

**URBAN COUNTY COUNCIL
SCHEDULE OF MEETINGS
September 12-September 19, 2011**

Monday, September 12

Design Excellence Task Force Meeting.....3:00 pm
Conference Room-3rd Floor Phoenix Building

Tuesday, September 13

General Government Committee Meeting.....10:00 am
Council Chambers-2nd Floor Government Center

Planning & Public Works Committee Meeting.....1:00 pm
Council Chambers-2nd Floor Government Center

Council Work Session.....3:00 pm
Council Chambers-2nd Floor Government Center

Mayor's Youth Council Meeting.....5:30 pm
Conference Room-5th Floor Government Center

Wednesday, September 14

MPO-Transportation Technical Coordinating Committee Meeting9:00 am
Conference Room-5th Floor Government Center

Tree Board Meeting.....10:30 am
Conference Room-5th Floor Government Center

Thursday, September 15

Redistricting Committee Meeting.....10:00 am
Conference Room-3rd Floor Phoenix Building

Solid Waste Task Force meeting.....3:30 pm
Conference Room-5th Floor Government Center

Special Committee of the Whole Meeting.....5:15 pm
Conference Room-5th Floor Government Center

Council Meeting.....6:00 pm
Council Chambers-2nd Floor Government Center

Friday, September 16

No Meetings

Monday, September 19

Council Personnel Sub-Committee Interviews.....9:00 am
Conference Room-5th Floor Government Center

LEXINGTON-FAYETTE URBAN COUNTY COUNCIL

WORK SESSION AGENDA

September 13, 2011

- I. Public Comment – Issues on Agenda**
- II. Requested Rezoning / Docket Approval – Yes**
- III. Approval of Summary – None**
- IV. Budget Amendments – Yes, pp. 10-12**
- V. New Business, pp. 14-78**
- VI. Continuing Business / Presentations**
 - A. Budget & Finance Committee-separate**
 - B. Facilities Use Policy Presentation-Acting General Services
Dir. Jamshid Baradaran, pp. 79-89**
- VII. Council Report**
- VIII. Mayor's Report – Yes, separate**
- IX. Public Comment – Issues Not on Agenda**
- X. Closed Session-Pending Litigation**
- XI. Adjournment**

ADMINISTRATIVE SYNOPSIS

New Business Items

- A. Authorization to amend Section 15, 16, 18 and 19 of Ordinance No. 69-2011, correcting typographical errors effective July 1, 2011. (313-11) (Jarvis/Graham)
This is a request to correct subsection line numbers of Ordinance No. 69-2011, reorganization.**pp.14-15**
- B. Authorization to amend Section 21-5 and Section 22-5 of the Code of Ordinances, creating positions that were funded and approved in the FY 12 budget process, to become effective July 1, 2011, the first day of the first pay period in Fiscal Year 2012. (326-11) (Jarvis/Graham)
This is a request to create one position of Administrative Specialist Sr. in the Division of Family Services and one position of Commissioner of Planning in the Office of the Chief Administrative Officer. The fiscal impact for FY2012 will be budget neutral. All funding for the new positions is included in the FY2012 approved budget.**p.16**
- C. Authorization to amend Section 21-5 and Section 22-5 of the Code of Ordinances moving positions and the incumbents within the Department of Social Services, effective upon passage of Council. (316-11) (Jarvis/Graham)
This is a request to move two employees from Youth Services to the Commissioners Office, two employees from Youth Services to Adult and Tenant Services and one vacant position from Family Services to Youth Services and transfer the incumbent.**p.17**
- D. Authorization to approve the internal advertisement of vacant positions within the LFUCG if authorization if requested by the Director of the Division, effective upon passage of Council through December 31, 2011. (327-11) (Jarvis/Graham)
This is a request to approve the internal advertisement of vacant positions at the request of the Division Director effective through December 31, 2011. If the Division of Human Resources does not receive a qualified pool of internal applicants to fill the vacancy within the first week of posting, the

Division will then open and advertise the vacancy to external candidates.**p.18**

- E. Authorization to amend Section 21-5 of the Code of Ordinances changing the position title of Director of Community Development to Director of Grants and Special Programs and amending Section 22-5 of the Code of Ordinances abolishing one (1) position of Administrative Officer (Grade 118E) and creating two (2) positions Administrative Officer P/T (Grade 118E) in the Office of the Chief Administrative Officer. (309-11) (Jarvis/Graham)

This is a request to change the position title of Director of Community Development to Director of Grants and Special Programs. This request also abolishes one Administrative Officer and creates two positions Administrative Officer P/T in the Office of the CAO.**p.19**

- F. Authorization to execute a one-year agreement with Ray Sabbatine for his services as Interim Director of Community Corrections. (330-11) (Mason)

This is a request to execute a one-year agreement with Ray Sabbatine for his service as Interim Director of Community Corrections at a cost of \$112,900.**p.20**

- G. Authorization to approve the agreement with the Financial Crimes Task Force to investigate money laundering operations. (317-11) (Bastin/Mason)

This is a request to approve the Memorandum of Understanding between Internal Revenue Service, Criminal Investigation (IRS-CI) and the LFUCG. The mission of the Task Force will be to develop and assist with criminal investigations and asset forfeitures of illegal activities within the Field Office. The emphasis of the Task Force is to pursue investigations on individuals and organizations that will result in criminal prosecutions and large asset forfeitures in investigations arising out of the analysis of Suspicious Activity Reports filed by financial institutions.**p.21**

- H. Authorization to execute amendment to Memorandum of Agreement extending agreement for the Kentucky Clean Diesel Grant through June 30, 2012. (322-11) (Gooding/Moloney)

This is a request to extend the agreement nine months.**pp.22-24**

- I. Authorization to award EcoArt Grant to recipients. (315-11) (Bush/Taylor)
This is a request to award funds to recipients under the EcoArt Grant Program.**pp.25-26**
- J. Authorization to execute a sales agreement with Horizon Information System, Inc. for the purchase of Representative Payee software. (299-11) (Talbert/Mills)
This is a request to execute a sales agreement with Horizon Information Systems for purchase of software for the organizational representative payee program, which will be administered by Department of Social Services (DSS) Division of Adult and Tenant Services in conjunction with the Social Security Administration (SSA).**p.27**
- K. Authorization to approve a Purchase of Service Agreement at UK Arboretum to provide water quality related workshops. (321-11) (Bush/Taylor)
This is a request to execute a one year contract beginning July 1, 2011 and extends through June 30, 2012, with an option for two, one-year renewals. The Arboretum to be responsible for the development and presentation of workshops covering issues related to storm water education in Fayette County.**p.28**
- L. Authorization to approve a Facility Usage Contract between the Fayette County Board of Education and the LFUCG for use of the Henry Clay High School track. (303-11) (Hancock/Baradaran)
This is a request to execute a facility usage contract that will allow LFUCG the use of Henry Clay High School Track for the Hershey Track Meet on June 23, 2011.**pp.29-30**
- M. Authorization to accept award from the Environmental Protection Agency for a Brownfields Assessment Grant. (325-11) (Gooding/Taylor)
This is a request to accept federal funding from the Environmental Protection Agency in the amount of \$200,000 for the assessment of hazardous substance contaminated sites.

These funds will be used to perform an estimated 20 Phase I and 10 Phase II environmental site assessments, develop cleanup plans for five properties and to support community outreach activities.**p.31**

- N. Authorization to amend the contract with Kentucky Theater Management Group, Inc. for approval of the extension of the management agreement for an additional one (1) year through October 31, 2012. (329-11) (Baradaran/Moloney)
This is a request to execute an extension of the management agreement with the Kentucky Theater Management Group, Inc.**p.32**

- O. Authorization to approve the Interlocal Cooperation Agreement with the Jessamine County Sheriff's Office. (294-11) (Bastin/Mason)
This is a request to execute the Interlocal Cooperation Agreement that will allow full police powers to sworn members of the Lexington Division of Police in portions of Jessamine County, where the Urban County Government owns property (i.e. Veteran's Park and West Hickman Treatment Plant).**p.33**

- P. Authorization to approve the Facility Lease Agreement with the Kentucky Horse Park. (301-11) (Bastin/Mason)
This is a request to execute the Facility Lease Agreement that allows the Division of Police use of the Kentucky Horse Park facility during the Mounted Police Colloquium scheduled in October 2011. Fees incurred for usage of the facility will be paid by the Friends of the Lexington Mounted Police, Inc. (FLMP).**p.34**

- Q. Authorization to approve the agreement between the Internal Revenue Service and LFUCG for reimbursement of certain expenses to the Division of Police for cost incurred as participants of joint operations/task forces with a Department of Treasury law enforcement agency. (318-11) (Bastin/Mason)
This is a request to execute the agreement with Internal Revenue Service for the reimbursement of certain expenses to the Division of Police.**p.35**

- R. Authorization to execute an Agreement with Marathon Health, Inc. to provide employees certain preventive, wellness, disease management, health consultation and/or primary care services, effective upon passage of Council. (331-11) (Jarvis/Graham)
This is a request to execute an Agreement with Marathon Health, Inc. to provide employees with certain health services. Funds are budgeted.**p.36**
- S. Authorization to execute a Claims Payment Agreement with Humana Insurance Company for health insurance plans for the period beginning January 1, 2012 through December 31, 2012. (332-11) (Jarvis/Graham)
This is a request to execute a Claims Payment Agreement with Humana Health Insurance Company for health insurance plans beginning January 1, 2012 through December 31, 2012. Funds are budgeted.**p.37**
- T. Authorization to approve a one-year extension of the agreement with Siemens Healthcare Diagnostics for the purchase of drug testing reagents. (302-11) (Sabbatine/Mason)
This is a request to execute renewal of the agreement that will allow the purchase of drug testing reagents for the period of 1 year beginning July 1, 2010 and expiring June 30, 2011 with the option of three, one-year renewals. This is a sole source provider. The expected fiscal expenditure for FY2012 is \$230,000.**pp.38-41**
- U. Authorization to approve a contract with Insight Business to provide network services to the Division of Fire and Emergency Services to link components of the 800MHz Radio System. (314-11) (See/Mason)
This is a request to execute a contract with Insight Business to perform network services by the Division of Fire and Emergency Services to link components of the 800MHz radio system. The term of the new contract is for a period of three years at a cost not to exceed \$10,800.00.**p.42**
- V. Authorization to transfer current 911 database management services from Contact Once to AK Associates, as a sole source provider. (311-11) (Lucas/Mason)

This is a request to transfer 911 database management services from Contact Once to AK Associates. Contact Once was sold to Intrado who is no longer able to service 911 Datamaster products. The total cost for the current fiscal year is \$85,000 and all funds are budgeted within the Division of Emergency Management/911. The requested services meet all state specifications and are acceptable expenses as outlined by regulations created by the Commonwealth of Kentucky.**pp.43-46**

- W. Authorization to renew the current agreement with DCC, dba Cassidian Communications, as a sole source provider for the emergency notification system being used by Department of Public Safety for both internal & external notification. (310-11) (Lucas/Mason)

This is a request to renew the current agreement with DCC, a sole source provider, beginning July 1, 2011 through June 30, 2012. The total cost for the present fiscal year is \$31,329 and all funds are budgeted within the Division of Emergency Management/911 execute a one year contract beginning July 1, 2011 and extends through June 30, 2012.**pp.47-50**

- X. Authorization to execute Change Order No. 2 to the construction contract with Claunch Construction LLC for the West Hickman Trail project. (320-11) (Gooding/Taylor)

This is a request to execute Change Order # 2 with Claunch Construction LLC, which will decrease the sum of the current contract by \$7,828.32. The new total of the contract will be \$469,515.08.**pp.51-55**

- Y. Authorization to execute Amendment No. 3 to an Agreement with CDP Engineers, Inc., to perform additional engineering services in the development of the West Hickman Trail project. (319-11) (Gooding/Taylor)

This is a request to execute the third amendment for the performance of engineer services for the West Hickman Trail project, which was originally contracted for \$89,550.00. CDP Engineers have agreed to perform the additional services needed at a cost not to exceed \$17,680.00. The new total of the contract is \$155,930.00.**pp.56-58**

- Z. Authorization to approve Change Order No. 2 with Claunch Construction, LLC., in the amount of \$ 8,379.10 for Meadows/Northland/Arlington Public Improvements Design Phase 2E. (304-11) (Gooding/Taylor)
This is a request to execute Change Order # 2 with Claunch Construction, LLC that will increase the sum of the original contract by \$8,379.10 for the Meadows/Northland/Arlington Public Improvements Design Phase 2E. This change order gives the revised total contract amount of \$1,031,138.10.**pp.59-62**
- AA. Authorization to approve Change Order # 2 for the South Elkhorn Pump Station Project. (298-11) (Martin/Taylor)
This is a request to execute Change Order # 2 with Hall Contracting Corporation that will increase the sum of the original contract by 3% for the South Elkhorn Pump Station Improvements. The original amount of this project was \$3,842,000.00. Change Order # 1 is for \$27,987.00. Change Order # 2 is for \$82,037.33 and adding 67 days to the contract giving a revised contract completion date of September 14, 2010. The cumulative sum of Change Order # 1 and # 2 gives a revised total contract amount of \$3,952,024.33.**pp.63-66**
- BB. Authorization to approve a Change Order No. 1 with Kenvirons, Inc. for the Dixie Pump Station upgrade and Force Main project. (305-11) (Martin/Taylor)
This is a request to execute Change Order # 1 with Kenvirons, Inc. in the amount of \$11,021.39 for the modified design of the new Dixie Pump Station. The original contract amount was \$30,730.00. This change order gives the revised total contract amount of \$41,751.39.**pp.67-68**
- CC. Authorization to approve Change Order # 1 for the Trinity Road - Wellington Way storm water project. (307-11) (Martin/Taylor)
This is a request to execute Change Order # 1 with Gooch Construction Co. that will increase the sum of the original contract by 4% for the Trinity Road-Wellington Way Storm Water Project. The original amount of this project was \$82,970.00. This change order is for an increase of \$3,620.00 giving the revised total contract amount of \$86,590.00.**pp.69-71**

- DD. Authorization to approve Change Order # 1 for the Della Drive Storm Water Improvements. (297-11) (Martin/Taylor)
 This is a request to execute Change Order # 1 with Gooch Construction Co. that will increase the sum of the original contract by 12% for the Della Drive Storm Water Pipe Relocation Project. The original amount of this project was \$94,422.00. This change order is for an increase of \$12,678.28 giving the revised total contract amount of \$107,100.28.**pp.72-74**
- EE. Authorization to amend Resolution 290-2011 changing the contractor from Hayden Construction to Burdette Construction and changing the contract amount from \$48,859.00 to \$59,730.00. (324-11) (Rayan/Taylor)
 This is a request to award Burdette Construction with the contract for the Warfield Place Entrance Wall. The original contract was awarded to Hayden Construction, as the low bidder. Hayden Construction is unable to provide the required bonding. Burdette Construction is the next lowest bidder.**pp.75-78**

BUDGET AMENDMENT REQUEST LIST

10

JOURNAL	53571	DIVISION	Social Services	Fund Name	General Fund
				Fund Impact	34,260.00
					34,260.00CR
					.00

To budget additional local match for National School Lunch and Child Care Food Program--FY 2012

JOURNAL	54636	DIVISION	Social Services	Fund Name	General Fund
				Fund Impact	5,552.50
					5,552.50CR
					.00

To provide funds for software for Representative Payee Program in the correct accounting detail.

