for services, \$60,800 will support services for persons who are homeless. Organizations operating these programs are Arbor Youth Services, which operates a Drop-In center for 120 homeless, runaway, and at-risk youth and young families ages 18-24, New Beginnings Bluegrass which operates a transitional housing program for 12 households that are homeless or at-risk of homelessness, and Volunteers of America who will also operate a transitional housing program for 8 homeless families. ESG funds in the amount of \$97,260 will be used to support emergency shelter operations at Hope Center and GreenHouse17. Local General Fund dollars in the amount of \$757,500 will be used to support emergency shelter operations at Arbor Youth Services, GreenHouse17, Hope Center, and Salvation Army. <b>Amendment:</b> Additionally, funds in the amount of \$250,000 from 2016 CDBG Program Income will be used to support the operations of the Hope Center. Due to recent budget cuts, they have had a significant drop in funding and have requested additional funding to assist with their daily operations. (1600 individuals)
11	<b>Goal Name</b>	Rapid Rehousing
	<b>Goal Description</b>	Lexington-Fayette Urban County Government Division of Adult and Tenant Services will be funded in the amount of \$55,272 in ESG funds for the purpose of operating a rapid rehousing program assisting an estimated 19 families to become permanently housed. Arbors Youth Services, Inc., will be funded in the amount of \$5,912 in ESG funds to support the costs of a youth advocate to provide case management services to 6 young households (aged 18-25) who are homeless or at-risk of homelessness. Arbors Youth Services, Inc., will be responsible for grant match from its own budget. This organization will work with LFUCG Division of Adult and Tenant Services.

<b>12</b>	<b>Goal Name</b>	Homelessness Prevention
	<b>Goal Description</b>	Lexington-Fayette Urban County Government Division of Adult and Tenant Services will be funded in the amount of \$10,000 in ESG funds for the purpose of operating a homelessness prevention program assisting an estimated 6 families to retain permanent housing. Arbors Youth Services, Inc., will be funded in the amount of \$5,911 in ESG funds to support the costs of a youth advocate to provide case management services to 6 young households (aged 18-25) who are at-risk of homelessness. Arbors Youth Services, Inc., will be responsible for grant match from its own budget. This organization will work with LFUCG Division of Adult and Tenant Services.
<b>13</b>	<b>Goal Name</b>	Fair Housing
	<b>Goal Description</b>	Lexington currently funds the Human Rights Commission from the Community Development Block Grant Program in the amount of \$50,000. The Commission will continue fair housing education and outreach efforts to analyze and eliminate housing discrimination in the jurisdiction and to promote fair housing choice for all persons in the community.
<b>14</b>	<b>Goal Name</b>	Parks and Community Centers
	<b>Goal Description</b>	LFUCG will use CDBG Program Income funds in the amount of \$1,162,500 for various recreational improvements to the Lexington parks in qualified census tracts. The number of beneficiaries is estimated to be 49,039 individuals.

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# Projects

## AP-35 Projects – 91.220(d)

### Introduction

During the 2016 Program year, the jurisdiction will allocate Consolidated Plan funds to projects that improve existing housing for low-income residents through rehabilitation, energy efficiency improvements, lead-based paint hazard reduction, and handicap accessibility improvements, increase the supply of homeownership units through new construction and down payment assistance, and increase the supply of rental units for low-income households with new construction and rehabilitation. Funds will be allocated for services to households who are homeless or at-risk of homelessness and to activities that serve at-risk youth. Case management services will be provided to a low-income special needs population. The jurisdiction will continue its public improvements in the Meadows-Northland-Arlington area, improving the living environment of a low-income residential neighborhood as well as providing improvements to a park located in a low-income neighborhood. The jurisdiction will also continue to support the Lexington-Fayette Urban County Human Rights Commission in an effort to affirmatively further fair housing.

### Projects

#	Project Name
1	Single Family Housing Rehabilitation Program
2	ESG16 LEXINGTON-FAYETTE
3	Housing Rehabilitation Operations
4	Fair Housing Education and Outreach
5	Housing Rehabilitation, Emergency Repair
6	Youth Services
7	Meadows/Northland/Arlington
8	Transitional Housing
9	First-time Homebuyers Program--R.E.A.C.H.
10	Grantee Administration
11	HOME Administration--LFUCG
12	Realtor Community Housing Foundation Repair Program
13	HOME Administration--CHDO
14	CHDO--Lexington-Fayette County Local Development Corporation
15	First-Time Homebuyers Program - Lexington Habitat for Humanity
16	Development of Rental Housing Units for Low Income Household
17	CAC Russell School Park
18	Arbor Youth Services Drop-In Center
19	LFUCG Family Care Center
20	Arbor Facility Rehabilitation
21	Hope Center Emergency Shelter Fire Sprinkler System
22	HOPE Center Operations
23	LFUCG Parks Improvements
24	AVOL Facility Rehab

**Table 7 - Project Information**

## **Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

In assigning priorities for allocating federal funds, the Lexington-Fayette Urban County Government (LFUCG) places a high priority on housing, public improvements, park improvements, public facilities, and public services. In general, needs are given a high priority if the LFUCG believes that it will be able to provide funding for projects to address these needs during the next year, a medium priority is given to needs that may or may not be funded, and a low priority is assigned to those needs that have been identified but for which it is not anticipated there will be funding. In assigning priorities to housing needs, the LFUCG places the highest priorities on the development of rental housing for extremely low-income households ( $\leq 30\%$  of area median income) and very low-income households  $30.1\%$  to  $50\%$  of median income), including housing for persons with special needs (persons with severe mental illnesses, persons with substance problems, persons with HIV/AIDS) and the development of homeownership opportunities for very low-income households and low-income households ( $30.1\%$  to  $80\%$  of median income). A high priority is also placed upon the preservation or rehabilitation of existing housing owned and occupied by households whose incomes are  $\leq 80\%$  of median income. Because homeless individuals and families are the neediest people in the community, the LFUCG places a high priority on the provision of services to this population for the purpose of enabling them to advance from homelessness to permanent housing. Services that provide low-income youth with life skills that will help them advance to successful lives of self-sufficiency are also assigned a high priority.

Obstacles to meeting underserved needs in the area of housing include: the high cost of housing units for homeownership, with most new construction, and even older existing housing units, being out of the reach of low-income households; the high cost of rental units; the high cost and scarcity of residential in-fill lots in older neighborhoods for development by nonprofit organizations, and the difficulty in sitting facilities for special needs populations in neighborhoods. Obstacles to serving the needs of the homeless population include the scarcity of state, federal, and local funds to support the services that are required to make many homeless persons with disabilities successful in permanent housing. During the following year, the LFUCG will continue its efforts to make homeownership available to low-income households through a homebuyer subsidy program implemented by R.E.A.C.H. This program serves the purpose of helping to make homeownership affordable to low-income households. During the following year, the LFUCG proposes to expend approximately  $12\%$  of its CDBG revenue for services,  $26\%$  of which will be spent providing supportive services to homeless persons with the remainder going to address the social service needs of low-income youth.