JOURNAL	54637-38	DIVISION	Parks and Recreation	Fund Name	General Fund
				Fund Impact	1,300.00
					1,300.00CR
					.00

To provide funds for William Wells Brown Community Center for Healthy Life skills program by recognizing funds from Partners for Youth.

JOURNAL	54848	DIVISION	Environmental Policy	Fund Name	General Fund
				Fund Impact	15,000.00
					15,000.00CR
					.00

To transfer funds for professional services funds from Streets and Roads to Environmental Policy for forestry.

JOURNAL	54957-58	DIVISION	Parks and Recreation	Fund Name	General Fund
				Fund Impact	2,301.00
					2,301.00CR
					.00

To recognize funds from LYSA for Park Patrol services at Masterson Station Soccer Fields for Lexington Youth Soccer events.

JOURNAL	54959-60	DIVISION	Parks and Recreation	Fund Name	General Fund
				Fund Impact	90.00
					90.00CR
					.00

To recognize donation from UK for Camp Keanrey for a study on oral health and Obesity.

JOURNAL	54961	DIVISION	Facilities and Fleet	Fund Name	General Fund
				Fund Impact	15,000.00
					15,000.00CR
					.00

To transfer funds to PFC - General Fund for new Parking Control Equipment in Annex Parking Garage by decreasing funds for repairs and maintenance.

JOURNAL	54826	DIVISION	Environmental Policy	Fund Name	Donation Fund
				Fund Impact	1,000.00
					1,000.00

To provide funds for Keep Lexington Beautiful by recognizing a donation received in FY 2011.

JOURNAL	54632-33	DIVISION	Grants and Special Projects	Fund Name	US Dept HUD
				Fund Impact	218,369.00
					218,369.00CR
					.00

To reduce home investment partnerships program funds (HOME- FY 2012) in accordance with actual federal funding.

JOURNAL	54634-35	DIVISION	Grants and Special Projects	Fund Name	US Dept HUD
				Fund Impact	2,628.00
					2,628.00CR
					.00

To budget additional expense and revenue for Emergency Shelter - 2012 in accordance with actual federal funding.

JOURNAL	54777-78	DIVISION	Grants and Special Projects	Fund Name	US Dept HUD
				Fund Impact	146,262.00
					146,262.00CR
					.00

To reduce Community Development Block Grant program in accordance with actual federal funding.

JOURNAL	54779	DIVISION	Grants and Special Projects	Fund Name	US Dept Justice
				Fund Impact	21,181.00
					21,181.00CR
					.00

To amend Arrest Policies - FY 2007 to provide additional funds for police overtime.

JOURNAL	54830	DIVISION	Grants and Special Projects	Fund Name Fund Impact	US Dept Of HHS 7,703.97 7,703.97CR .00
----------------	-------	-----------------	-----------------------------------	--	---

To rebudget Home Network - FY 2009 to reflect actual expenditures.

JOURNAL	53573-74	DIVISION	Grants and Special Projects	Fund Name Fund Impact	US Dept Homeland Sec 76,321.00 76,321.00CR .00
----------------	----------	-----------------	-----------------------------------	--	---

To remove funds for State Homeland Security - Hazardous Devices Unit because the grant was not awarded at LFUCG.

JOURNAL	53577	DIVISION	Grants and Special Projects	Fund Name Fund Impact	US Dept Homeland Sec 729.39 729.39CR .00
----------------	-------	-----------------	-----------------------------------	--	---

To reallocate funds for Bomb Squad - FY 2011 based on current needs.

JOURNAL	53575-76	DIVISION	Grants and Special Projects	Fund Name Fund Impact	Grants State 1,009.17 1,009.17CR .00
----------------	----------	-----------------	-----------------------------------	--	---

To accept additional funds for the Emergency Medical Services Project - 2012

JOURNAL	54962-23	DIVISION	Facilities and Fleet	Fund Name Fund Impact	PFC General 15,000.00 15,000.00CR .00
----------------	----------	-----------------	-------------------------	--	--

To provide funds for new Parking Control Equipment in Annex Parking Garage by recognizing a transfer from the General Fund.

BUDGET AMENDMENT REQUEST SUMMARY

Fund	1101	General Service District – General Fund	.00
Fund	1103	Donation Fund	1,000.00
Fund	3120	US Department of Housing and Urban Development	.00
Fund	3140	US Department of Justice	.00
Fund	3190	US Department of Health and Human Services	.00
Fund	3200	US Department of Homeland Security	.00
Fund	3400	Grants – State	.00
Fund	4022	PFC – General Fund	.00

NEW BUSINESS ITEMS REQUIRING BUDGET AMENDMENTS

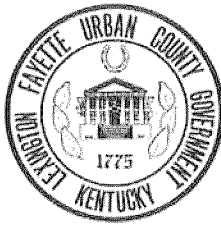
13

If the New Business item listed below is on the Agenda, approval includes approval of the attached Budget Amendment. These Budget Amendments are not voted upon as part of section IV on the Agenda and are for information only.

NEW BUSINESS ITEM	BUDGET JOURNAL	DIVISION	DESCRIPTION OF REQUEST
309-11	RW 0010	CAO Office	To abolish one position of Administrative Officer and creating two part-time Administrative Officer positions in the Office of the CAO.
E			1101 61,435.00 1101 61,435.00 0.00CR*
316-11	RW 0012	Planning	To create the Commissioner of Planning position funded and approved in the FY 12 Budget process and transferring funds from Mayor's Office (where budgeted) to the Office of CAO.
C			1101 107,286.00 1101 107,286.00 0.00CR*
326-11	RW 0011	Social Services	To move positions, incumbents and budgeted dollars within the Department of Social Services.
B			1101 182,679.00 1101 182,679.00 0.00CR*

EFFECT ON FUND BALANCES

FUND 1101	0.00*	NO IMPACT TO: GENERAL SERVICES DISTRICT
-----------	-------	---



313-11
14


Lexington-Fayette Urban County Government
DIVISION OF HUMAN RESOURCES

Jim Gray
Mayor

Janet Graham
Commissioner

M E M O R A N D U M

TO: Jim Gray, Mayor
Richard Moloney, Chief Administrative Officer
Council Members

FROM: 
Leslie Jarvis, Acting Director
Division of Human Resources

DATE: August 25, 2011

SUBJECT: Error Correction— Amend Ordinance No. 69-2011

The attached action amends Section 15, 16, 18 and 19 of Ordinance No. 69-2011, correcting typographical errors effective July 1, 2011.

Section 15 currently reads: *That the following subsection line numbers of Section 21-5(2) of the Code of Ordinances be and hereby are created to read as follows:*

340.138 2 625 109N Trades Worker Sr.

Section 15 should be amended to read: *That the following subsection line numbers of Section 21-5(2) of the Code of Ordinances be and hereby are created to read as follows:*

340.138 3 625 109N Trades Worker Sr.

Section 16 currently reads: *That the following subsection line numbers of Section 21-5(2) of the Code of Ordinances be and hereby are created to read as follows:*

330.025 7 606 111N Public Service Supervisor
330.045 8 625 109N Trades Worker Sr.
340.115 6 606 111N Public Service Supervisor
720.090 2 104 108N Staff Assistant Sr.

Section 16 should be amended to read: *That the following subsection line numbers of Section 21-5(2) of the Code of Ordinances be and hereby are created to read as follows:*

330.025 8 606 111N Public Service Supervisor
330.045 9 625 109N Trades Worker Sr.
340.115 5 606 111N Public Service Supervisor
720.090 3 104 108N Staff Assistant Sr.

Section 18 currently reads: *That the following subsection line numbers of Section 22-5(2) of the Code of Ordinances be and hereby are created to read as follows:*

030.025 1 237 118E Administrative Aide to Mayor

Section 18 should be amended to read: *That the following subsection line numbers of Section 22-5(2) of the Code of Ordinances be and hereby are created to read as follows:*

030.035 1 237 118E Administrative Aide to Mayor

Section 19 currently reads: *That the following subsection line numbers of Section 22-5(2) of the Code of Ordinances be and hereby are created to read as follows:*

035.005 1 212E Chief Development Officer

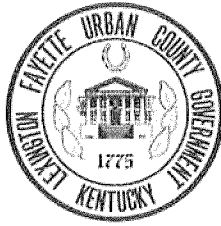
Section 19 should be amended to read: *That the following subsection line numbers of Section 22-5(2) of the Code of Ordinances be and hereby are created to read as follows:*

035.005 1 013 212E Chief Development Officer

There is no fiscal impact associated with this action.

If you have questions or need additional information, please contact Daniel H. Fischer at 258-3030.

Log # 12-0008



326-11

16

Lexington-Fayette Urban County Government
DIVISION OF HUMAN RESOURCES

Jim Gray
Mayor

Janet Graham
Commissioner

M E M O R A N D U M

TO: Jim Gray, Mayor
Richard Moloney, Chief Administrative Officer
Council Members

FROM: *Leslie Jarvis by*
Leslie Jarvis, Acting Director *DM Walters*
Division of Human Resources

DATE: September 6, 2011

RE: Create Positions

The attached action amends Section 21-5 and 22-5 of the Code of Ordinances, creating positions that were funded and approved in the FY 12 budget process, to become effective July 1, 2011, the first day of the first pay period in Fiscal Year 2012.

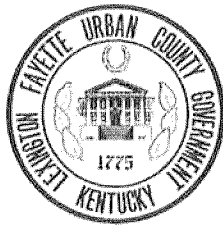
These approved positions include the following:

- one (1) position of Administrative Specialist Sr., (Grade 112N), in the Division of Family Services;
- and one (1) position of Commissioner of Planning (Grade 210E) in the Office of the Chief Administrative Officer.

The fiscal impact for FY2012 (26 pay periods) will be budget neutral. All funding for the new positions were included in the FY 2012 approved budget.

If you have questions or need additional information, please contact Daniel H. Fischer at 258-3030.

Attachment



316-11

17

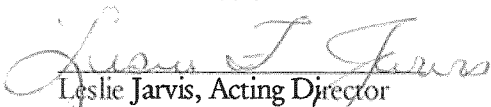
Lexington-Fayette Urban County Government

Jim Gray
Mayor

Janet Graham
Commissioner

MEMORANDUM

TO: Jim Gray, Mayor
Richard Moloney, Chief Administrative Officer
Council Members

FROM: 
Leslie Jarvis, Acting Director
Division of Human Resources

DATE: August 29, 2011

RE: Create/Abolish Positions – Department of Social Services

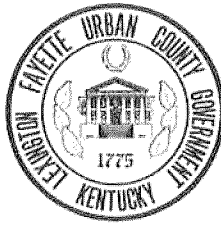
The attached action amends Section 21-5 and Section 22-5 of the Code of Ordinances moving positions and the incumbents within the Department of Social Services, effective upon passage of Council.

This action is requested by the Department of Social Services to utilize the positions to better serve the public. The fiscal impact is budget neutral since all positions are fully funded.

If you have questions or need additional information, please contact Daniel H. Fischer at 258-3030.

Attachment

12-0008



**327-11
18**

Lexington-Fayette Urban County Government
DIVISION OF HUMAN RESOURCES

Jim Gray
Mayor

Janet Graham
Commissioner

MEMORANDUM

TO: Jim Gray, Mayor
Richard Moloney, Chief Administrative Officer
Council Members

FROM: *Leslie Jarvis by J. M. Maltus*
Leslie Jarvis, Acting Director
Division of Human Resources

DATE: August 5, 2011

SUBJECT: Internal Advertisement for All Vacant Positions

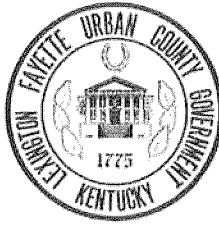
The Division of Human Resources is requesting your concurrence and approval of the internal advertisement of vacant positions within the Lexington-Fayette Urban County Government if requested by the Director of the Division where the vacancy exists, effective upon passage of Council through December 31, 2011.

It is our goal to provide current employees, whenever possible, internal promotional opportunities when vacancies occur within the Divisions. If the Division of Human Resources does not receive a qualified pool of internal applicants to fill the vacancy within the first week of posting, the Division will then open and advertise the vacancy to external candidates.

If there are any questions, please call Daniel H. Fischer at 258-3030.

df

Log # 12-0004



**309-11
19**


Lexington-Fayette Urban County Government
DIVISION OF HUMAN RESOURCES

Jim Gray
Mayor

Janet Graham
Commissioner

M E M O R A N D U M

TO: Jim Gray, Mayor
Richard Moloney, Chief Administrative Officer
Council Members

FROM: 
Leslie Jarvis, Acting Director
Division of Human Resources

DATE: August 24, 2011

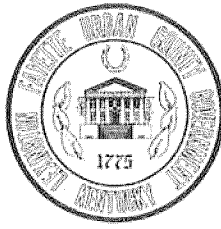
RE: Title change - Division of Grants and Special Programs
Abolish/ Create - Office of the Chief Administrative Officer

The attached action amends Section 21-5 of the Code of Ordinances changing the position title of Director of Community Development to Director of Grants and Special Programs and its incumbent in the Division of Grants and Special Programs. This Action also amends 22-5 of the Code of Ordinances abolishing one (1) position of Administrative Officer (Grade 118E) and creates two (2) positions Administrative Officer P/T (Grade 118E) in the Office of the Chief Administrative Officer, effective upon passage by Council.

The request for the title change to the Director of Grants and Special Programs is needed to match the division title change as approved in Ordinance Number 69-2011.

If you have any questions, please contact Daniel H. Fischer at 258-3030.