## AP-38 Project Summary

### Project Summary Information

1	<b>Project Name</b>	Single Family Housing Rehabilitation Program
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeowner Housing Rehabilitation
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	CDBG: \$650,173 HOME: \$50,000 Grant Match: \$50,000
	<b>Description</b>	Federal funds will be used to provide low-interest loans to low-income homeowners and deferred loans to very low-income homeowners for purposes of bringing their homes into compliance with the housing code and to improve energy efficiency. The LFUCG Division of Grants and Special Programs is responsible for operation of this program.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	HOME and CDBG funds will be used to continue the Single Family Housing Rehabilitation Program that provides either deferred loans or low-interest loans to low-income owner occupants for purposes of bringing their houses up to code. It is expected that approximately 30 units will be rehabilitated during the 2016 program year and that 25 of these units will be occupied by households whose incomes are below 50% of median income and 5 units will be occupied by households whose incomes are from 50% to 80% of median income.
	<b>Location Description</b>	Not applicable
	<b>Planned Activities</b>	30 low-income owner occupants will be assisted with bringing their homes up to code, including energy efficiency improvements, lead-based paint abatement or hazard reduction, and accessibility enhancements.
2	<b>Project Name</b>	ESG16 LEXINGTON-FAYETTE
	<b>Target Area</b>	
	<b>Goals Supported</b>	Public Services--Homeless Populations Rapid Rehousing Homelessness Prevention
	<b>Needs Addressed</b>	Rapid Rehousing Homelessness Prevention Emergency Shelter Operations
	<b>Funding</b>	ESG: \$184,355 Grant Match: \$184,355

	<b>Description</b>	2017 ESG allocation funds have been allocated for operation of emergency shelters and a rapid rehousing program. Administration funds have also been allocated.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	19 homeless households (57 persons) will be assisted under the rapid rehousing program. Households include individuals, couples, and families with children. 12 households at-risk of homelessness (36 persons) will be assisted under the homelessness program. Households include individuals, couples, and families with children. Approximately 2000 single men will be provided emergency shelter by the Hope Center. Approximately 225 persons (adults and children) who are victims of domestic violence will be provided emergency shelter services by GreenHouse17.
	<b>Location Description</b>	Rapid Rehousing/Homelessness prevention are countywide activities. Emergency shelters are located at 360 West Loudon Ave and 4400 Briar Hill Road.
	<b>Planned Activities</b>	Operation of emergency shelters for single men, and for victims of domestic violence. Operation of homelessness prevention for households at risk of homelessness. Operation of rapid rehousing program for homeless households.
<b>3</b>	<b>Project Name</b>	Housing Rehabilitation Operations
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeowner Housing Rehabilitation
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	CDBG: \$350,000
	<b>Description</b>	Operation of the single family housing rehabilitation program. Support the personnel costs of the loan and relocation specialists and the rehabilitation specialists, legal fees, appraisal fees, and other operation supplies. The project is directly operated by the LFUCG's Division of Grants and Special Programs.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	30 households will be provided with assistance in bringing their houses up to code, improving energy efficiency, and reducing lead-based paint hazards. 25 households will be provided with emergency repair services.

	<b>Location Description</b>	This program will operate countywide.
	<b>Planned Activities</b>	Salaries and benefits for staff of the Division of Grants and Special Programs to include Code Enforcement Officers and Financial Officers, appraisals, legal services, loan servicing, training, and necessary supplies and equipment.
4	<b>Project Name</b>	Fair Housing Education and Outreach
	<b>Target Area</b>	
	<b>Goals Supported</b>	Fair Housing
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$50,000
	<b>Description</b>	The project will assist the government in meeting its obligation as an entitlement community to affirmatively further housing. Project consists of retention of a full-time investigator for fair housing complaints. An agreement will be entered into with the Lexington-Fayette Urban County Human Rights Commission for operation of this project.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	No beneficiaries. This is funded as an administrative activity.
	<b>Location Description</b>	342 Waller Avenue, Suite 1A
<b>Planned Activities</b>	Fair housing activities to include technical assistance to public, nonprofit, and faith-based agencies and the private sector in regards to affirmatively furthering fair housing, conducting workshops, collaborations with other agencies to promote fair housing, conducting fact-finding discussions, maintaining fair housing marketing program, investigating and mediating fair housing complaints.	
5	<b>Project Name</b>	Housing Rehabilitation, Emergency Repair
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeowner Housing Rehabilitation Emergency Repair for Homeowners
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	CDBG: \$50,000
	<b>Description</b>	Division of Grants and Special Programs will operate an emergency housing repair program for low-income homeowners to include electrical, plumbing, and HVAC repairs

	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	25 low-income homeowners will benefit. These will be primarily households with a person who is at least 62 years of age.
	<b>Location Description</b>	Not applicable.
	<b>Planned Activities</b>	Emergency repairs to include electrical, plumbing, and HVAC for low-income owner-occupants.
6	<b>Project Name</b>	Youth Services
	<b>Target Area</b>	
	<b>Goals Supported</b>	Public Services-Youth Programs
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$70,435
	<b>Description</b>	Youth services activities for the 2017 program year will be provided by the Police Activities League, Community Action Council for Lexington-Fayette, Bourbon, Harrison, and Nicholas Counties, Repairers Lexington, and Big Brothers Big Sisters of the Bluegrass. All programs provide educational activities for low-income children and adolescents.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Police Activities League will provide services to 160 low-income children with \$25,000. Community Action Council for Lexington-Fayette, Bourbon, Harrison, and Nicholas Counties in the amount of \$15,215 will serve 70 low-income children. Living Arts and Science Center in the amount of \$20,000 will serve 64 low income children at William Wells Brown Elementary School and 32 low-income middle school children at Lexington Traditional Magnet School. Center for Family and Community Services at Charles Young Community Center in the amount of \$10,220 will serve 100 low-income children from the William Wells Brown Elementary School.
	<b>Location Description</b>	Community Action Council for Lexington-Fayette, Bourbon, Harrison, and Nicholas Counties will operate its program at 520 Toner Street. Living Arts & Science Center will operate its programs at Williams Wells Brown Elementary School located at 555 East Fifth Street and at Lexington Traditional Magnet School at 350 North Limestone Street. Center for Family and Community Services will operate its program at Charles Young Community Center at 540 East Third Street. Police Activities League will operate programs at 951 Floyd Drive and at Charles Young Community Center at 540 East Third Street.