Log # 12-0007



**330-11
20**

Lexington-Fayette Urban County Government
DEPARTMENT OF PUBLIC SAFETY

Jim Gray
Mayor

Clay Mason
Commissioner

To: Jim Gray, Mayor
Members, Urban County Council

From: Clay Mason / CB
Clay Mason, Commissioner
Department of Public Safety

Date: September 7, 2011

Re: Agreement for Interim Director of Community Corrections

Effective August 1, Ron Bishop retired as director of the Division of Community Corrections. As you all know, the Council was on break at that time. In order to provide an interim director until a new director is chosen and the Council completes its examination of best practices for the Division of Community Corrections, a short term, two-month agreement was entered into with Ray Sabbatine. This memo is to request authorization for the Mayor to execute a longer term agreement with Ray Sabbatine for his services as interim director. The agreement will be for a term of one year, but may be terminated by LFUCG at any time. Mr. Sabbatine's compensation will be based on the same salary that Mr. Bishop was receiving (\$112,900 annually), but he will receive no other benefits (resulting in a net savings while he serves as interim director).

It is anticipated that during the term of the agreement, the Council will conduct its examination of best practices for the Division of Community Corrections and that a search for a new director will be completed.

If you have any questions, please let me know.

Cc: Jeannette Williams



LEXINGTON DIVISION OF POLICE

150 East Main Street • Lexington, KY 40507 • (859) 258-3600

317-11
21

TO: Mayor Jim Gray
Urban County Council

FROM: Chief Ronnie Bastin
Division of Police

DATE: August 29, 2011

RE: Memorandum of Understanding – Internal Revenue Service, Criminal Investigation
Financial Crimes Task Force

Please find attached documentation and blue sheet requesting approval of the attached Memorandum of Understanding between the Internal Revenue Service, Criminal Investigation (IRS-CI) and the Lexington-Fayette Urban County Government.

This agreement allows for the continuation of the Financial Crimes Task Force to investigate money laundering operations. The mission of the Task Force will be to develop and assist with criminal investigations and asset forfeitures of illegal activities within the Field Office. The emphasis of the Task Force is to pursue investigations on individuals and organizations that will result in criminal prosecutions and large asset forfeitures in investigations arising out of the analysis of Suspicious Activity Reports filed by financial institutions. The attached agreement requires the Mayor's signature.

Upon approval and signing, please forward a copy of the agreement to the Chief's Office. There will be no budgetary impact.

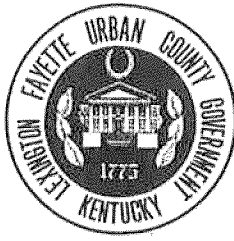
A handwritten signature in cursive script that reads "Ronnie Bastin".

Ronnie Bastin
Chief of Police

RB/rmh

Attachment

cc: Clay Mason, Commissioner of Public Safety



**322-11
22**

Mayor Jim Gray

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
Division of Community Development

**TO: JIM GRAY, MAYOR
URBAN COUNTY COUNCIL**

**FROM: IRENE GOODING, ACTING DIRECTOR
DIVISION OF COMMUNITY DEVELOPMENT**

DATE: AUGUST 31, 2011

**SUBJECT REQUEST COUNCIL AUTHORIZATION TO EXECUTE
AMENDMENT TO MEMORANDUM OF AGREEMENT
EXTENDING AGREEMENT FOR THE KENTUCKY CLEAN DIESEL
GRANT THROUGH JUNE 30, 2012**

On April 22, 2010 (Ordinance No. 63-2010), Council approved the acceptance of federal funds from the Kentucky Division for Air Quality under the Kentucky Clean Diesel Grant Program, in the amount of \$150,000 for the purchase and installation of Diesel Particulate Filters (DPFs) on 15 refuse haulers.

On September 23, 2010 (Ordinance No. 161-2010), Council approved acceptance of amended award from Kentucky Division of Air Quality increasing the award to \$204,947. This Agreement expires on September 30, 2011.

Kentucky Division of Air Quality has offered the LFUCG an Amendment to Memorandum of Agreement extending the period of performance for this project through June 30, 2012. No other changes are being made.

Funds will be used to retrofit 15 refuse haulers (2006 models) each with a Diesel Particulate Filter and purchase a Diesel Particulate Filter Cleaner System for use on all refuse haulers. The modifications will last from 7-15 years and will provide up to a 50% reduction in particulate matter per vehicle. No match is required. The Kentucky Division for Air Quality has been awarded these funds from the U.S. Environmental Protection Agency's State Clean Diesel Grant Program.

Council authorization to execute Amendment to Memorandum of Agreement is hereby requested.

Irene Gooding, Acting Director

Xc: Jamshid Baradaran, Acting Commissioner of the Department of General Services

HORSE CAPITAL OF THE WORLD

APPENDIX 1 (revised)**2010-11 Kentucky Clean Diesel Grant Timeline**

3/23/10	Lexington-Fayette Urban County Council (LFUCG) receives award notification for the Kentucky Clean Diesel Grant.
8/10	LFUCG receives an amended award notification for the Kentucky Clean Diesel Grant in the amount of \$204,947.
9/9/10	LFUCG conducts first reading of the amended award notification.
9/23/10	LFUCG conducts second reading and approves the Kentucky Clean Diesel Grant. Mayor Jim Newberry signs the grant agreement.
9/27/10	LFUCG submits signed agreement to the Kentucky Division for Air Quality.
10/10/10	Quarterly Progress Report Due to DAQ [July – September Quarter]
11/1/10	LFUCG issues an RFP to solicit bids from vendors.
11/9/10	LRC Governmental Contract Review Committee approves grant contract
11/15/10	Bid solicitation closing date.
11/17/10	Vendor recommended for equipment purchase and installation.
11/18/10	Vendor recommendation made to Council for first reading
12/10	Vendor selection receives second reading by Council.
12/10	Selected vendor notified of bid award.
11/10-9/11	Begin public education and outreach program. Kick-off with press conference. Incorporate into Live Green Lexington campaign. Create a web presence. Publish information in the WasteBuster newsletter.
11/10-9/11	Kentucky Clean Fuels features the project on their website and provides updates to 90 other Clean Cities organizations.
1/10/11	Quarterly Progress Report Due to DAQ [October – December Quarter]
4/10/11	Quarterly Progress Report Due to DAQ [January – March Quarter]
7/10/11	Quarterly Progress Report Due to DAQ [April– June Quarter]
1/11-6/11	Data logging conducted by Whayne Supply for emission solution verification.
8/11	Begin installations of diesel particulate filters on first 6 of 12 refuse haulers, 2006 models. Installations occur on Wednesday when refuse haulers are not in service. DPF Cleaner System installed at the Fleet Services Building.
6/11 -9/11	Work with Bluegrass PRIDE and Fayette County Schools to expand public education on air quality, particulate matter, and emission reduction solutions.
9/11	Installation of diesel particulate filters on the remaining 6 refuse haulers, 2006 models. Installations occur on Wednesday when refuse haulers are not in service.
10/11	All equipment installations complete.
10/11	Quarterly Progress Report Due to DAQ [July - September Quarter]
10/11	End of project period and final report made to the Kentucky Division for Air Quality.

APPENDIX 3 (revised)**Reporting Instructions and Timeline**

Each recipient of a Kentucky Clean Diesel Grant Program award will be required to submit progress reports to the Kentucky Division for Air Quality (DAQ). Recipients will be responsible for interim reports submitted at the close of each calendar quarter followed by a final report due upon project completion. A template for these reports will be provided prior to their due date. Reports should be submitted on or before each deadline to the DAQ project coordinator via email.

The reporting schedule will be as follows:

<u>Reporting Period</u>	<u>Report Deadline</u>
July – September 2010	October 10, 2010
October – December 2010	January 10, 2011
January – March 2011	April 10, 2011
April – June 2011	July 10, 2011
July – September 2011	October 10, 2011
October – December 2011	January 10, 2012

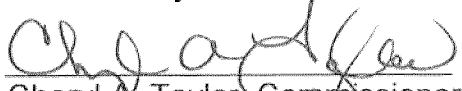


**315-11
25**

Mayor Jim Gray

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
Commissioner's Office Department of Environmental Quality

TO: Mayor Jim Gray
Urban County Council

FROM: 
Cheryl A. Taylor, Commissioner

DATE: August 31, 2011

SUBJECT: EcoArt Grant Program

The Department of Environmental Quality has budgeted funds to establish the EcoArt Grant Program for non-profits, public and private schools and individual artist to encourage environmental art projects that will creatively educate local citizens about environmental issues and responsibility.

The following award recommendations are submitted to the Urban County Council for the approval to fund the proposed projects under the program.

Arturo Alonzo Sandoval	Frame recycled construction material	\$5000
Blake Snyder Eames	Repair Made you look Drains	\$1000
Christine Kuhn	Go Native	\$5000
Chrysalis House, Inc.	Outdoor Vinyl Banners	\$4500
Columbia Heights NA	Covered Bus Shelter	\$5000
Friends of the Arboretum	Entrance gate to KCG	\$5000
Lansdowne Elementary	EcoArt Club play	\$3000
Lexington Art League	Master Class	\$1200
Lexington Children's Theatre	Environmental PSA	\$1275
Lexington Roots & Heritage Festival	Heritage Music	\$5000
Louis Guida	PSA Town Branch	\$5000
Luella Pavey	Outdoor Mural	\$4450
Marco Logsdon	P-O-W! Possibility of Wood	\$3712
Millcreek Elementary	Create Artwork	\$1300
Nori Hall (Subject to approval by the Fayette County Rural Land Management Board, Inc.)	Photograph Project for PDR	\$5000

HORSE CAPITAL OF THE WORLD

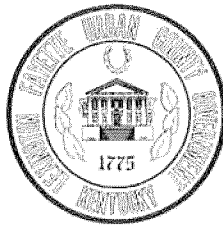
200 East Vine Street 9th Floor Lexington, KY 40507 Ph: (859)425-2800 Fax: (859)425-2859 www.lexingtonky.gov

North Limestone NA	Urban Wildlife	\$4835
Robert Morgan	Wood & Car Parts Sculpture	\$5000
Sarah Heller	Mural Project	\$5000
Seedleaf, Inc	Timely Eating Cookbook	\$5000
Sisohpromatem Art Foundation	Plastic Diversion Project	\$3065
Tates Creek High School	Gainesway Community Garden sculpture	\$5000
The Learning Center (Linlee)	Outdoor Environmental Sculpture	\$5000
University of Kentucky Research Foundation	It's easy Beading Project	\$2390
Wellington Elementary	Tile Mosaic Project	\$1300

Total amount of funds to be disbursed is \$92,027 from account 1115 313201 3095 78112.

The EcoArt grant will award 50% of funding at the start of the project, and 50% at the completion and receipt of final report. Project completion and final reports are due June 30 2012.

cc: Grant Review Committee



**299-11
27**

Lexington-Fayette Urban County Government
DEPARTMENT OF SOCIAL SERVICES

Jim Gray
Mayor

Beth Mills
Commissioner

TO: MAYOR JIM GRAY
MEMBERS OF THE COUNCIL
RICHARD MALONEY, CHIEF ADMINISTRATIVE OFFICER

FROM: Cheryl L. Talbert
CHERYL L. TALBERT, DIRECTOR
DIVISION OF ADULT & TENANT SERVICES

THROUGH: BETH K. MILLS Beth K. Mills
COMMISSIONER OF SOCIAL SERVICES

DATE: AUGUST 10, 2011

SUBJECT: SALES AGREEMENT WITH HORIZON SYSTEMS INC.

This action authorizes the Mayor to enter into a contractual sales agreement with Horizon Information Systems for the purchase of software for the organizational representative payee program. The organizational representative payee program will be administered by Department of Social Services (DSS) Division of Adult and Tenant Services in conjunction with the Social Security Administration (SSA) and will provide support and assistance to the most vulnerable members of society-the young, the elderly, the disabled who are unable to manage their Social Security or Supplemental Security Income (SSI) benefits.

A single account will be established at Metro Credit Union. A thorough system of checks and balances and protocols have been delineated to meet the SSA's Organizational Representative requirements and to ensure tight control. Internal audit, law, finance, accounting, IT and budget have been integral in the discussions and decisions with DSS and SSA to help ensure the LFUCG's Organizational Representative Payee Program's success.



321-11

28

Lexington-Fayette Urban County Government
DEPARTMENT OF ENVIRONMENTAL QUALITY AND PUBLIC WORKS

Jim Gray
Mayor

Cheryl Taylor
Commissioner

TO: Jim Gray, Mayor
Urban County Council

FROM: 
Cheryl Taylor, Commissioner

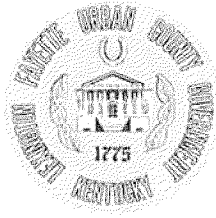
DATE: August 31, 2011

RE: Requesting Approval of Purchase Service Agreement with The Arboretum for stormwater education and outreach services for Division of Environmental Policy.

The Department of Environmental Quality is requesting approval of this Purchase Service Agreement with The Arboretum for the Division of Environmental Policy. The Arboretum will be responsible for the development and presentation of workshops covering issues related to stormwater education in Fayette County. All workshops shall be free and open to the public on a first-come, first-served basis.

The state Municipal Separate Storm Sewer System permit requires Lexington to have a Public Education and Public Involvement component for its stormwater program. The Arboretum is expected to develop stormwater workshops on issues such as Growing a Successful Community Garden, Building a Rain Garden, Restoring Riparian Areas along Streams, Green Lawn Care, and Use of Urban Forests to Improve Water Quality as determined by the Division. The contract is a 1-year contract with an option for two, 1-year renewals.

For the services described, The Arboretum shall be compensated for Workshop Development and Presentation at a total not to exceed \$50,000 for the contract period, which starts July 1, 2011 and extends through June 30, 2012. The Arboretum shall provide LFUCG with semi-annual written report, six and twelve months from the effective date. The reports shall include a description of workshop content and focus, registration of participants, number and date of each event, copies of workshop materials distributed, and copies of promotional materials and photographs of each workshop.



**303-11
29**

Lexington-Fayette Urban County Government
DEPARTMENT OF GENERAL SERVICES

Jim Gray
Mayor

Richard Moloney
Chief Administrative Officer

MEMORANDUM

To: Jim Gray, Mayor
Urban County Council Members

FROM: 
Jerry Hancock

RE: Facility Usage Contract

DATE: August 16, 2011

This is a request for Council approval of a Facility Usage Contract between the Lexington-Fayette Urban County Government and Fayette County Board of Education.