	<b>Planned Activities</b>	<p>Community Action Council for Lexington-Fayette, Bourbon, Harrison, and Nicholas Counties will provide a youth sports project that will host a series of clinic to introduce chess, soccer, and tennis to low-income youth.</p> <p>Living Arts &amp; Science Center will conduct a 12-week afterschool programs in the Fall and Spring at William Wells Brown Elementary School and Lexington Traditional Middle School using interactive and multi-disciplinary art as a means to improve students' performance in language arts and math.</p> <p>Center for Family and Community Services will operate an afterschool literacy program to improve children's reading abilities. Services will be offered four days per week for three hours each day. Activities will also include parent education.</p> <p>Police Activities League will provide sports and educational opportunities to low-income youth to include cheerleading, football, volleyball, tee-ball, basketball, boxing, and soccer, tutoring, computer classes, summer camping, field trips, community service projects, and neighborhood clean-ups.</p>
7	<b>Project Name</b>	Meadows/Northland/Arlington
	<b>Target Area</b>	
	<b>Goals Supported</b>	Public Improvements
	<b>Needs Addressed</b>	Public Improvements and Infrastructure
	<b>Funding</b>	CDBG: \$700,000 LFUCG Sewer User Funds: \$233,500
	<b>Description</b>	LFUCG will continue its public improvements in the Meadows/Northland/Arlington neighborhood with the replacement of curbs, gutters and sidewalks, street reconstruction, storm sewer improvements, and sanitary sewer improvements on Bryan Avenue from Park View Avenue to Meadow Park. This includes a portion of the 1000 block, the 1100, 1200 and 1300 blocks and a portion of the 1400 block of Bryan Avenue.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 2000 persons will benefit from these public improvements.
	<b>Location Description</b>	This will take place in Census Tract #14. Project location is 300-500 Park View Avenue.
	<b>Planned Activities</b>	Public improvements include storm water drainage improvements, installation of new sidewalks, curbs, gutters, and streets, and replacement of sanitary sewers.

8	<b>Project Name</b>	Transitional Housing
	<b>Target Area</b>	
	<b>Goals Supported</b>	Public Services--Homeless Populations
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$20,000
	<b>Description</b>	Funds will be used for the operation of a transitional housing program for persons with severe and persistent mental illness who are extremely low-income and are homeless or at risk of homelessness.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	New Beginning Bluegrass, Inc., in the amount of \$20,000 for provision of an outreach work to develop individualized housing plans to individuals with severe and persistent mental illnesses living in unsafe, unstable situations (some are homeless) to transition them to permanent housing. Project will also provide security deposits, start-up costs, utility deposits for 12 households.  Volunteers of American, in the amount of \$25,000 for operation of a transitional housing program for approximately 8 homeless families. Case managers will help families achieve and sustain permanent housing.
	<b>Location Description</b>	Scattered sites.
	<b>Planned Activities</b>	An outreach worker will develop individualized housing plans with each program participant who is homeless or at-risk of homelessness. Support will be made through multiple contacts per week. Temporary emergency housing will be provided to individuals living in unsafe, unstable situations to transition them into permanent housing.  Case manager works with the families to help them obtain mainstream resources. Additionally, the case manager helps the families to identify and address barriers to self-sufficiency such as mental illness, substance abuse, medical issues, and interpersonal violence.
9	<b>Project Name</b>	First-time Homebuyers Program--R.E.A.C.H.
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeownership
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	HOME: \$150,000 Grant Match: \$50,000
	<b>Description</b>	R.E.A.C.H. Inc., will provide subsidies for eligible first-time homebuyers purchasing new or existing single-family units. Subsidies will be in the form of downpayment assistance and/or assistance with closing costs. An agreement will be entered into with R.E.A.C.H. Inc., for program operation.

	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	HOME Investment Partnerships Program funds in the amount of \$200,000 will be used to support a first-time homebuyer program operated by Resources Education & Assistance for Community Housing (REACH, Inc.). Approximately 8 low-income households will be assisted.
	<b>Location Description</b>	Scattered sites.
	<b>Planned Activities</b>	Assistance in the form of mortgage subsidies and assistance with closing costs will be provided to households so that they can become first-time homebuyers.
<b>10</b>	<b>Project Name</b>	Grantee Administration
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeowner Housing Rehabilitation
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	CDBG: \$220,000
	<b>Description</b>	Project will support the administrative costs of operating the CDBG program. These costs include administrative personnel, professional services, equipment, supplies, and other operating costs of the program.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	No beneficiaries. This is an administrative activity.
	<b>Location Description</b>	Not applicable.
	<b>Planned Activities</b>	Funds will be used to support the administrative costs of the CDBG program. Funds will be used to support personnel costs, training, supplies, and professional services.
<b>11</b>	<b>Project Name</b>	HOME Administration--LFUCG
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeowner Housing Rehabilitation
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	HOME: \$96,529
	<b>Description</b>	Support Administrative costs of the LFUCG in the operation of the HOME program. This includes salaries, professional services, loan servicing, office supplies, professional development, and other operating costs.
	<b>Target Date</b>	6/30/2017

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	No beneficiaries. This is an administrative activity.
	<b>Location Description</b>	Not applicable.
	<b>Planned Activities</b>	Funds will be used to support administrative activities of the HOME program. Funds will be used for personnel costs, training, supplies, and professional services. Funds will also be used to support administrative costs for subrecipients who are operating the tenant-based rental assistance programs.
<b>12</b>	<b>Project Name</b>	Realtor Community Housing Foundation Repair Program
	<b>Target Area</b>	
	<b>Goals Supported</b>	Handicap Accessibility for Homeowners
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	CDBG: \$10,000
	<b>Description</b>	Organization will provide exterior repairs and new roofing to housing units that are owned and occupied by LMI elderly persons; provide ramps and other accessibility renovations to houses of LMI persons with mobility impairments; provide emergency repairs for very low-income elderly homeowners. A subrecipient agreement will be entered into with Realtor Community Housing Foundation for operation of the program.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The Realtors Community Housing Foundation will be awarded \$10,000 in Community Development Block Grant funds for the purpose of installing exterior ramps and lifts for elderly and disabled persons for purposes of accessibility. Approximately 4 households will be assisted.
	<b>Location Description</b>	scattered sites
	<b>Planned Activities</b>	Low-income households with mobility disabilities will be assisted with installation of ramps or lifts.
<b>13</b>	<b>Project Name</b>	HOME Administration--CHDO
	<b>Target Area</b>	
	<b>Goals Supported</b>	Development of Rental Housing
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	HOME: \$40,000
	<b>Description</b>	Administrative costs of the jurisdiction's CHDOs--Lexington-Fayette County Local Development Corporation and REACH.

	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	No beneficiaries
	<b>Location Description</b>	Units are scattered sites.
	<b>Planned Activities</b>	Funds will be used to support the operating costs of the CHDO.
14	<b>Project Name</b>	CHDO--Lexington-Fayette County Local Development Corporation
	<b>Target Area</b>	
	<b>Goals Supported</b>	Development of Rental Housing
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	HOME: \$144,793
	<b>Description</b>	As the designated CHDO, the Lexington-Fayette County Local Development Corporation will acquire properties, rehabilitate units or conduct new construction for use as rental properties for low-income households.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	HOME Investment Partnerships Program funds in the amount of \$144,018 will be allocated to the Fayette County Local Development Corporation (CHDO) for the development (new construction) of a minimum of four units and rehabilitation of a minimum of two units for rent to low-income households. Total cost of this development is estimated at \$321,750. In addition to HOME funds, local financial institutions will provide other necessary funding.
	<b>Location Description</b>	Locations have not been determined.
	<b>Planned Activities</b>	Funds will be used to support the construction costs of a total of 6 rental housing units in the downtown area.
15	<b>Project Name</b>	First-Time Homebuyers Program - Lexington Habitat for Humanity
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeownership
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	HOME: \$50,000
	<b>Description</b>	Lexington Habitat for Humanity will provide subsidies for eligible first-time homebuyers purchasing new or existing single-family units. Subsidies will be in the form of downpayment assistance and/or assistance with closing costs. An agreement will be entered into with Lexington Habitat for Humanity for program operation.