This agreement is for the use of the Henry Clay High School Track for the Hershey Track meet on June 23, 2011.

Please contact me if there are any questions.

CC: Jamshid Baradaran, Commissioner of General Services (Acting)

JEH/bac

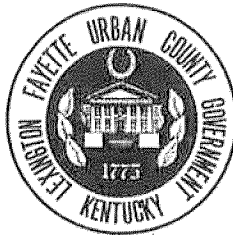
**EXHIBIT A****THIS IS NOT A BILL -- INVOICE TO FOLLOW****DESIGNATION OF FACILITY/GROUNDS. PERSONNEL AND EQUIPMENT**

APPLICANT:	Lexington-Fayette Urban County Government (Parks & Recreation)	USAGE FEE:	\$78.60
FACILITY:	Henry Clay High School		
DATE(S):	Thursday, June 23, 2011		
TIME(S):	11:30am - 4:00pm		

AREA(S) TO BE USED	NO. AREAS	NO. HOURS PER AREA	TOTAL NO. HOURS	HOURLY UTILITY / MAINTENANCE FEE	TOTAL UTILITY / MAINTENANCE COST
Classrooms / Library	0	0	0	\$20.50	\$0.00
Auditorium	0	0	0	\$42.75	\$0.00
Cafeteria	0	0	0	\$42.75	\$0.00
Kitchen	0	0	0	\$22.50	\$0.00
Gymnasium	0	0	0	\$60.00	\$0.00
Football Field	0	0	0	\$123.50	\$0.00
Running Track	1	0	0	\$51.50	\$0.00
Baseball Field	0	0	0	\$32.75	\$0.00
Softball Field	0	0	0	\$32.75	\$0.00
Other: Restrooms / Press Box	2	0	0	\$17.25	\$0.00
Subtotal	-----	-----	-----	-----	\$0.00
DISTRICT EMPLOYEES (If Applicable)	NO. EMPLOYEES	NO. HOURS PER EMPLOYEE	TOTAL NO. HOURS	HOURLY PERSONNEL FEE	TOTAL PERSONNEL COST
Custodian(s)	1	2	2	\$39.30	\$78.60
Food Service Manager(s) II	0	0	0	\$41.00	\$0.00
Technical Support Staff	0	0	0	\$44.05	\$0.00
Law Enforcement Officer(s)	0	0	0	\$51.55	\$0.00
Other:	0	0	0	\$0.00	\$0.00
Subtotal	-----	-----	-----	-----	\$78.60
Total	-----	-----	-----	-----	\$78.60
ADDITIONAL RENTAL FEES (If Applicable)	0	0	0	\$0.00	\$0.00
Grand Total	-----	-----	-----	-----	\$78.60

COMMENTS:

FUNDRAISER FOR HCHS TRACK TEAM



**325-11
31**

Mayor Jim Gray

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
Division of Community Development

**TO: JIM GRAY, MAYOR
URBAN COUNTY COUNCIL**

**FROM: IRENE GOODING, ACTING DIRECTOR
DIVISION OF GRANTS AND SPECIAL PROGRAMS**

DATE: SEPTEMBER 2, 2011

**SUBJECT: REQUEST COUNCIL AUTHORIZATION TO ACCEPT AWARD FROM
THE ENVIRONMENTAL PROTECTION AGENCY FOR A
BROWNFIELDS ASSESSMENT GRANT**

On October 14, 2010 (Resolution No. 548-2010), Council authorized the submission of a grant application to the Environmental Protection Agency requesting federal funds for a Brownfields Assessment Grant.

EPA has approved federal funding for the LFUCG in the amount of \$200,000 for the assessment of hazardous substance contaminated sites. Funds will be used to perform an estimated 20 Phase I and 10 Phase II environmental site assessments, and develop cleanup plans for five properties. Funds will also be used to support community outreach activities. It is believed that many of these properties can be returned to productive reuse. No local match is required.

A brownfield site is defined as real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of hazardous substances, pollutants, contaminants, controlled substances, and petroleum or petroleum products. These funds are authorized by the Comprehensive Environmental Response, Compensation and Liability Act as amended by the Small Business Liability Relief and Brownfields Revitalization Act.

Council authorization to accept the award is hereby requested.



Irene Gooding, Acting Director

Xc: Cheryl Taylor, Commissioner of the Department of Environmental Quality and Public Works

HORSE CAPITAL OF THE WORLD



**329-11
32**

Lexington-Fayette Urban County Government
DEPARTMENT OF GENERAL SERVICES

Jim Gray
Mayor

Jamshid Baradaran
Acting Commissioner

MEMORANDUM

TO: Mayor Jim Gray
Urban County Council

FROM: Jamshid Baradaran
Acting Commissioner of General Services

DATE: August 16, 2011

RE: Management Agreement
Kentucky Theater Management Group, Inc.

I am requesting Urban County Council approval for an amendment to Contract #5797 (R-693-2006). The contract is for the management agreement entered into on December 1st, 2006 and ending November 30, 2009, amended in 2011 (R-103-2011) to expire October 31, 2011, and between the Lexington Fayette Urban County Government (Owner) and Kentucky Theater Management Group, Inc. (Manager). The agreement provides terms for the management and operation services for the theaters.

Kentucky Theater Management Group, Inc. has expressed an interest in continued operation of the theaters. All terms and provisions of the current amended agreement shall remain unchanged and will extend the contract period for one (1) additional year. The amended agreement would expire October 31, 2012.



LEXINGTON DIVISION OF POLICE

150 East Main Street • Lexington, KY 40507 • (859) 258-3600

294-11
33

TO: Mayor Jim Gray
Urban County Council

FROM: Chief Ronnie Bastin
Division of Police

DATE: August 3, 2011

RE: Interlocal Cooperation Agreement w/Jessamine County

Please find attached documentation and blue sheet requesting approval of the attached Interlocal Cooperation Agreement between the Lexington-Fayette Urban County Government and the Jessamine County Sheriff's Office.

The Interlocal Cooperation Agreement with the Jessamine County Sheriff's Office will allow full police powers to sworn members of the Lexington Division of Police in portions of Jessamine County, where the Urban County Government owns property (i.e. Veteran's Park and West Hickman Treatment Plant). This agreement does not obligate the Lexington Division of Police to respond to incidents occurring in Jessamine County. However, the agreement does afford Division officers full law enforcement authority to perform their duties on Urban County Government property and adjoining areas located in Jessamine County with the exception of enforcement of local ordinance. Also attached is a map, which defines the geographic area where this agreement provides Lexington Division of Police with law enforcement powers.

Upon approval and signing, please forward four (4) original agreements to the Chief's Office for distribution to involved agencies. There will be no budgetary impact.

A handwritten signature in cursive script, appearing to read "R. Bastin".

Ronnie Bastin
Chief of Police

RB/rmh

cc: Clay Mason, Commissioner of Public Safety



LEXINGTON DIVISION OF POLICE

150 East Main Street • Lexington, KY 40507 • (859) 258-3600

301-11
34

TO: Mayor Jim Gray
Urban County Council

FROM: Chief Ronnie Bastin
Division of Police

DATE: August 11, 2011

RE: Facility Lease Agreement – Kentucky Horse Park

Please find attached documentation and blue sheet requesting approval of the attached Facility Lease Agreement with the Kentucky Horse Park.

This agreement allows the Division of Police use of the Kentucky Horse Park facility during the Mounted Police Colloquium scheduled in October 2011. Fees incurred for usage of the facility will be paid by the Friends of the Lexington Mounted Police, Inc. (FLMP).

Upon approval and signing, please forward original agreement to the Chief's Office to acquire additional signature. There will be no budgetary impact.

A handwritten signature in cursive script that reads "R. Bastin".

Ronnie Bastin
Chief of Police

RB/rmh

Attachment

cc: Clay Mason, Commissioner of Public Safety



LEXINGTON DIVISION OF POLICE

150 East Main Street • Lexington, KY 40507 • (859) 258-3600

318-11
35

TO: Mayor Jim Gray
Urban County Council

FROM: Chief Ronnie Bastin
Division of Police

DATE: August 29, 2011

RE: Internal Revenue Service Agreement

Please find attached blue sheet and documentation for an Agreement between the Internal Revenue Service and the Lexington-Fayette Urban County Government. This agreement allows for the reimbursement of certain expenses to the Division of Police for costs incurred as participants in joint operations/tasks forces with a Department of the Treasury law enforcement agency. The attached agreement requires the Mayor's signature and Jane Driskell, Commissioner, Department of Finance and Administration. Due to the time restraint on this agreement, we are requesting this documentation be expedited through the blue sheet process due to the current agreement expiring on September 30, 2011.

Upon approval and signing, please forward original agreement to the Chief's Office to acquire additional signature.

If you have any question or require additional information, please contact my office.

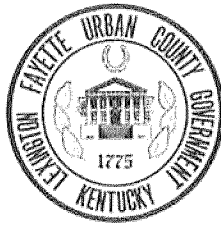
A handwritten signature in cursive script that reads "Ronnie Bastin".

Ronnie Bastin
Chief of Police

RB/rmh

Attachment

cc: Clay Mason, Commissioner of Public Safety



331-11

36

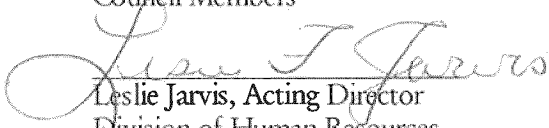
Lexington-Fayette Urban County Government
DIVISION OF HUMAN RESOURCES

Jim Gray
Mayor

Janet Graham
Commissioner

M E M O R A N D U M

TO: Jim Gray, Mayor
Richard Moloney, Chief Administrative Officer
Council Members

FROM: 
Leslie Jarvis, Acting Director
Division of Human Resources

DATE: September 7, 2011

RE: **Approval of Agreement with Marathon Health, Inc.**

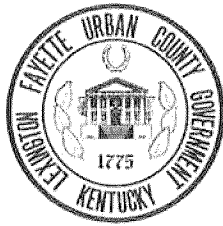
The attached action authorizes the Mayor to execute an Agreement with Marathon Health, Inc. to provide employees certain preventive, wellness, disease management, health consultation and/or primary care services, effective upon passage of Council.

Funds are budgeted.

If you have questions or need additional information, please contact Daniel H. Fischer at 258-3030.

Attachments
DF/

12-0011



332-11
37

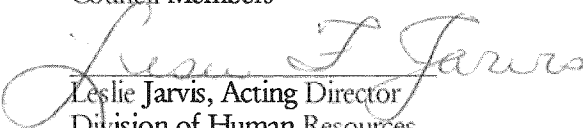
Lexington-Fayette Urban County Government
DIVISION OF HUMAN RESOURCES

Jim Gray
Mayor

Janet Graham
Commissioner

M E M O R A N D U M

TO: Jim Gray, Mayor
Richard Moloney, Chief Administrative Officer
Council Members

FROM: 
Leslie Jarvis, Acting Director
Division of Human Resources

DATE: September 8, 2011

RE: Health Insurance Agreement— Humana Insurance

The attached action authorizes the Mayor to execute a Claims Payment Agreement with Humana Insurance Company for health insurance plans for the period beginning January 1, 2012 through December 31, 2012.

Funds are budgeted.

If you have questions or need additional information, please contact Daniel H. Fischer at 258-3030.

Attachments
DF/

12-0012



302-11
38

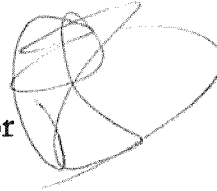
Lexington-Fayette Urban County Government
DEPARTMENT OF PUBLIC SAFETY

Jim Gray
Mayor

Clay Mason
Commissioner

To: Richard Moloney, CAO
Mayor Jim Gray
Councilmembers

From: Raymond J. Sabbatine, Interim Director
Division of Community Corrections



Date: August 15, 2011

Subject: Request for Council Approval of an Agreement with Siemens Healthcare
Diagnostics, Inc.

Request approval of an agreement with Siemens Healthcare Diagnostics, Inc. for the purchase of drug testing reagents. This agreement is for a period of 1 year beginning July 1, 2010 and expiring June 30, 2011, with the option of 3 one year renewals. Due to the lengthy process to approve this contract the initial contract has expired however we are requesting approval of the contract and will be executing the first one year renewal. This is a sole source provider. The Division currently utilizes Siemens drug testing equipment, an Olympus AU400 Analyzer, Sonicator, Water System-Millipore, and Wintox drug testing equipment. The Division's expected fiscal expenditure for FY2012 is \$230,000. The Division provides drug testing services for the Fayette County Court, the Cabinet for Health and Family Services, and Adult Probation among others. These services generate a revenue stream that off-set the cost of the reagents. Funds are budgeted in 4203-505403-5432-75101.

cc: Clay Mason, Commissioner
Department of Public Safety



302-11
39

JUSTIFICATION FOR SOLE SOURCE CERTIFICATION

Sole Source Purchases are defined clearly, based upon a legitimate need, and are limited to a single supplier. Sole source purchases are normally not allowed except when based upon strong technological grounds such as operational compatibility with existing equipment and related parts or upon a clearly unique and/or cost effective feature requirement. The use of sole source purchases must be justified and shall be limited only to those specific instances in which compatibility or technical performance needs are being satisfied.

Sole Source Services are defined as a service provider providing technical expertise of such a unique nature that the service provider is clearly and justifiably the only practicable source available to provide the service. The justification shall be based on the uniqueness of the service, sole availability at the location required, or warranty or defect correction service obligations of the service provider.

This form must be filled out for the request to purchase any good or non-professional service that requires a competitive procurement process (informal quotes (\$1001-\$10,000), formal quotes (\$10,001 - \$19,999.99), or formal bid (\$20,000 or more) as defined in the LFUCG's Purchasing Manual. This form must be completed in its entirety and attached to the purchase requisition.

Note: Sole Source Purchase requests for goods exceeding \$20,000 will require approval by the Urban County Council by submitting an Administrative Review Form. A copy of this form must be signed off by Central Purchasing and attached to the Administrative Review Form.