	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	HOME funds in the amount of \$50,000 will be used to support a homeownership program as operated by Lexington Habitat for Humanity.
	<b>Location Description</b>	Locations have not been determined.
	<b>Planned Activities</b>	A minimum of three units will be constructed for homeownership by households who are at or below 60% of the area median income.
16	<b>Project Name</b>	Development of Rental Housing Units for Low Income Household
	<b>Target Area</b>	
	<b>Goals Supported</b>	Development of Rental Housing
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	HOME: \$613,967 Grant Match: \$107,190
	<b>Description</b>	HOME funds in the amount of \$400,000 will be used to support the rehabilitation of 132 existing rental units at Westminster Village. This project will also be supported with private financing and equity from 4% Tax Credit and Tax Exempt bond. HOME funds in the amount of \$71,950 will be used to support the construction of 58 new rental units for low-income families earning 60% or less of the area median income for Leestown VA Housing. Only a portion of the funds for the project have been set aside from the 2017 program year. Additional funding will come from a future program year. The Fayette County Local Development Corporation, recognized as a Community Housing Development Organization, will use \$149,000 in HOME funds for the new construction of a minimum of 4 new rental units for low income households. The Lexington Public Housing Authority will use \$300,000 in HOME funds for new construction of 11 units of rental housing for low-income families earning 60% or less of the area median income.
	<b>Target Date</b>	6/30/2017

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	<p>Parkside Phase III - With HOME Investment Partnerships Program funds, gap financing in the amount of \$500,000 (\$311,932 from Program year 16) for construction of 36 rental units for low-income households at Parkside Phase III. Other funds in the project will come from Kentucky Affordable Housing Trust Fund, Lexington Affordable Housing Trust Fund, FAHE, tax credits and deferred developer fee. Total development cost for these units is \$5,994,450. Only nine units will be HOME-assisted.</p> <p>Parkway Plaza - With HOME Investment Partnerships Program funds, gap financing in the amount of \$410,000 for rehabilitation of 180 rental units for low-income households at Parkway Plaza. Other funds in the project will come from Kentucky Affordable Housing Trust Fund, Lexington Affordable Housing Trust Fund, FHA 221(d)(4), and 4% tax exempt bonds. Total development cost for these units is \$11,890.107. Only four units will be HOME-assisted.</p>
	<b>Location Description</b>	1044-1048 Cross Keys Road; and 431 Rogers Road
	<b>Planned Activities</b>	The developer will construct 36 units. Nine units will be assisted with HOME funds and will provide rental housing to households that are at or below 50% of area median income.
17	<b>Project Name</b>	CAC Russell School Park
	<b>Target Area</b>	
	<b>Goals Supported</b>	Other Public Facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$20,000
	<b>Description</b>	LFUCG will use CDBG funds in the amount of \$20,000 to update an existing outdoor recreational area for the local residents.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Area Benefit will provide a recreational space for 3,563 persons in census tract 02.
	<b>Location Description</b>	520 Toner Street
	<b>Planned Activities</b>	Funding will be used to resurface the existing basketball court and running track and to replace basketball goals and install new benches.
18	<b>Project Name</b>	Arbor Youth Services Drop-In Center
	<b>Target Area</b>	
	<b>Goals Supported</b>	Public Services--Homeless Populations
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$15,800

	<b>Description</b>	Funds will be used for the operation of a Drop-In Center for homeless, runaway, and at-risk youth.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Arbor Youth Services, in the amount of \$15,800 for operation of a Drop-In Center for 120 homeless, runaway, and at-risk youth and young families ages 16-24.
	<b>Location Description</b>	540 West Third Street
	<b>Planned Activities</b>	Outreach, education and homeless services will be provided such as a place to complete laundry, cook meals, shower and receive case management support.
<b>19</b>	<b>Project Name</b>	LFUCG Family Care Center
	<b>Target Area</b>	
	<b>Goals Supported</b>	Other Public Facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$299,080
	<b>Description</b>	LFUCG will use CDBG funds to repair and transform the existing enclosed courtyard area of the LFUCG Family Care Center into an accessible amphitheater, offering an outdoor classroom and performance area for low-income children and families.
	<b>Target Date</b>	5/1/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The number of beneficiaries is estimated to be 440 individuals.
	<b>Location Description</b>	1135 Red Mile Place, 40504
	<b>Planned Activities</b>	CDBG funds will be used for architectural services and for the renovation of the courtyard area into an amphitheater which includes activities such as concrete work, lighting, safety, and ensuring the area is accessible.
<b>20</b>	<b>Project Name</b>	Arbor Facility Rehabilitation
	<b>Target Area</b>	
	<b>Goals Supported</b>	Other Public Facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$237,919

	<b>Description</b>	CDBG funds will be used to renovate Arbor Youth Services which is comprised of a homeless youth shelter and a Drop-In-Center. Both are historic buildings requiring extensive repairs.
	<b>Target Date</b>	6/30/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The number of beneficiaries is estimated to be 423 homeless youth.
	<b>Location Description</b>	The buildings are located at located at 536 and 540 West Third Street.
	<b>Planned Activities</b>	CDBG funds will be used to renovate two historic buildings under public facilities.
<b>21</b>	<b>Project Name</b>	Hope Center Emergency Shelter Fire Sprinkler System
	<b>Target Area</b>	
	<b>Goals Supported</b>	Other Public Facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$123,000
	<b>Description</b>	CDBG funds will be used to replace the old fire sprinkler system with a new one for the Hope Center.
	<b>Target Date</b>	6/30/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 1,600 men are served at this shelter annually.
	<b>Location Description</b>	360 W. Loudon Avenue
	<b>Planned Activities</b>	CDBG funds will be used to replace the old fire sprinkler system with a new one for the Hope Center under public facilities. The fire sprinkler was originally installed in 1992 and is in poor condition.
<b>22</b>	<b>Project Name</b>	HOPE Center Operations
	<b>Target Area</b>	
	<b>Goals Supported</b>	Public Services--Homeless Populations
	<b>Needs Addressed</b>	Emergency Shelter Operations
	<b>Funding</b>	CDBG: \$250,000
	<b>Description</b>	2016 CDBG Program Income funds in the amount of \$250,000 have been allocated for operation of the Hope Center emergency shelter. LFUCG also awarded \$200,000 fiscal year 2018 funding to the Hope Center through the ESR Program.

	<b>Target Date</b>	5/1/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 1,600 men are served at this shelter annually.
	<b>Location Description</b>	360 W. Loudon Avenue.
	<b>Planned Activities</b>	Operation of emergency shelters for single men
23	<b>Project Name</b>	LFUCG Parks Improvements
	<b>Target Area</b>	
	<b>Goals Supported</b>	Other Public Facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$1,162,500
	<b>Description</b>	CDBG 2016 Program Income funds in the amount of \$1,162,500 will be used to renovate and improve public parks in located in eligible census tracts.
	<b>Target Date</b>	6/30/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Improvements will be made in 9 eligible low-income census tracts in Lexington-Fayette Urban County. The estimated number of beneficiaries is the number of residents located in those census tracts. The census tracts include: 9, 10, 14, 16, 20.01, 32.02, 34.02, 35.04 and 38.04. Estimated number of beneficiaries is 49,039.
<b>Location Description</b>	Improvements will be made in 9 eligible low-income census tracts in Lexington-Fayette Urban County: 9, 10, 14, 16, 20.01, 32.02, 34.02, 35.04 and 38.04.	
<b>Planned Activities</b>	Activities include improving the existing public parks such as a basketball court renovation, playground replacement and/or improvement, etc.	
24	<b>Project Name</b>	AVOL Facility Rehab
	<b>Target Area</b>	
	<b>Goals Supported</b>	Other Public Facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$100,000
	<b>Description</b>	LFUCG will use \$100,000 in CDBG 2016 PI to repair two facilities operated by AVOL. AVOL operates two housing facilities: Solomon House, a 7 bedroom, long-term permanent community residence for people with AIDS, and Rainbow Apartments, a 5-unit complex of furnished units for people living with HIV/AIDS, who are transitioning from homelessness to permanent housing.

<b>Target Date</b>	6/30/2018
<b>Estimate the number and type of families that will benefit from the proposed activities</b>	An estimated 12 households/individuals will benefit from the renovations.
<b>Location Description</b>	1807 Dalton Court and 851 Old Todds Road
<b>Planned Activities</b>	This project proposes rehabilitation and repair of two properties owned by LFUCG located at 1807 Dalton Court and 851 Old Todds Road and leased to AVOL which operates housing programs on site AVOL currently leases both buildings from LFUCG. The grantee has not made any major repairs to the properties since they were purchased (1992 and 1996), and AVOL has requested funding so that they can make necessary and critical repairs to the buildings such as the replacement of sewer lines and interior pies, drains and other fixtures, painting, floor replacement, and replacement of exterior bricks that are crumbling.

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## **AP-50 Geographic Distribution – 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

The Lexington-Fayette Urban County Government (LFUCG), during the next year, will continue to direct funding from the Community Development Block Grant (CDBG) program into census tract #14 for public improvements (street reconstruction, curbs, gutters, sidewalks, storm sewer and sanitary sewer improvements) on Park View Avenue, from Bryan Avenue to Oak Hill Drive, including a portion of the 300 block, the 400, and 500 blocks of Park View Avenue. 80.95% of the households are low- and moderate-income, and African-Americans make up 18% of the population and persons of Hispanic Origin make up 9.6% of the population.

Assistance for housing rehabilitation is based upon household eligibility and may be located anywhere in the urban county area. The first-time homebuyer program supports development of single family housing by the Lexington Community Land Trust and Lexington Habitat for Humanity. Area owned by the Community Land Trust for construction of housing is located in Census Tract #9 which has a low- and moderate-income population of 89.9%. Census Tract #9 is 12.29% African-American, 6.44% Asian and 3.24% Hispanic. Lexington Habitat for Humanity constructs housing as buildable lots are available throughout the community; however, the organization has recently acquired approximately 50 lots in a subdivision in Census Tract 37.04 which is not a low-income census tract. The African-American Population makes up 20.17% of the population and Hispanics make up 3.70% of the population. The production of new rental housing is encouraged in non low-income census tracts.

Funds for services are not based upon geographic locations, but based upon income eligibility of population being served.

### **Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>

**Table 8 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

Public improvements in the Northland-Meadows-Arlington area are part of a planned twenty-year strategy to improve the infrastructure and drainage problems in this large low-income neighborhood. The lead agency in recommending allocations for investments in public improvements is the LFUCG Division of Engineering.

Public investment in housing rehabilitation, housing production, and homeownership are encouraged throughout the jurisdiction in an effort to provide low-income households with maximum choice in housing location. The Lexington Community Land Trust will construct new single family units for homeownership in Census Tract 9. The Community Land Trust is a result of a partnership among

Lexington-Fayette Urban County Government, the U.S. Department of Housing and Urban Development, and the Kentucky Transportation Cabinet in the construction of the Newtown Pike Extension Project. An Environmental Justice finding on this project resulted in creation of a land trust as a mitigation effort to ensure that low-income residents were not unduly burdened. Lexington Habitat for Humanity traditionally built housing in low-income areas as a cost-saving effort; however the organization has recently purchased lots in Brookfield Chase, a new subdivision in a non low-income census tract; however, the location of each unit is ultimately that of the homebuyer. REACH, Inc., provides down payment assistance to first-time homebuyers of existing housing throughout the community. LFUCG's Division of Grants and Special Programs operates a single family housing rehabilitation program and an emergency repair program for eligible households throughout the community.

### **Discussion**

Other geographic areas that need improved public infrastructure and public facilities will be considered for funding in the future.

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# Affordable Housing

## AP-55 Affordable Housing – 91.220(g)

### Introduction

During the project year, the following affordable housing units will be assisted:

- Lexington’s single family housing rehabilitation program for low-income owners—30 units
- Lexington’s emergency repair program—25 units
- Realtor’s Community Housing Foundation RAMP program –accessibility improvements – 4 units
- Parkway Plaza rehabilitation of 180 rental units—only four will be HOME-assisted
- Parkside Phase III construction of 36 rental units – only nine will be HOME-assisted
- Habitat for Humanity will construct three new homeownership units
- Fayette County Local Development Corporation will construct four new rental units and rehab 2 existing

### Amendment:

The Leestown VA Housing Project will add an additional 58 affordable housing units.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	284
Special-Needs	0
Total	284

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	43
Rehab of Existing Units	241
Acquisition of Existing Units	0
Total	284

Table 10 - One Year Goals for Affordable Housing by Support Type

### Discussion

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

#### **Actions planned during the next year to address the needs to public housing**

Lexington Housing Authority's needs during the coming year are the completion of the rehabilitation of 206 units at Centre Meadows and the need for funding for rehabilitation of Appian Hills project. LHA is also focusing on the acquisition and development of smaller sites, typically only a half-dozen units or less. To the extent that funds are available, the jurisdiction will assist LHA with acquiring and developing these properties.

#### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

One of the most promising and exciting initiatives included in LHA's goals for the Moving To Work (MTW) program is the Self-Sufficiency Through Resident Involvement Vision & Education (STRIVE) Program. STRIVE was debuted to LHA residents living at the 6th Street HOPE VI sites during the summer of 2014. The initiative rewards positive behavior among LHA households. LHA anticipates continuing this program.

HOME funds allocated to REACH, Inc., will continue to be used to assist LHA's Housing Choice Voucher holders with the opportunity to become homeowners. The HCV Homeownership Program requires potential homebuyers to be both income and mortgage eligible by a mortgage lender. HOME funds are used to subsidize the principal, making the unit affordable.

#### **If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

The Lexington Fayette Urban County Housing Authority is not designated as "troubled" by HUD. The Lexington Fayette Urban County Housing Authority has been deemed a high performer since entering the MTW demonstration in 2011.

### **Discussion**

The Lexington-Fayette Urban County Housing Authority is a Moving to Work Agency which allows the Housing Authority the flexibility to grant housing choice vouchers to Special Partners in the Community. Many of these programs assist persons who are homeless or at risk of homelessness. Included are 65 vouchers for persons with mental illnesses, 185 vouchers for persons in recovery from chemical dependency, 80 vouchers for persons residing in the One-Parent Scholar program, 25 vouchers for homeless families, and 25 vouchers for victims of domestic violence. Other organizations have a total of 53 vouchers.