Requesting Division

Name Gina C. Dulin Division/Dept Community Corrections/Public Safety

Phone 859-425-2711 Email ginaa@lexingtonky.gov

Type of Purchase: (x) Goods/Materials/Equipment () Services

Cost: \$230,000

Sole Source Request for the Purchase of: Drug testing assays and equipment for the drug testing unit of the Community Alternative Program

☐ One Time Purchase

☒ To Establish Sole Source Provider Contract

(subject to annual review and approval by Central Purchasing and/or Urban County Council)

Vendor Information

Business Name Siemens Healthcare Diagnostics

Contact Name Jim Boosalis

Address: P.O. Box 6101 Newark, DE 19714-6101

Phone 800-948-3234 ext. 2357 Email: james.j.boosalis@siemens.com



JUSTIFICATION FOR SOLE SOURCE CERTIFICATION

STATEMENT OF NEED: (Add additional pages as needed)

My division/department's recommendation for sole source is based upon an objective review of the product/service required and appears to be in the best interest of the LFUCG. I know of no conflict of interest on my part, and I have no personal involvement in any way with this request. No gratuities, favors, or compromising actions have taken place. Neither has my personal familiarity with particular brands, types of equipment, materials, persons or firms been a deciding influence on my request to sole source this purchase when there are other known suppliers to exist.

1. Describe the product or service and list the necessary features this product provides that are not available from any other option.

Purchase of drug testing assays and provision of equipment for the drug testing unit of the Community Alternative Program.

2. Below are eligible reasons for sole source. Check one and describe.

☐ Licensed or patented product or service. No other vendor provides this. Warranty or defect correction service obligations to the consultant. Describe why it is mandatory to use this licensed or patented product or service.

☒ Existing LFUCG equipment, inventory, custom-built information system, custom-built data inventory system, or similar products or programs. Describe. If product is off-the-shelf, list efforts to find other vendors (i.e. web site search, contacting the manufacturer to see if other dealers are available to service this region, etc.)

☐ Uniqueness of the service. Describe.

☐ The LFUCG has established a standard for this manufacturer, supplier, or provider and there is only one vendor. Attach documentation from manufacturer to confirm that only one dealer provides the product.

☐ Factory-authorized warranty service available only from this single dealer. Sole availability at the location required. Describe.

☐ Used item with bargain price (describe what a new item would cost). Describe.

☐ Other – The above reasons are the most common and established causes for an eligible sole source. If you have a different reason, please describe:

-



JUSTIFICATION FOR SOLE SOURCE CERTIFICATION

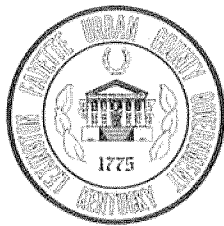
3. Describe efforts to find other vendors or consultants (i.e. phone inquiries, web site search, contacting the manufacturer to see if other dealers are available to service region, etc.).

The CAP program has utilized the equipment and assays provided by this vendor for several years.

4. How was the price offered determined to be fair and reasonable?

(Explain what the basis was for comparison and include cost analyses as applicable.)

5. Describe any cost savings realized or costs avoided by acquiring the goods/services from this supplier.



**314-11
42**

Lexington-Fayette Urban County Government
DIVISION OF FIRE & EMERGENCY SERVICES

Jim Gray
Mayor

Keith L. Jackson
Interim Chief

TO: Mayor Jim Gray
Clay Mason, Public Safety Commissioner
LFUCG Councilmembers

FROM: Paul See, Major
Paul See, Major

RE: Contract with Insight Business

DATE: August 24, 2011

This request seeks approval of a contract between the Urban County Government and Insight Business for network services used by the Division of Fire and Emergency Services to link components of the 800MHz radio system. This network service has been provided to the Urban County Government by Insight Business since 2008 under a contract that was approved by Council Resolution: 200-2008.

The term of the new contract is for a period of three (3) years at a cost not to exceed \$10,800.00 per year. The previous contract has an annual cost of \$11,400 per year.



**311-11
43**

Lexington-Fayette Urban County Government
DEPARTMENT OF PUBLIC SAFETY

Jim Gray
Mayor

Clay Mason
Commissioner

DATE: August 23, 2011
TO: Jim Gray, Mayor
FROM: David S Lucas, Director of Enhanced 9-1-1
RE: 911 Database Services – Service Transfer
VIA: Clay Mason, Commissioner of Public Safety

This request will authorize the mayor to transfer the 911 database management services from Contact One to AK Associates, as a sole source provider. The 911 Datamaster ALI/DBMS solution awarded via RFP to Contact One is unable to continue. Contact One was sold to Intrado who is unable to service 911 Datamaster products.

The total cost for the current fiscal year is \$85,000 and all funds are budgeted within the Division of Enhanced 911. There is no increase in cost from the previous fiscal year.

All funds for the procurement are from 9-1-1 funds. The requested services meet all state specifications and are acceptable expenses as outlined by regulations created by the Commonwealth of Kentucky.

The following documents are attached:

1. Administrative review form. (Blue sheet)
2. Sole Source Justification Certification



311-11
44

JUSTIFICATION FOR SOLE SOURCE CERTIFICATION

Sole Source Purchases are defined clearly, based upon a legitimate need, and are limited to a single supplier. Sole source purchases are normally not allowed except when based upon strong technological grounds such as operational compatibility with existing equipment and related parts or upon a clearly unique and/or cost effective feature requirement. The use of sole source purchases must be justified and shall be limited only to those specific instances in which compatibility or technical performance needs are being satisfied.

Sole Source Services are defined as a service provider providing technical expertise of such a unique nature that the service provider is clearly and justifiably the only practicable source available to provide the service. The justification shall be based on the uniqueness of the service, sole availability at the location required, or warranty or defect correction service obligations of the service provider.

This form must be filled out for the request to purchase any good or non-professional service that requires a competitive procurement process (informal quotes (\$1001-\$10,000), formal quotes (\$10,001 - \$19,999.99), or formal bid (\$20,000 or more) as defined in the LFUCG's Purchasing Manual. This form must be completed in its entirety and attached to the purchase requisition.

Note: Sole Source Purchase requests for goods exceeding \$20,000 will require approval by the Urban County Council by submitting an Administrative Review Form. A copy of this form must be signed off by Central Purchasing and attached to the Administrative Review Form.

Requesting Division

Name David S Lucas Division/Dept Division of E911

Phone 859-258-3380 Email davidl@lexingtonky.gov

Type of Purchase: () Goods/Materials/Equipment (X) Services

Cost: \$85,000

Sole Source Request for the Purchase of: Comprehensive data management services related to 911 Datamaster products

☐ One Time Purchase ☒ To Establish Sole Source Provider Contract
(subject to annual review and approval by Central Purchasing and/or Urban County Council)

Vendor Information

Business Name: AK Associates, Inc

Contact Name Arthur Kraus

Address 7 Independence Ave; Derry NH 03038

Phone 603-432-5755 Email artkraus@akassociates911.com

STATEMENT OF NEED: (Add additional pages as needed)



JUSTIFICATION FOR SOLE SOURCE CERTIFICATION

My division/department's recommendation for sole source is based upon an objective review of the product/service required and appears to be in the best interest of the LFUCG. I know of no conflict of interest on my part, and I have no personal involvement in any way with this request. No gratuities, favors, or compromising actions have taken place. Neither has my personal familiarity with particular brands, types of equipment, materials, persons or firms been a deciding influence on my request to sole source this purchase when there are other known suppliers to exist.

1. Describe the product or service and list the necessary features this product provides that are not available from any other option.

This service provides onsite personnel to provide comprehensive data management servicea for LFUCG and all partners of the CKY 911 Network. These duties include managing LFUCG's 911 database, ENS and 911 mapping software, including daily maintenance, staff training and ongoing software monitoring. Additional duties include in assisting CKY partners in database creation, import, correction, monitoring for county and service provider information.

2. Below are eligible reasons for sole source. Check one and describe.

☐ Licensed or patented product or service. No other vendor provides this. Warranty or defect correction service obligations to the consultant. Describe why it is mandatory to use this licensed or patented product or service.

☐ Existing LFUCG equipment, inventory, custom-built information system, custom-built data inventory system, or similar products or programs. Describe. If product is off-the-shelf, list efforts to find other vendors (i.e. web site search, contacting the manufacturer to see if other dealers are available to service this region, etc.)

☐ Uniqueness of the service. Describe.

☐ The LFUCG has established a standard for this manufacturer, supplier, or provider and there is only one vendor. Attach documentation from manufacturer to confirm that only one dealer provides the product.

☒ Factory-authorized warranty service available only from this single dealer. Sole availability at the location required. Describe.

Within the Commonwealth of Kentucky, data management services for the 911 Datamaster ALI/DBMS solution is only available from AK Associates. AK Assoc is the only authorized provider identified by 911 Datamaster, Inc.

☐ Used item with bargain price (describe what a new item would cost). Describe.

☐ Other – The above reasons are the most common and established causes for an eligible sole source. If you have a different reason, please describe:



JUSTIFICATION FOR SOLE SOURCE CERTIFICATION

- 3. Describe efforts to find other vendors or consultants (i.e. phone inquiries, web site search, contacting the manufacturer to see if other dealers are available to service region, etc.).**

The original contract to provide database management services was awarded to Contact One via the RFP process. Recently, Contact One was sold to Intrado, Inc. Intrado is not authorized to operate or provide these services for 911 Datamaster products.

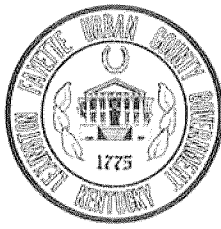
911 Datamaster was contacted and stated that AK Associates are the only provider of this service in Kentucky.

- 4. How was the price offered determined to be fair and reasonable?**
(Explain what the basis was for comparison and include cost analyses as applicable.)

The pricing for these services was originally obtained thru a competitive RFP in 2008. Although the service provider is changing to AK Associates, the cost will remain unchanged from previous fiscal years.

- 5. Describe any cost savings realized or costs avoided by acquiring the goods/services from this supplier.**

The continual use of 911 Datamaster products for ALI/DBMS services, LFUCG can continue to share all cost with the CKY 911 Network partners. The partnership arrangement provides for a cost sharing for data management services. Currently, this arrangement is dependent continuing the current management services.




310-11
47

Lexington-Fayette Urban County Government
DEPARTMENT OF PUBLIC SAFETY

Jim Gray
Mayor

Clay Mason
Commissioner

DATE: August 23, 2011
TO: Jim Gray, Mayor
FROM: David S Lucas, Director of Enhanced 9-1-1 
RE: Emergency Notification System – Service Renewal
VIA: Clay Mason, Commissioner of Public Safety

This request will authorize the mayor to continue the current service agreement with Dialogic Communications Corporation (DCC), dba Cassidian Communications, as a sole source provider. The DCC hosted emergency notification system has been in operation since January 2009 within the Division of Enhanced 9-1-1 call centers and other public safety support offices. The annual service renewal includes maintenance, support, upgrades and professional services from DCC for continual support of existing products previously acquired by LFUCG.

The total cost for the present fiscal year is \$31,329 and all funds are budgeted within the Division of Enhanced 911. There is no increase in cost from the previous fiscal year.

The following documents are attached:

1. Administrative review form. (Blue sheet)
2. Sole Source Justification Certification
2. Hosted service Renewal Quotation



310-11
48

JUSTIFICATION FOR SOLE SOURCE CERTIFICATION

Sole Source Purchases are defined clearly, based upon a legitimate need, and are limited to a single supplier. Sole source purchases are normally not allowed except when based upon strong technological grounds such as operational compatibility with existing equipment and related parts or upon a clearly unique and/or cost effective feature requirement. The use of sole source purchases must be justified and shall be limited only to those specific instances in which compatibility or technical performance needs are being satisfied.

Sole Source Services are defined as a service provider providing technical expertise of such a unique nature that the service provider is clearly and justifiably the only practicable source available to provide the service. The justification shall be based on the uniqueness of the service, sole availability at the location required, or warranty or defect correction service obligations of the service provider.

This form must be filled out for the request to purchase any good or non-professional service that requires a competitive procurement process (informal quotes (\$1001-\$10,000), formal quotes (\$10,001 - \$19,999.99), or formal bid (\$20,000 or more) as defined in the LFUCG's Purchasing Manual. This form must be completed in its entirety and attached to the purchase requisition.

Note: Sole Source Purchase requests for goods exceeding \$20,000 will require approval by the Urban County Council by submitting an Administrative Review Form. A copy of this form must be signed off by Central Purchasing and attached to the Administrative Review Form.

Requesting Division

Name David S Lucas Division/Dept Division of E911

Phone 859-258-3380 Email davidl@lexingtonky.gov

Type of Purchase: () Goods/Materials/Equipment (X) Services

Cost: \$31,329

Sole Source Request for the Purchase of: Emergency Notification System (ENS) annual maintenance, support, upgrades and unlimited call minutes.

☐ One Time Purchase ☒ To Establish Sole Source Provider Contract
(subject to annual review and approval by Central Purchasing and/or Urban County Council)

Vendor Information

Business Name: Dialogic Communications Corp (DCC) dba Cassidian Communications.

Contact Name Donna Aubry

Address 117 Seaboard Ln, Suite D-1100; Franklin, TN 37067

Phone 615-867-0693 Email donna.aubry@dccusa.com

STATEMENT OF NEED: (Add additional pages as needed)



310-11

49

JUSTIFICATION FOR SOLE SOURCE CERTIFICATION

My division/department's recommendation for sole source is based upon an objective review of the product/service required and appears to be in the best interest of the LFUCG. I know of no conflict of interest on my part, and I have no personal involvement in any way with this request. No gratuities, favors, or compromising actions have taken place. Neither has my personal familiarity with particular brands, types of equipment, materials, persons or firms been a deciding influence on my request to sole source this purchase when there are other known suppliers to exist.

1. Describe the product or service and list the necessary features this product provides that are not available from any other option.

2. Below are eligible reasons for sole source. Check one and describe.

☐ Licensed or patented product or service. No other vendor provides this. Warranty or defect correction service obligations to the consultant. Describe why it is mandatory to use this licensed or patented product or service.

☒ Existing LFUCG equipment, inventory, custom-built information system, custom-built data inventory system, or similar products or programs. Describe. If product is off-the-shelf, list efforts to find other vendors (i.e. web site search, contacting the manufacturer to see if other dealers are available to service this region, etc.)

Database has been created over the past three (3) years consisting of internal and self-registering contact points for emergency notification. System is integrated within Police, Fire, E911 and DEM procedures and websites as a hosted solution, housed in DCC facilities. Migration to different system will require extensive duplication of efforts and training and currently would limit available functionality.

☐ Uniqueness of the service. Describe.

☐ The LFUCG has established a standard for this manufacturer, supplier, or provider and there is only one vendor. Attach documentation from manufacturer to confirm that only one dealer provides the product.

☐ Factory-authorized warranty service available only from this single dealer. Sole availability at the location required. Describe.