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

LFUCG's one-year goal for reducing and ending homelessness is to provide funding to organizations that prevent/re-house homeless persons and that operate emergency shelters that have a plan for moving people from homelessness to permanent housing. The January 27, 2016 point in time count found 1,064 persons living on the streets, in shelters, and in transitional housing, reflecting a decrease of 26% since the count in 2014. The count also shows that chronic homelessness has been cut in half, and that veteran homelessness has been nearly ended. Since the LFUCG created the Office of Homelessness Prevention and Intervention and invested \$2 million annually from the general fund, a Housing First Pilot Project, a Mental Health Court, a new Emergency Family Housing Program, and a Street Outreach Project have been initiated.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

Hope Center, Inc. operates an aggressive street outreach program in an effort to engage homeless persons who do not present to a shelter. Hope Center also operates the HopeMobile which includes food, clothing, healthcare, and referrals for shelter, counseling and job training. This program is partially funded through LFUCG's Department of Social Services Extended Social Service Grant Program.

During fiscal year 2015, Lexington's Office of Homelessness Prevention and Intervention awarded a three-year contract to the National Alliance for the Mentally Ill for operation of a Mental Health court designed as a diversion program for nonviolent offenders providing them with intensive case management. Local general fund dollars in the amount of \$300,000 are being awarded for this program. The Office of Homelessness Prevention and Intervention also awarded a three-year contract to Hope Center, Inc., for operation of a pilot Housing First Project to serve 20 homeless participants, primarily those with mental illness, substance abuse, co-occurring disorders, and/or physical problems. Both of these projects were implemented in Fiscal Year 2015 and will be for a period of three years.

#### **Addressing the emergency shelter and transitional housing needs of homeless persons**

**Hope Center, Inc.,** will be funded in the amount of \$65,260 from the ESG Program for the purpose of supporting basic operations of the emergency program for homeless men. This is the community's primary shelter for homeless men. This shelter provides three meals per day, overnight lodging, and shower and laundry facilities. As clients sign in, they are assessed in the intake office and referred to programs designed to address client needs and assist clients in regaining independence. Hope Center programs include long-term recovery, mental health care, employment, transitional housing, social services, detox unit, and a Hispanic program. Approximately 2000 homeless men are served each year.

The performance standard is to provide basic emergency shelter services and transitional housing for persons who are homeless, and through case management and referral, assist them into advancing to permanent housing.

**GreenHouse17 (formerly Bluegrass Domestic Violence Program)** will be funded in the amount of \$32,000 from the ESG Program for the purpose of operating an emergency shelter for the victims of domestic violence. This program's emergency shelter services are especially designed to reduce the duration and damage of homelessness by helping individuals and families victimized by domestic violence transition from the acute trauma of abuse to stability and eventual self-sufficiency. Approximately 225 adults and children are served annually. The performance standard is to provide basic emergency shelter services and transitional housing for persons who are homeless, and through case management and referral assist them into advancing to permanent housing

The Mayor's 2017 proposed budget also includes funding for four emergency shelters. These are Arbor Youth Services in the amount of \$150,000, GreenHouse17 in the amount of \$120,000, Hope Center in the amount of \$260,000, and Salvation Army in the amount of \$227,500.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

Lexington-Fayette Urban County Government Division of Adult and Tenant Services will be funded in the amount of \$55,272 in ESG funds for the purpose of operating a rapid rehousing program for individuals and families who are homeless. Approximately 19 families will be assisted. A nonfederal match of \$55,272 will come from the 2017 General Fund of the Lexington-Fayette Urban County Government. The performance standard is to increase the number of homeless households moving into permanent housing.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

Lexington-Fayette Urban County Government Division of Adult and Tenant Services will be funded in the amount of \$10,000 in ESG funds for operation of a homelessness prevention program in conjunction with Arbor Youth Services. LFUCG General Fund dollars in the amount of \$10,000 will be used as grant match. Approximately 12 households who are at-risk of homelessness will be assisted. The target

population will be youth who were previously in foster care or are otherwise without a family safety net. Arbor Youth Services will be funded in the amount of \$11,823 to provide intensive case management to augment the financial assistance. Arbors provides a continuum of care through multiple agency programs to ensure that youth and families obtain sustainable housing and independence and prevent chronic homelessness. Arbor Youth Services' objective is to provide youth with early intervention services to combat the severe health and safety issues that vulnerable youth are exposed to when experiencing homelessness.

New Beginnings Bluegrass, Inc. will be allocated CDBG funds in the amount of \$20,000 to support a peer support outreach worker to develop individualized housing plans to individuals with SPMI living in unsafe, unstable situations to transition them to permanent housing. Some of these persons have been previously hospitalized in mental health facilities and some of them have fallen into homelessness.

The Mayor's 2017 proposed budget includes \$200,000 as allocation to the Division of Adult Services for financial assistance to prevent homelessness when there is a temporary income loss and evictions or utility shut-offs are threatened.

The Mayor's 2017 proposed budget also includes \$2,271,576 from the Extended Social Resource Grant Program for basic human needs, mental health and substance abuse services, positive youth development, public health, services for senior citizens, and violence prevention. These funds will provide support of programs to 49 community nonprofits to address community needs, most of which address housing, health, social services, employment education and youth needs.

## **Discussion**

Lighthouse Ministries, a faith-based organization in downtown Lexington, operates a daytime free lunch program for people who are homeless and/or low-income. The organization provides lunch at two sittings, six days per week. The organization has expanded its facility to create a dining facility that will seat 190 people and include a full commercial kitchen. In exchange for funding in the amount of \$67,657 in general funds dollars from the Office of Homelessness Prevention and Intervention, Lighthouse Ministries has agreed to operate the Dining with Dignity program in this space for a minimum of three years. Lighthouse will make the space available 365 nights each year to groups/organizations wishing to serve meals to people who are homeless and low-income. The goal of this project is to consolidate as many scattered nightly meal service programs as possible, including meals served in parts and other public spaces, into this one facility. The result will be a more pleasant and consistent dining experience for those in need. At this time, organizations are providing meals four evenings per week.

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

The greatest identified development of affordable housing in the community is the escalating cost of land, although it should be noted that the economic conditions of the past few years have slowed the previous rates of escalating values. Lexington does use an Urban Service Area approach which restricts growth to specific areas. There are no immediate plans to expand its urban service area. Given current development rates, it has been determined that there are adequate amounts of land for commercial, residential, and industrial uses in the existing urban services area. During the coming program year the Lexington-Fayette Urban County Government will continue with the construction phase of the Southend Park Urban Village, an area traditionally low-and very low-income, as part of a major transportation project that will extend Newtown Pike from Main Street to South Limestone Street. The housing phase of the project will result in the eventual new construction of 155 residential units. During fiscal year 2015, the first 14 units of rental housing were completed and occupied. In order to provide affordable units and to ensure that these units remain affordable, a Community Land Trust for perpetual ownership of land has been organized as a means of protecting the land from a speculative market. This represents Lexington's first attempt to organize a Community Land Trust. Successful implementation may pave the way for other applications of this model.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

Other perceived barriers to the development of affordable housing are the regulations imposed upon new construction in infill areas. The Lexington-Fayette Urban County Government zoning ordinance has been amended to facilitate infill and redevelopment in existing neighborhoods, protecting these neighborhoods from inappropriate development, improving the quality of infill, and eliminating unnecessary regulations. In a continuing effort to monitor the effects of these modifications, the Division of Planning conducts periodic meetings with the stakeholders, primarily affordable housing providers and neighborhood residents, to evaluate the effects, both good and bad, of the infill and redevelopment regulations. Based upon these meetings additional text amendments to the ordinance may be proposed. The Division of Planning has also continues to work with the Division of Water Quality to assess sanitary and storm sewer infrastructure in infill and redevelopment areas to determine the appropriateness of expanded development.

During Fiscal Year 2015, Lexington launched its own Affordable Housing Trust Fund, allocating an initial \$3,000,000 from general fund for the purpose of producing and preserving safe, quality, affordable housing through coordination with public and private partners, investment in strategic opportunities,

and policy development. Legislation was also enacted assuring a minimum of \$2 million allocation annually. This included the creation of a Director of Affordable Housing and an Affordable Housing Board.

Lexington has also reactivated its Vacant Property Review Commission, and under city ordinance, may now classify property as abandoned which has been vacant or unimproved for a period of at least one year and which is unfit for occupancy, or has become a place for the accumulation of trash and debris, or has been infested with rodents or other vermin, or has been tax delinquent for a period at least three years. Once declared as abandoned, the property is taxed additionally at the rate of \$1.00 per each \$100.00 of assessed value. As of now 96 properties have been classified as abandoned.

**Discussion:**

DRAFT

## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

#### **Actions planned to address obstacles to meeting underserved needs**

The jurisdiction has recognized a need for public services and public improvements in its Cardinal Valley neighborhood. A small area plan has been completed for this area. An early identified underserved need is for adequate public services for this low-income, largely Hispanic neighborhood. In Program Year 2015 CDBG funds were allocated for a feasibility study for a an eight acre commercial site for the purpose of creating a public service area that would uniquely serve the residents of this area. The feasibility study has now been completed. It includes the cost of acquiring land, clearing existing buildings, and environmental remediation and the relationship to the adjacent floodplain, and addresses possible methods of financing the construction, maintenance, and operations, as well as ownership options of the land to ensure the long-term viability of the project as a community asset for both the partner agencies and LFUCG. The likely partner agencies are the Lexington Public Library for construction of a new branch library to replace a leased storefront and Community Action Council for construction of a services center to include Head Start programming and work skills training.

#### **Actions planned to foster and maintain affordable housing**

Lexington-Fayette Urban County Government will use its federal, state, and local allocations to develop and maintain affordable housing through development of new rental housing, rehabilitation of existing housing (owner and renter), fostering homeownership for low-income first-time buyers, and support of tenant-based rental assistance for very low-income households who have disabilities. To the greatest extent possible, the jurisdiction will seek other funds to help fill the identified gap for affordable housing.

During the coming year, the LFUCG will continue its support of public housing by working with the Lexington Fayette Urban County Housing Authority in its attempts to rehabilitate or reconstruct public housing units that have exhibited structural failure and been deemed unsafe for habitation.

#### **Actions planned to reduce lead-based paint hazards**

Lexington-Fayette Urban County Government uses some of its HUD entitlement funds for low-income owner-occupied rehab and first-time homebuyer activities. The staff has been trained and certified on lead-based paint regulations. Staff determines the level of lead-based paint compliance for the projects. Risk assessment, lead testing, evaluation of testing results, lead-based paint control, and abatement procedures are completed as necessary. The work write-ups for rehab projects incorporate lead-based paint hazard reduction work and protective measures. When indicated, clients with small children are referred to the Fayette County Health Department for blood lead screening.

For the coming year it is anticipated that 30 housing rehabilitation jobs will be completed for low-income owner-occupied units. It is estimated that 75% of these units will be found to have lead hazards requiring either interim controls or abatement. Depending on the level of potential lead hazards, some households are temporarily relocated during rehabilitation. All homeowners whose houses required interim controls or lead abatement are given the Lead Hazard Information Pamphlet and Disclosure and, if paint testing or a risk assessment was conducted, they are given a Notice of Lead Hazard Evaluation and Reduction. The LFUCG staff also conducts lead hazard evaluations of all pre-1978 units that are being purchased by low-income households under the HOME assisted first-time homebuyer programs for the purpose of ensuring that the units are lead-safe. These homebuyers are also provided with a Lead Hazard Information Pamphlet and Disclosure and if paint testing or a risk assessment was conducted a Notice of Lead Hazard Evaluation and Reduction. The Code Enforcement staff of the Division of Grants and Special Programs also conducts lead screening on all pre-1978 housing units that are assisted under the Emergency Solutions Grants Homelessness Prevention/Rapid Rehousing activity. For other federally funded housing activities, the LFUCG staff provides lead hazard evaluations and resident notification as required by 24 CFR Part 35.

### **Actions planned to reduce the number of poverty-level families**

The Antipoverty Strategy for the Annual Action Plan is the same as that described in the 2015 Five-Year Strategic Plan.

### **Actions planned to develop institutional structure**

LFUCG's Division of Grants and Special Programs is an administrative division that reports to the Chief Administrative Officer. This division is responsible for the financial management of all federal and state grants to the Lexington-Fayette Urban County Government. The division has lead responsibility for development of the Consolidated Plan and for implementation and monitoring of CDBG, HOME, and ESG programs. In addition the division is responsible for operating a housing rehabilitation program and an emergency housing repair program for low-income households. LFUCG's Division of Engineering is responsible for planning, bidding, and constructing public improvements. This division reports to the Commissioner of the Department of Planning, Preservation, and Development. The LFUCG has employed an Affordable Housing Manager to conduct activities under the Affordable Housing Trust Fund. This manager reports to the Commissioner of the Department of Planning, Preservation, and Development. The Director of the Office of Homelessness Prevention and Intervention, also a new position, is housed in the Mayor's Office. There are no restructuring plans in process at this time.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

During Fiscal Year 2015, the Lexington-Fayette Urban County Government created an Office of Homelessness Prevention and Intervention and an Office of Affordable Housing. The Director of the Office of Homelessness Prevention and Intervention serves in a leadership role to enhance coordination between public and private housing and social service agencies to end homelessness in Lexington. This

will include providing leadership to the Continuum of Care process and developing a centralized intake system for services for homeless persons. Also in 2015, the LFUCG created an Affordable Housing Trust Fund with the mission of facilitating the preservation, production, and provision of safe, quality, affordable housing through coordination with public and private partners, investment in strategic opportunities, and policy development. The trust fund is guided by a board that includes council members, housing developers, constructors, financial institutions, housing providers, and providers of social services and supportive services.

The LFUCG will continue to rely upon private nonprofit social service agencies, including faith-based entities, for the provision of services to the homeless and to special needs populations such as persons with severe mental illnesses, persons with developmental disabilities/mental retardation, persons with substance abuse problems, and persons with HIV/AIDS. Over the years, the LFUCG has established strong collaborative relationships with these organizations.