☐ Used item with bargain price (describe what a new item would cost). Describe.

☐ Other – The above reasons are the most common and established causes for an eligible sole source. If you have a different reason, please describe:

-



JUSTIFICATION FOR SOLE SOURCE CERTIFICATION

- 3. Describe efforts to find other vendors or consultants (i.e. phone inquires, web site search, contacting the manufacturer to see if other dealers are available to service region, etc.).**

The original purchase of this product was related to an RFP for 9-1-1 integrated software solutions to database management, mapping requirements and notification systems. The RFP solicited responses from vendors and DCC (now Cassidian) was awarded the ENS portion due to the uniqueness of their solution to meet LFUCG needs and integrate with existing and new applications.

- 4. How was the price offered determined to be fair and reasonable?**
(Explain what the basis was for comparison and include cost analyses as applicable.)

The DCC pricing model includes all product upgrades, full support, mapping module, self registration portal and unlimited calling minutes. The combination of these features is unavailable in other services and current pricing is below the total cost to obtain these features independently.

- 5. Describe any cost savings realized or costs avoided by acquiring the goods/services from this supplier.**

The unlimited calling minutes provides a flat rate that will avoid unexpected price spikes related to mass callings in an emergency while allowing full testing of the system without fear of reaching predetermined minute maximums. Acquiring the ongoing maintenance, support and mapping components from our existing vendor eliminates migration, training and new service cost that would be required by switching the ENS services to a different supplier.



320-11
51

Mayor Jim Gray

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
Division of Community Development

**TO: JIM GRAY, MAYOR
URBAN COUNTY COUNCIL**

**FROM: IRENE GOODING, ACTING DIRECTOR
DIVISION OF COMMUNITY DEVELOPMENT**

DATE: AUGUST 31, 2011


**SUBJECT: REQUEST COUNCIL AUTHORIZATION TO EXECUTE
CHANGE ORDER NO 2 TO CONSTRUCTION CONTRACT
WITH CLAUNCH CONSTRUCTION LLC FOR THE WEST
HICKMAN TRAIL PROJECT**

On November 19, 2009 (Resolution No. 720-2009), the Urban County Council approved the acceptance of a bid in the amount of \$407,605.70 from Claunch Construction LLC for construction of the West Hickman Trail Project. On September 23, 2010 (Resolution No. 496-2010), Council approved Change Order No. 1 in the amount of \$69,737.70 for increased quantities of materials. The Division of Engineering has negotiated Change Order No. 2 to this contract decreasing the total by \$7,828.32. New total is \$469,515.08.

Funds are budgeted in the following account:

FUND	DEPT ID	SECT	GRANT	ACCT	BUD REF	ACTIVITY
3160	303202	3211	WHICKMAN 2003	90313	2003	FED GRANT

Council authorization to execute Change Order No. 2 to construction contract with Claunch Construction LLC is hereby requested.


Irene Gooding, Acting Director

XC: Cheryl Taylor, Commissioner, Department of Environmental Quality and Public Works

HORSE CAPITAL OF THE WORLD

CONTRACT HISTORY FORM

CO#2-Final

Project Name: West Hickman Trail Project

Contractor: Claunch Construction, LLC

Contract Number and Date: 270 7/30/2010

Responsible LFUCG Division: Engineering

CONTRACT AND CHANGE ORDER DETAILS

A. Original Contract Amount: \$ 407,605.70
Next Lowest Bid Amount:
\$417,013.50

B. Amount of Selected Alternate or Phase: \$ _____

C. Cumulative Amount of All Previous Alternates or Phases: \$ 407,605.70

D. Amended Contract Amount: \$ 407,605.70

E. Cumulative Amount of All Previous Change Orders: \$ 69,737.70 17.1%
(Line E / Line D)

F. Amount of This Change Order: \$ -7,828.32 -1.9%
(Line F / Line D)

G. Total Contract Amount: \$ 469,515.08

SIGNATURES

Project Manager: Keith Bone Date: 8/12/11

Reviewed by: Paul A. Bays Date: 8/12/11

Division Director: M. A. Dargatzis Date: 8/15/11

PROJECT NAME:

West Hickman Trail

LFUCG PARTIAL PAYMENT FORM

DATE of Invoice:

1/27/11

Page 2 of 2

LFUCG PO #

LF00065507

LFUCG CONTRACT #

270

CONTRACTOR:

Claunch Construction

INVOICE NUMBER:

7

FILE:

ENGDATA\CONEST/INVOICE-2009\west hickman trail.xls

ORIGINAL CONTRACT AMOUNT:

\$ 407,605.70

CHANGE ORDER AMOUNT:

\$ 69,737.70

NEW CONTRACT AMOUNT:

\$ 477,343.40

CURRENT CONTRACT AFTER CO# - 1						PREVIOUS INVOICE INFORMATION		CURRENT INVOICE INFORMATION		TOTAL CONTRACT INFORMATION	
ITEM #	DESCRIPTION	BID UNITS	BID QTY.	UNIT PRICE	CURR. CON. AMOUNT	PREVIOUS QUANT.	PREVIOUS AMOUNT	QUANT. THIS INVOICE	AMOUNT THIS INVOICE	QUANT. TOTAL	TOTAL TO DATE
1	Construction Staking	LS	1.00	\$ 5,200.00	\$ 5,200.00	1.00	\$ 5,200.00		-	1.00	\$ 5,200.00
2	Mobilization	LS	1.00	\$ 8,000.00	\$ 8,000.00	1.00	\$ 8,000.00		-	1.00	\$ 8,000.00
3	Clearing and Grubbing	LS	1.00	\$ 5,000.00	\$ 5,000.00	1.00	\$ 5,000.00		-	1.00	\$ 5,000.00
4	Sidewalk Removal	SY	14.00	\$ 25.00	\$ 350.00	14.00	\$ 350.00		-	14.00	\$ 350.00
5	Asphalt Removal	SY	15.00	\$ 25.00	\$ 375.00	15.00	\$ 375.00		-	15.00	\$ 375.00
6	Edge Key	LF	62.00	\$ 10.00	\$ 620.00	0.00	\$ -		-	0.00	\$ -
7	Tree Protection Fence	LF	275.00	\$ 6.25	\$ 1,718.75	275.00	\$ 1,718.75		-	275.00	\$ 1,718.75
8	Cut	CY	3,550.00	\$ 6.00	\$ 21,300.00	3550.00	\$ 21,300.00		-	3,550.00	\$ 21,300.00
9	Fill	CY	5,540.00	\$ 7.00	\$ 38,780.00	5540.00	\$ 38,780.00		-	5,540.00	\$ 38,780.00
10	Site Restoration (based on 2.2 acres disturbed)	LS	1.00	\$ 2,000.00	\$ 2,000.00	1.00	\$ 2,000.00		-	1.00	\$ 2,000.00
11	Silt Fence	LF	1,350.00	\$ 4.00	\$ 5,400.00	1350.00	\$ 5,400.00		-	1,350.00	\$ 5,400.00
12	Soil Reinforcement Mat	SY	8.00	\$ 40.00	\$ 320.00	0.00	\$ -		-	0.00	\$ -
13	Erosion Control Blanket	SY	1,120.00	\$ 4.00	\$ 4,480.00	1120.00	\$ 4,480.00		-	1,120.00	\$ 4,480.00
14	Seeding & Protection (2.2 acres)	LS	1.00	\$ 9,000.00	\$ 9,000.00	1.00	\$ 9,000.00		-	1.00	\$ 9,000.00
15	Construction Entrance (No. 2 Stone & Filter Fabric)	EA	2.00	\$ 3,200.00	\$ 6,400.00	2.00	\$ 6,400.00		-	2.00	\$ 6,400.00
16	Check Dams (No. 2 Stone & Filter Fabric)	TON	28.00	\$ 50.00	\$ 1,400.00	28.00	\$ 1,400.00		-	28.00	\$ 1,400.00
17	Box Base 8" - Trail (Includes 2' shoulders @	TON	1,590.00	\$ 20.00	\$ 31,800.00	1580.89	\$ 31,617.80		-	1,580.89	\$ 31,617.80
18	No. 2 Stone (as needed)	TON	1.00	\$ 35.00	\$ 35.00	1.00	\$ 35.00		-	1.00	\$ 35.00
19	Concrete Trail (4" Thick)	SY	2,377.00	\$ 35.35	\$ 84,026.95	2064.76	\$ 72,989.27		-	2,064.76	\$ 72,989.27
20	ITEM LEFT INTENTIONALLY BLANK										
21	Concrete Sidewalk 6" Thick	SY	46.00	\$ 40.00	\$ 1,840.00	0.00	\$ -		-	0.00	\$ -
22	Concrete Apron (remove exist. & install conc.	LS	1.00	\$ 4,200.00	\$ 4,200.00	0.00	\$ -		-	0.00	\$ -
23	Tactile Pavers	SF	44.00	\$ 20.00	\$ 880.00	0.00	\$ -		-	0.00	\$ -
24	Bridge - 100' x 12'	LS	1.00	\$ 68,000.00	\$ 68,000.00	1.00	\$ 68,000.00		-	1.00	\$ 68,000.00
25	Bridge Installation	EA	1.00	\$ 12,000.00	\$ 12,000.00	1.00	\$ 12,000.00		-	1.00	\$ 12,000.00
26	Structural Concrete Bridge Decking	CY	26.00	\$ 245.00	\$ 6,370.00	26.00	\$ 6,370.00		-	26.00	\$ 6,370.00
27	Formed Structural Concrete Bridge Abutments &	CY	90.00	\$ 470.00	\$ 42,300.00	90.00	\$ 42,300.00		-	90.00	\$ 42,300.00
28	Formed Structural Concrete Wingwalls	CY	76.00	\$ 590.00	\$ 44,840.00	76.00	\$ 44,840.00		-	76.00	\$ 44,840.00
29	Wingwall Guardrail	LF	118.00	\$ 35.00	\$ 4,130.00	110.00	\$ 3,850.00		-	110.00	\$ 3,850.00
30	Trail Marker Monument	EA	1.00	\$ 12,000.00	\$ 12,000.00	0.00	\$ -		-	0.00	\$ -
31	Public Improvement Sign	EA	1.00	\$ 2,500.00	\$ 2,500.00	1.00	\$ 2,500.00		-	1.00	\$ 2,500.00
32	15" Concrete Pipe	LF	50.00	\$ 32.00	\$ 1,600.00	50.00	\$ 1,600.00		-	50.00	\$ 1,600.00
33	Headwalls	EA	2.00	\$ 1,200.00	\$ 2,400.00	0.00	\$ -		-	0.00	\$ -
34	Four Board Plank Fence	LF	650.00	\$ 31.00	\$ 20,150.00	0.00	\$ -	643.00	\$ 19,933.00	643.00	\$ 19,933.00
35	Tree Removal (6" and Greater)	EA	15.00	\$ 750.00	\$ 11,250.00	15.00	\$ 11,250.00		-	15.00	\$ 11,250.00
36	#57 Stone	TON	561.32	\$ 24.00	\$ 13,471.68	561.32	\$ 13,471.68		-	561.32	\$ 13,471.68
37	Channel Lining Class II	TON	46.81	\$ 42.00	\$ 1,966.02	0.00	\$ -	46.81	\$ 1,966.02	46.81	\$ 1,966.02
TOTALS					\$ 477,343.40	\$ 420,227.50	\$ -	\$ 21,899.02	\$ 442,126.52		

54

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

CONTRACT CHANGE ORDER

Date: JULY 21, 2011

Project: West Hickman Trail

Location: Lexington

To (Contractor): Ciaunch Construction, LLC 624 North College Street Harrodsburg, KY 40330

Contract No: 270

Change Order No: 2-Final

You are hereby requested to comply with the following changes from the contract plans and specifications;

Item No.	ADD / DEL	Description of changes	Units	Quantity	Unit Price	Decrease in contract price	Increase in contract price
9	ADD	Fill	CY	72.14	\$ 7.00		\$ 504.98
12	Del	Turf Reinforcement Mat	SY	8	\$ 40.00	\$ 320.00	\$ -
13	ADD	Erosion Control Blanket	SY	2553	\$ 4.00		\$ 10,212.00
18	ADD	No. 2 Stone	Ton	64.48	\$ 35.00	\$ -	\$ 2,256.80
19	Del	Concrete Trail (4" Thick)	SY	312.24	\$ 35.35	\$ 11,037.68	\$ -
21	Del	concrete Sidewalk 6"	SY	46	\$ 40.00	\$ 1,840.00	\$ -
22	Del	Concrete Apron	LS	1	\$ 4,200.00	\$ 4,200.00	\$ -
23	Del	Tactile Pavers	SF	44	\$ 20.00	\$ 880.00	\$ -
29	Del	Wingwall Guardrail	LF	8	\$ 35.00	\$ 280.00	\$ -
30	Del	Trail Marker Monument	EA	0.4435	\$12,000.00	\$ 5,322.00	\$ -
34	Del	Four Board Plank Fence	LF	34	\$ 31.00	\$ 1,054.00	\$ -
37	ADD	Channel Lining Class II	TON	79.74	\$ 42.00	\$ -	\$ 3,349.08
38	ADD	Sidewalk 4.5"	SY	13	\$ 39.00	\$ -	\$ 507.00
39	ADD	Curb and Gutter	LF	29	\$ 9.50	\$ -	\$ 275.50
						\$ -	\$ -
Total decrease						\$ 24,933.68	
Total Increase							\$ 17,105.36
Net Increase in contract price							\$ (7,828.32)

55

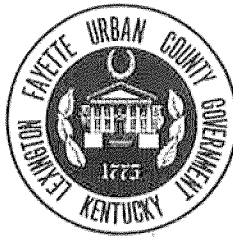
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
CONTRACT CHANGE ORDER

To (Contractor): Ciaunch Construction, LLC 624 North College Street Harrodsburg, KY 40330

Date: JULY 21, 2011
Project: West Hickman Trail
Location: Lexington
Contract No: 270
Change Order No: 2-Final

You are hereby requested to comply with the following changes from the contract plans and specifications;

Item No.	ADD / DEL	Description of changes	Units	Quantity	Unit Price	Decrease in contract price	Increase in contract price
Current Contract Amount = \$477,343.40			New Contract Amount = \$469,515.08				
Recommended by _____			(Proj. Engr.)				
Accepted by _____			(Contractor)				
Approved by _____			(Urban Co. Engr.)				
Approved by _____			(Commissioner)				
Approved by _____			(Mayor or CAO)				



319-11
56

Mayor Jim Gray

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
Division of Community Development

TO: JIM GRAY, MAYOR
URBAN COUNTY COUNCIL

FROM: IRENE GOODING, ACTING DIRECTOR
DIVISION OF GRANTS AND SPECIAL PROGRAMS

DATE: AUGUST 31, 2011

SUBJECT: REQUEST COUNCIL AUTHORIZATION TO EXECUTE AMENDMENT
NO. 3 TO AN AGREEMENT WITH CDP ENGINEERS, INC., TO
PERFORM ADDITIONAL ENGINEERING SERVICES IN THE
DEVELOPMENT OF THE WEST HICKMAN TRAIL PROJECT

On January 23, 2003 (Resolution No. 33-2003), the Urban County Council approved the execution of an agreement with CDP Engineers, Inc., in the amount of \$89,550 for the performance of engineering services for the West Hickman Trail Project. On November 2, 2006 (Resolution No. 653-2006), Council approved the execution of Amendment No. 1 in the amount of \$35,750. On December 4, 2009 (Resolution No. 707-2008), Council approved the execution of Amendment No. 2 in the amount of \$12,950.

The Division of Engineering has determined that additional funds are needed for construction contract administration and for preparation and submission of a Letter of Map Revision (LOMR). CDP Engineers have agreed to perform these additional services at an amount not to exceed \$17,680. The new total is \$155,930.

Funds are being budgeted in the following account:

FUND	DEPT ID	SECT	PROJECT	ACCOUNT	BUD REF	ACTIVITY
3160	303202	3211	WHICKMAN_2003	90313	2003	FED_GRANT

The project is a 1.5 mile multi-purpose trail from the intersection of Man-o-War boulevard and Clearwater Way terminating at Veterans Park. The source of federal funding is the Surface Transportation Lexington (SLX) program.

Council authorization to execute Amendment No. 3 to the agreement is hereby requested.


Irene Gooding, Acting Director

XC: Cheryl Taylor, Commissioner, Department of Environmental Quality and Public Works

HORSE CAPITAL OF THE WORLD

CONTRACT HISTORY FORM

CM #3

Project Name: West Hickman Trail Project

Contractor: CDP Engineers, Inc.

Contract Number and Date: 4940 1/23/2003

Responsible LFUCG Division: Engineering

CONTRACT AND CHANGE ORDER DETAILS

A. Original Contract Amount: \$ 89,550.00
Next Lowest Bid Amount: N/A QBS

B. Amount of Selected Alternate or Phase: \$ _____

C. Cumulative Amount of All Previous Alternates or Phases: \$ 89,550.00

D. Amended Contract Amount: \$ 89,550.00

E. Cumulative Amount of All Previous Change Orders: \$ 48,700.00 54.4%
(Line E / Line D)

F. Amount of This Change Order: \$ 17,680.00 19.7%
(Line F / Line D)

G. Total Contract Amount: \$ 155,930.00

SIGNATURES

Project Manager:

Keith LoraDate: 8/12/11

Reviewed by:

Robert J. BaytDate: 8/18/11

Division Director:

MA KingDate: 8/18/11

CONTRACT MODIFICATION

Project: West Hickman Trail

To (Contractor): CDP Engineers, Inc.

Contract Modification No. 3

You are hereby requested to comply with the following changes from the contract plans and specifications:

[illegible]

1000

Page 10 of 10

\$155,930.00

Date _____

Date _____

Date _____

Date _____

Date _____



304-11

59

Mayor Jim Gray

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
Division of Community Development

TO: JIM GRAY, MAYOR
URBAN COUNTY COUNCIL

FROM: IRENE GOODING, ACTING DIRECTOR
DIVISION OF COMMUNITY DEVELOPMENT

DATE: AUGUST 19, 2011

SUBJECT: REQUEST COUNCIL AUTHORIZATION TO EXECUTE CHANGE ORDER
NO. 2 WITH CLAUNCH CONSTRUCTION, LLC. IN THE AMOUNT OF
\$8,379.10 FOR MEADOWS/NORTHLAND/ARLINGTON PUBLIC
IMPROVEMENTS DESIGN PHASE 2E

The Division of Engineering has recommended the execution of change order No. 2 for \$8,379.10 with Claunch Construction, LLC. for construction of Meadows/Northland/Arlington Public Improvements Project, Subphase 2E. The new contract total is \$1,031,138.10.

The change order adjusts quantities to those required to complete the project. The Division of Community Development concurs with the Division of Engineering's request.

Sufficient funds are budgeted in the following accounts:

FUND	DEPT ID	SECTION	ACCOUNT	PROJECT	BUD REF	ACTIVITY	AMOUNT
3120	303202	3211	91713	CDBG 2011	2011	C03	10,499.10
4002	303202	3223	91715				(2,120.00)

Council authorization to execute Change Order No. 2 to agreement with Claunch Construction, LLC. in the amount of \$8,379.10 is hereby requested.


Irene Gooding, Acting Director

Attachment

Cc: Cheryl Taylor, Commissioner of the Departments of Environmental Quality & Public Works
Robert Bayert, Division of Engineering
William Bowie, Division of Engineering

HORSE CAPITAL OF THE WORLD

200 East Main Street 6th Fl Lexington, KY 40507 PH (859)258-3070 FAX (859)258-3081 www.lexingtonky.gov

F:\WP51\HCD\GRANTS\FEDERAL\US DEPT OF HUD\CDBG\FY12\12-mna-000.doc

CONTRACT HISTORY FORMProject Name: Meadows-Northland-Arlington Neighborhood Improvement Proj--Phase 2EContractor: Claunch Construction, LLCContract Number and Date: 55-2010 March 25, 2010Responsible LFUCG Division: Engineering**CONTRACT AND CHANGE ORDER DETAILS**

A. Original Contract Amount: \$ 977,250.85
Next Lowest Bid Amount:
\$1,101,406.25

B. Amount of Selected Alternate or Phase: \$ 0.00

C. Cumulative Amount of All Previous Alternates or Phases: \$ 0.00

D. Amended Contract Amount: \$ 977,250.85

E. Cumulative Amount of All Previous Change Orders: \$ 45,508.15 4.7%
(Line E / Line D)

F. Amount of This Change Order: \$ 8,379.10 0.9%
(Line F / Line D)

G. Total Contract Amount: \$ 1,031,138.10

SIGNATURES

Project Manager:

William A. Bowie, Jr.
William A. Bowie, Jr., P.E., P.L.S.Date: 17 AUG 2011

Reviewed by:

Robert A. Bayert
Robert A. Bayert, P.E., P.L.S.Date: 8/18/11

Division Director:

Marwan A. Rayan
Marwan A. Rayan, P.E.Date: 8/18/11

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
CONTRACT CHANGE ORDER**

Date: August 15, 2011

Project: Meadows-Northland-Arlington Phase 2E

Location:

Contract No: 55-2010 Change Order No: # 2 Final

To (Contractor): Claunch Construction LLC, 624 North College Street, Harrodsburg, Kentucky 40330

You are hereby requested to comply with the following changes from the contract plans and specifications;

Item No.	ADD / DEL	Description of changes	Units	Quantity	Unit Price	Decrease in contract price	Increase in contract price
4	add	Class 2 Asphalt Surface	TN	164.90	\$ 86.00	\$ -	\$ 14,181.40
14	del	Remove and Replace Fence	LF	150.20	\$ 29.00	\$ 4,355.80	\$ -
15	del	Remove and Reset Fence	LF	43.00	\$ 35.50	\$ 1,526.50	\$ -
16	add	Sod	SY	550.00	\$ 4.00	\$ -	\$ 2,200.00
45	del	Sod	SY	530.00	\$ 4.00	\$ 2,120.00	\$ -

Total decrease

Total increase

Net decrease in contract price

Current Contract Amount = \$1,022,759.00

New Contract Amount = \$1,031,138.10

Recommended by [Signature] (Proj. Engr.)

Accepted by [Signature] (Contractor)

Approved by [Signature] (Urban Co. Engr.)

Approved by [Signature] (Commissioner)

Approved by [Signature] (Mayor or CAO)

Date 16 AUG 2011

Date 8-16-11

Date 8/18/11

Date 8-22-11

Date

304-11
61

Balancing Funds for project closeout

Meadows Northland Arlington Phase 2E

17-Aug-11

	CDBG	San Swr	Water Quality	Check Sum
Original Contract Amount	793,619.25	183,631.60		977,250.85
Change Order No. 1	(a) 33,804.21	(11,879.56)	23,583.50	
	827,423.46	171,752.04	23,583.50	1,022,759.00
Change Order No. 2	(b) 10,499.10	(2,120.00)		
	837,922.56	169,632.04	23,583.50	1,031,138.10

Adjustments from original to final = (a) plus (b):

CDBG: 44,303.31 increase
San. Swr. (13,999.56) decrease

RAB



298-11


63

Lexington-Fayette Urban County Government
DEPARTMENT OF ENVIRONMENTAL QUALITY

Jim Gray
Mayor

Cheryl Taylor
Commissioner

To: Mayor Jim Gray
Urban County Council

From: 
Charles H. Martin, P.E., Director
Division of Water Quality

Date: August 9, 2011

Subject: Change Order # 2 (FINAL) for the South Elkhorn Pump Station Improvements

The Division of Water Quality requests approval of Change Order #2 with Hall Contracting Corporation for the South Elkhorn Pump Station Improvements. The original amount of this project was \$3,842,000.00. Change Order #1 is for \$27,987.00. Change Order #2 is for \$82,037.33 and adding 67 days to the contract giving a revised contract completion date of September 14, 2010. The cumulative sum of Change orders #1 and #2 gives a revised total contract amount of \$3,952,024.33. The sum of this Change Orders amounts to 3% of the original contract amount.

Description of Change Order

1. Furnish and install 1 additional plug valve and change valve.
Add: \$12,732.00
2. Enlarge transformer pad.
Add \$3,306.00
3. Add a concrete base to the metering manhole.
Add \$4,460.00
4. Change out the 12" by 8" reducers to meet field conditions.
Add \$3,214.00
5. Additional concrete curbs.
Add \$3,201.00
6. Reroute existing bathroom drain to influent channel.
Add \$2,245.00
7. Core holes in slab for the pump cables.
Add: \$1,605.00
8. Pump out existing wet well.
Add: \$11,986.00
9. Furnish and install new piping on the main pressure gages.
Add: \$1,388.00
10. Place grout in wet wells to create slope to pumps.
Add: \$14,054.00
11. Relocate eyewash in basement.
Add: \$946.00

12. Up charge for using sound attenuating pumps during bypass pumping.
Add: \$5,267.00
13. Enlarge the spill containment area.
Add: \$2,575.00
14. Reroute existing sump pump piping.
Add: \$1,000.00
15. Additional control/monitor wiring requested by owner.
Add: \$913.00
16. Furnish and install 24" MJ plug where old line was removed.
Add: \$1,593.00
17. Furnish and install security enclosures around pump and mixer cables.
Add: \$6,710.00
18. Repair opening in existing slab.
Add: \$930.00
19. Additional odor control wiring.
Add: \$1,061.00
20. Switch to stainless steel hardware on some electrical items.
Add: \$1,819.00
21. Delete dedication plaque.
Deduct \$2,910.00
22. Delete paint from tank pads.
Deduct \$2,100.00
23. Delete 1 access hatch (labor only).
Deduct \$230.00
24. Add 67 days to the contract giving a revised contract completion date of 09/14/2010.
25. Replace specified gauges to accommodate occasional high backflow pressures.
Add \$6,272.33

Total Cost of Change Order #2: \$ 82,037.33



298-11

65

Lexington-Fayette Urban County Government
DEPARTMENT OF ENVIRONMENTAL QUALITY

Jim Gray
Mayor

Cheryl Taylor
Commissioner

Change Order

Change Order No.2 (FINAL)
South Elkhorn Pump Station Improvements
Lexington Fayette-Urban County Government
Division of Water Quality

Owner: Lexington Fayette-Urban County Government
200 East Main Street
Lexington KY, 40507

Contractor: Hall Contracting Corporation
3800 Crittenden Drive
Louisville, KY 40209

SUMMARY OF INFORMATION

Date of Change Order:	August 9, 2011
Date of Contract:	06/11/2009
Date of Notice to Proceed:	07/01/2009
Date of Contract Completion:	09/14/2010
Change in Contract Time (Calendar Days):	67
Original Contract Amount:	\$3,842,000.00
Previous Change Order Total:	\$27,987.00
Current Contract Amount:	\$3,869,987.00
Change Order # 2 Amount:	\$82,037.33
New Contract Amount Including Change Orders:	\$3,952,024.33
Percent of Change from Original Contract Amount:	3%



**298-11
66**

Lexington-Fayette Urban County Government
DEPARTMENT OF ENVIRONMENTAL QUALITY

Jim Gray
Mayor

Cheryl Taylor
Commissioner

CONTRACT HISTORY FORM

Contractor: HALL CONTRACTING CORPORATION

Project Name: SOUTH ELKHORN PUMP STATION IMPROVEMENTS

Contract Number and Date: # 392 - 2009

Responsible LFUCG Division: Division of Water Quality

CHANGE ORDER DETAILS

<u>Summary of previous Change Orders to Date</u>	<u>Dollar Amount</u>
A. Original Contract Amount:	<u>\$3,842,000.00</u>
B. Cumulative Amount of Previous Change Orders:	<u>\$27,987.00</u>
C. Total Contract Amount Prior to this Change Order:	<u>\$3,869,987.00</u>
<u>Current Change Order</u>	
D. Amount of this Change Order:	<u>\$82,037.33</u>
E. New Contract Amount Including this Change Order:	<u>\$3,952,024.33</u>

SIGNATURE LINES

Project Manager: [Signature]

Reviewed By: [Signature]

Division Director: [Signature]



305-11
67

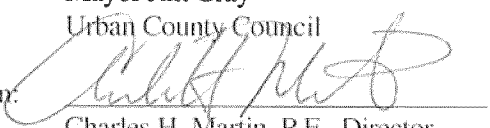
Lexington-Fayette Urban County Government
DEPARTMENT OF PUBLIC WORKS AND ENVIRONMENTAL QUALITY

Jim Gray
Mayor

Cheryl Taylor
Commissioner

Memorandum

To: Mayor Jim Gray
Urban County Council

From: 
Charles H. Martin, P.E., Director
Division of Water Quality

Date: August 15, 2011

Re: Change Order (No. 1) for the Dixie Pump Station Upgrade and Force Main Improvements project
Resolution #742-2009

The purpose of this memorandum is to request a resolution authorizing the acceptance of a change order to the LFUCG contract with Kenvirons, Inc., for the Dixie Pump Station Upgrade and Force Main Project (Resolution #742-2009). As of June 2011, the pump selection for the new Dixie Pump Station has been modified. Redesign costs not to exceed \$11,021.39 (original contract amount: \$30,730). Funds are budgeted I n 4003-303408-3468-92811 Project: DIXIEPS_2009 and Activity: CONSENT_DE.