**Discussion:**

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# Program Specific Requirements

## AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

### Introduction:

#### Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

**HOME Investment Partnership Program (HOME)  
Reference 24 CFR 91.220(l)(2)**

- 1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:**

No other forms of investment will be used.

- 2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:**

The jurisdiction proposes recapture of HOME funds when homebuyers sell units before the expiration of the period of affordability, except in cases where funds are used for homeownership by Lexington Habitat for Humanity and the Lexington Community Land Trust. Homeownership supported by these two entities will use resale guidelines to ensure affordability of units.

- 3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:**

See Pages 93-96 in the appendices for resale and recapture guidelines.

- 4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:**

Use of HOME funds to refinance existing debt is not planned.

**Emergency Solutions Grant (ESG)  
Reference 91.220(l)(4)**

- 1. Include written standards for providing ESG assistance (may include as attachment)**

See Page 98 in grantee's unique appendices.

- 2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.**

The Office of Homelessness Prevention and Intervention has produced policies and procedures for the OneDoor Lexington Coordinated Entry (coordinated assessment system) with a go-live date of May 24, 2016. This system provides that any homeless person may enter the system through any organization and be referred for the appropriate level of services Organizations' staff have been trained on use of the the Vulnerability Index - Service Prioritization Decision Assistance Tool (VI-SPDAT). Recipients receiving funding from the U.S. Department of Housing and Urban Development's homeless assistance grants participate in the Kentucky Homeless Management Information System operated by the Kentucky

Housing Corporation (HMIS Lead Agency). Lexington has also begun requiring that all agencies receiving local and other public funds (CDBG) for services to the homeless population be compliant with entering data into the HMIS, and agree to participate in a coordinated assessment. The HMIS In Lexington is now an "open" system, which means that client data is shared for those individuals who have signed a Release of Information (ROI) form. Only a few agencies have elected to keep data closed. A truly integrated centralized intake system functions best in an "open" environment that allows users to view important information about other housing and services that a client household may have received. The Central Kentucky Homeless and Housing Initiative (CKHHI) has served as the Fayette County user group for the KYHMIS; however as of May 2015 this role was assumed by the Office of Homelessness Prevention and Intervention. The Director of the Office of Homelessness Prevention and Intervention is requiring that all subrecipients of general fund emergency shelter dollars participate in the development of a coordinated assessment and further agree to participate in its implementation.

Lexington's Office of Homelessness Prevention and Intervention has taken an active role in implementation of a coordinated assessment in Fayette County. The New Life Day Center (day shelter) and Hope Center will begin use of SkanPoint, which includes issuance of personal identification cards that will be used to access services. This will also provide the agencies and the community with more efficient tracking of the use of services by clients, as well as more accurate entry-exit data.

At this time, the lead HMIS agency is working to improve the structure of the system. The following goals have been completed:

- Confirming all agencies and all funding components within CoC structure.
- Restructuring all agency funding components for the ability to make multiple referrals to multiple agencies by email and share client/household data for that referral.
- Restructuring all agency funding components to comply with HUD's APR and CAPER reporting requirements.
- Conducting joint planning meeting with the HMIS lead agency to establish goals in relation to Lexington-Fayette County's homeless system needs.
- Conducting HMIS user training on new system structures and how to utilize the system for a community solution.

**3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).**

For the 2016 Program Year, the Lexington-Fayette Urban County Government (LFUCG) used a public process to solicit applications for funding from the Emergency Solutions Grants program.

At the first Public Hearing on September 14, 2015, information on regulations and eligible activities along with forms to use were made available. Also included were the criteria that would be used to evaluate projects. A direct mail to nonprofit agencies serving homeless persons, low-income persons, and minority populations, low-income neighborhood associations, churches in low-income neighborhoods, and to Urban County Government council members and their staff was conducted to inform them of the process and how information on requesting funding could be obtained: paper

copies mailed from the government agency, computer disks, and downloading of fill-in the blank forms from the LFUCG web page. The LFUCG website was also used to inform the public of the process that would be followed. The website included the calendar of events in the process and the phone number to call to request further information and/or assistance.

All applications were due on or before December 4, 2015. The criteria used to review and rank applications included applicant capacity, project quality, need for project, and operational feasibility. ESG applications were reviewed and ranked by the staff of the LFUCG Division of Grants and Special Programs. These recommendations were then reviewed and approved by staff of the Chief Administrative Officer.

On January 8, 2016, the Director of the Division of Grants and Special Programs, Lexington-Fayette Urban County Government (LFUCG) consulted with the Office of Homeless Prevention and Intervention, the organizational entity that maintains responsibility for the Lexington/Fayette County Continuum of Care to discuss distribution of funds for the FY 2017 Emergency Solutions Grants Program. The distribution plan included the use of federal funds for homeless prevention for persons at-risk of homelessness and rapid re-housing for homeless persons, for operation of the area's homeless shelters that made applications for funds for operations, and for administration. This discussion included the need to develop a coordinated assessment in Fayette County, to become fully compliant with inputting data into Kentucky Homeless Management Information System, and to evaluate the programs funded under the Emergency Solutions Grants Program. It is anticipated that during the 2016 program year that the Division of Grants and Special Programs will improve the coordination between the jurisdiction and the CoC Agency.

**4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.**

The LFUCG is governed by a Mayor and Council members elected by popular vote, and therefore cannot be directly compliant with this requirement. The Lexington Office of Homelessness Prevention and Intervention (OHPI) was created in June of 2014 with the appointment of a full-time director and the organization of a 13-member Homeless Prevention and Intervention (HPI) Board comprised of elected officials, business leaders, service providers, community advocates, faith-based organizations, and people experiencing homelessness.

The functions of the HPI Board include the following:

- Consider and recommend solutions to specific issues as they arise:
- Consider and advise Director of OHPI on broad matters that impact people experiencing homelessness:
- Review and consider progress on implementation of recommendations made by Mayor's Commission on Homelessness:
- Monitor key indicators and other data to track and understand trends:
- Support OHPI by disseminating activities and reports to respective constituencies and other key stakeholders:
- Establish guidelines for LFUCG funding to support activities that reduce and prevent homelessness: In addition, the LFUCG's Division of Adult and Tenant Services has organized an advisory committee for its homeless prevention/rapid re-housing services that includes two members of the formerly homeless population.

##### **5. Describe performance standards for evaluating ESG.**

The performance standard is to move homeless individuals and families into safe and affordable housing. Beginning with the 2015 program year, follow-up will be conducted up to six months after assistance has ended to ascertain if households are still permanently housed.

##### **Discussion:**

##### **Homelessness Prevention**

The performance standard is to ensure that individuals and families at-risk of homelessness be retained in or moved into safe and affordable housing. Beginning with the 2016 program year, follow-up will be conducted up to six months after assistance has ended to ascertain if households are still permanently housed.

##### **Emergency Shelter**

The performance standard is to provide safe emergency shelter services for persons who are homeless, and through case management and referral, assist them into advancing to permanent housing. Documentation of the number of homeless persons moving to permanent housing will be maintained.