Questions regarding this agreement should be directed to LaJoyce Mullins-Williams at 425-2580.

pc: Cheryl Taylor, Commissioner, Department of Environmental Quality
Rodney Chervus, P.E., Collection and Conveyance Manager, Division of Water Quality
Brian Marcum, Director, Central Purchasing
LaJoyce Mullins-Williams, Project Engineering Coordinator, Division of Water Quality

CONTRACT HISTORY FORM

Contractor: Kenvirons, Inc.

Project Name: Dixie Pump Station Upgrade and Force Main Improvements

Contract Number and Date: Resolution #742-2009 November 19, 2009

Responsible LFUCG Division: Division of Water Quality

CHANGE ORDER DETAILS

<u>Summary of Previous Change Orders To Date</u>	<u>Dollar Amount</u>	<u>Percent Change to Original Contract</u>
A. Original Contract Amount:	\$ <u>30,730.00</u>	
B. Cumulative Amount of Previous Change Orders:	\$ <u>0.00</u>	<u>0%</u> % (Line B / Line A)
C. Total Contract Amount Prior to this Change Order:	\$ <u>30,730.00</u>	
<u>Current Change Order</u>		
D. Amount of This Change Order:	\$ <u>11,021.39</u>	<u>36%</u> % (Line D / Line A)
E. New Contract Amount Including this Change Order:	\$ <u>41,751.39</u>	<u>136%</u> % (Line E / Line A)

SIGNATURE LINES

Project Manager: [Signature]

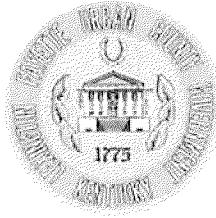
Date: 8-15-11

Reviewed by: [Signature]

Date: 8-15-11

Division Director: [Signature]

Date: 8-16-11




**307-11
69**

Lexington-Fayette Urban County Government
DEPARTMENT OF ENVIRONMENTAL QUALITY

Jim Gray
Mayor

Cheryl Taylor
Commissioner

To: Mayor Jim Gray
Urban County Council

From: 
Charles H. Martin, P.E., Director
Division of Water Quality

Date: August 08, 2011

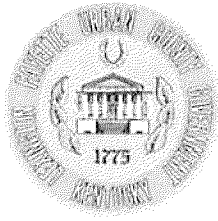
Subject: Change Order # 1 (Final) for the Trinity Rd-Wellington Way storm water project

The Division of Water Quality requests approval of Change Order #1 with Gooch Construction for the Trinity Rd.-Wellington Way storm water project. The original amount of this project was \$82,970.00. Change Order #1 is for \$3,620.00. Change Order #1 gives a revised total contract amount of \$86,590.00. The sum of this Change Order amounts to 4% of the original contract amount.

Description of Change Order

1. Delete item 12, plug 15" pipe.
Deduct \$200.00
2. Delete item 14, Demolition VCP Sanitary Sewer Pipe.
Deduct \$2,000.00
3. Delete item 23, Turf Reinforcement Matting.
Deduct \$250.00
4. Delete item 24, 18" PVC Pipe.
Deduct \$2,000.00
5. Delete item 25, 30" Steel Encasement Pipe with Spacers.
Deduct \$3,600.00
6. Delete item 26, Fernco End Seals
Deduct \$200.00
7. Delete item 27, Couplings
Deduct \$200.00
8. Raise catch basin to grade.
Add \$1,500.00
9. Safe load existing CMP pipe.
Add \$9,550.00
10. Additional sod 340 SY.
Add \$1,020.00

Total Cost of Change Order #1: \$ 3,620.00



**307-11
70**

Lexington-Fayette Urban County Government
DEPARTMENT OF ENVIRONMENTAL QUALITY

Jim Gray
Mayor

Cheryl Taylor
Commissioner

CONTRACT HISTORY FORM

Contractor: Gooch Construction INC.

Project Name: Trinity Rd-Wellington Way storm water project

Contract Number and Date: # 67-2011

Responsible LFUCG Division: Division of Water Quality

CHANGE ORDER DETAILS

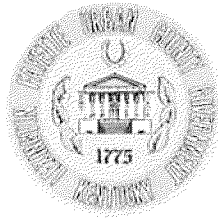
<u>Summary of previous Change Orders to Date</u>	<u>Dollar Amount</u>
A. Original Contract Amount:	<u>\$82,970.00</u>
B. Cumulative Amount of Previous Change Orders:	<u>\$0</u>
C. Total Contract Amount Prior to this Change Order:	<u>\$82,970.00</u>
<u>Current Change Order</u>	
D. Amount of this Change Order:	<u>\$3,620.00</u>
E. New Contract Amount Including this Change Order:	<u>\$86,590.00</u>

SIGNATURE LINES

Project Manager: [Signature]

Reviewed By: [Signature]

Division Director: [Signature]



307-11
71

Lexington-Fayette Urban County Government
DEPARTMENT OF ENVIRONMENTAL QUALITY

Jim Gray
Mayor

Cheryl Taylor
Commissioner

Change Order

Change Order No.1 (Final)
Trinity Rd-Wellington Way storm water project
Lexington Fayette-Urban County Government
Division of Water Quality

Owner: Lexington Fayette-Urban County Government
200 East Main Street
Lexington KY, 40507

Contractor: Gooch Construction INC.
PO Box 910524
Nicholasville, KY 40591

SUMMARY OF INFORMATION

Date of Change Order:	08/08/2011
Date of Contract:	03/16/2011
Date of Notice to Proceed:	03/16/2011
Date of Contract Completion:	07/23/2011
Change in Contract Time (Calendar Days):	0
Original Contract Amount:	\$82,970.00
Previous Change Order Total:	\$0
Current Contract Amount:	\$82,970.00
Change Order # 1 Amount:	\$3,620.00
New Contract Amount Including Change Orders:	\$86,590.00
Percent of Change from Original Contract Amount:	4%



297-11


72

Lexington-Fayette Urban County Government
DEPARTMENT OF ENVIRONMENTAL QUALITY

Jim Gray
Mayor

Cheryl Taylor
Commissioner

To: Mayor Jim Gray
Urban County Council

From: 
Charles H. Martin, P.E., Director
Division of Water Quality

Date: June 27, 2011

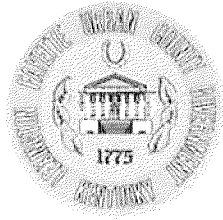
Subject: Change Order # 1 (Final) for the Della Dr. Storm Water Pipe Relocation Project

The Division of Water Quality requests approval of Change Order # 1 with Gooch Construction Co. for the Della Dr. Storm Water Pipe Relocation Project. The original amount of this project was \$94,422.00. Change Order #1 is for \$12,678.28. Change Order #1 gives a revised total contract amount of \$107,100.28. The sum of this Change Order amounts to 12% of the original contract amount.

Description of Change Order

1. Bituminous Surface additional 13.26 tons.
Add \$2,271.20
2. Relocate Additional curb and gutter.
Add \$40.00
3. 6' wooden privacy fence 46' long.
Add \$2,070.00
4. Additional sod works 1050 SY.
Add \$4,200.00
5. Disposal of old wooden fence.
Add \$500.00
6. Changing 4' manhole to 6' manhole.
Add 2,000.00
7. Hoe ram extra rock 2.3 YDS.
Add \$345.00
8. Wooden gates 2 EA.
Add \$600.00
9. Chain Link gates 2 EA.
Add \$300.00
10. Extra Bond Charges.
Add \$352.08

Total Cost of Change Order #1: \$ 12,678.28



297-11

73

Lexington-Fayette Urban County Government
DEPARTMENT OF ENVIRONMENTAL QUALITY

Jim Gray
Mayor

Cheryl Taylor
Commissioner

Change Order

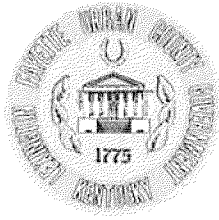
Change Order No.1 (Final)
Della Dr. Storm Water Pipe Rerouting Project
Lexington Fayette-Urban County Government
Division of Water Quality

Owner: Lexington Fayette-Urban County Government
200 East Main Street
Lexington KY, 40507

Contractor: Gooch Construction Co.
206 Edgewood Drive
Nicholasville KY, 40356

SUMMARY OF INFORMATION

Date of Change Order:	June 27, 2011
Date of Contract:	09/16/2010
Date of Notice to Proceed:	10/08/2010
Date of Contract Completion:	12/21/2010
Change in Contract Time (Calendar Days):	0
Original Contract Amount:	\$94,422.00
Previous Change Order Total:	\$0
Current Contract Amount:	\$94,422.00
Change Order # 1 Amount:	\$12,678.28
New Contract Amount Including Change Orders:	\$107,100.28
Percent of Change from Original Contract Amount:	12%



297-11
74

Lexington-Fayette Urban County Government
DEPARTMENT OF ENVIRONMENTAL QUALITY

Jim Gray
Mayor

Cheryl Taylor
Commissioner

CONTRACT HISTORY FORM

Contractor: Gooch Construction CO.

Project Name: Della Dr Storm Water Pipe Rerouting Project

Contract Number and Date: # 472-2010

Responsible LFUCG Division: Division of Water Quality

CHANGE ORDER DETAILS

Summary of previous Change Orders to Date **Dollar Amount**

A. Original Contract Amount: \$94,422.00

B. Cumulative Amount of Previous Change Orders: \$0

C. Total Contract Amount Prior to this Change Order: \$94,422.00

Current Change Order

D. Amount of this Change Order: \$12,678.28

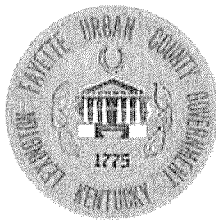
E. New Contract Amount Including this Change Order: \$107,100.28

SIGNATURE LINES

Project Manager: [Signature]

Reviewed By: [Signature]

Division Director: [Signature] 8-10-11



324-11

75

Lexington-Fayette Urban County Government
DEPARTMENT OF PUBLIC WORKS & DEVELOPMENT

Jim Gray
Mayor

Cheryl A. Taylor
Commissioner

MEMORANDUM

TO: Mayor Jim Gray
Urban County Council

FROM: Robert A. Bayert, P.E., P.L.S. *RAB*
Engineering Section Manager

William A. Bowie, Jr., P.E., P.L.S. *WAB*
Municipal Engineer Senior

DATE: September 6, 2011

RE: Recommendation of Award for Construction of
Warfield Place Entrance Wall (LFUCG Project No. 608)
Bid No. 63-2011

On June 9, 2011, bids were opened for the above listed project, and the low bidder was Hayden Construction with a bid of \$48,859.00. However, due to the inability of Hayden Construction to provide the required bonding, we are recommending that the project be awarded to the next lowest bidder - Burdette Construction - with a bid of \$59,730.00. We are familiar with this contractor and therefore recommend it as the lowest qualified bidder and able to complete the project.

A copy of the bid tabulation is attached. If you have any questions, please contact Bill Bowie at 258-3410.

attachment

RAB:WAB:MYS

C: Chris Ford; Marwan Rayan, P.E.; Todd Slatin; Philip Overstreet; Kevin Wente; Yvonne Stone; Jeffrey Neal, P.E.;
Daniel Kiser, P.E.; Amos Burdette (2969 Waco Rd., Lexington, KY 40503)

2011.P608.403.loud0906

WARFIELD PLACE ENTRANCE WALL BID SCHEDULE
06/09/2011

76

[illegible]

WARFIELD PLACE ENTRANCE WALL4. BID SCHEDULE

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following lump sum and/or unit prices which shall include the furnishing of all labor, materials, supplies, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved. The Bidder must make the extensions and additions showing the total amount of bid.

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written In Words	Unit Price	Total Amount Bid
1.	100	EA	Type S Mortar (80lb. bag)	\$ <u>15.⁹⁶</u>	\$ <u>1596.⁰⁰</u>
2.	10	Ton	Sand	\$ <u>45.²⁰</u>	\$ <u>452.⁰⁰</u>
3.	7	EA	Rebar - #5 (20' length)	\$ <u>36.⁰⁰</u>	\$ <u>252.⁰⁰</u>
4.	14	CY	Concrete	\$ <u>260.⁰⁰</u>	\$ <u>3640.⁰⁰</u>
5.	1	EA	Excavation Machinery (Two Days)	\$ <u>820.⁰⁰</u>	\$ <u>820.⁰⁰</u> \$ 7640.⁰⁰
6.	1	LS	Transportation of Existing Stone (Existing Stone Provided by Owner)	\$ <u>4500.⁰⁰</u>	\$ <u>4500.⁰⁰</u>
7.	280	SY	Sod	\$ <u>6.⁰⁰</u>	\$ <u>1680.⁰⁰</u>
8.	20	Ton	#57 Stone	\$ <u>30</u>	\$ <u>600.⁰⁰</u>
9.	1	EA	Slip Form	\$ <u>- 0 -</u>	\$ <u>- 0 -</u>
10.	1	LS	Excavation	\$ <u>800.⁰⁰</u>	\$ <u>800.⁰⁰</u>

Item No.	Quantity	Unit To Bid On	Description w/Unit Bid Price Written In Words	Unit Price	Total Amount Bid
11.	792	SF	Wall Reconstruction	\$51. ²⁵	\$40,590. ⁰⁰
12.	8	Ton	Additional Replacement Wall Stone	\$600. ⁰⁰	\$4,800. ⁰⁰

Total Amount of Bid (Grand Total) = \$ ~~60,590.⁰⁰~~ 59,730.⁰⁰

Total Amount of Bid in Words:

SIXTY-THOUSAND FIVE HUNDRED & FIFTY Dollars

and 0 Cents (\$ ~~60,590.⁰⁰~~ 59,730.⁰⁰)

(Note: If there is a discrepancy between the spelled and numeric total, the spelled bid shall apply.)

Respectfully Submitted,

BY: Burdette Construction Inc.
(NAME OF FIRM)

DATE: 6-8-2011

BY: Ernest W. Burdette Jr.

TITLE: Owner

OFFICIAL ADDRESS AND PHONE:

2969 Waco Rd.

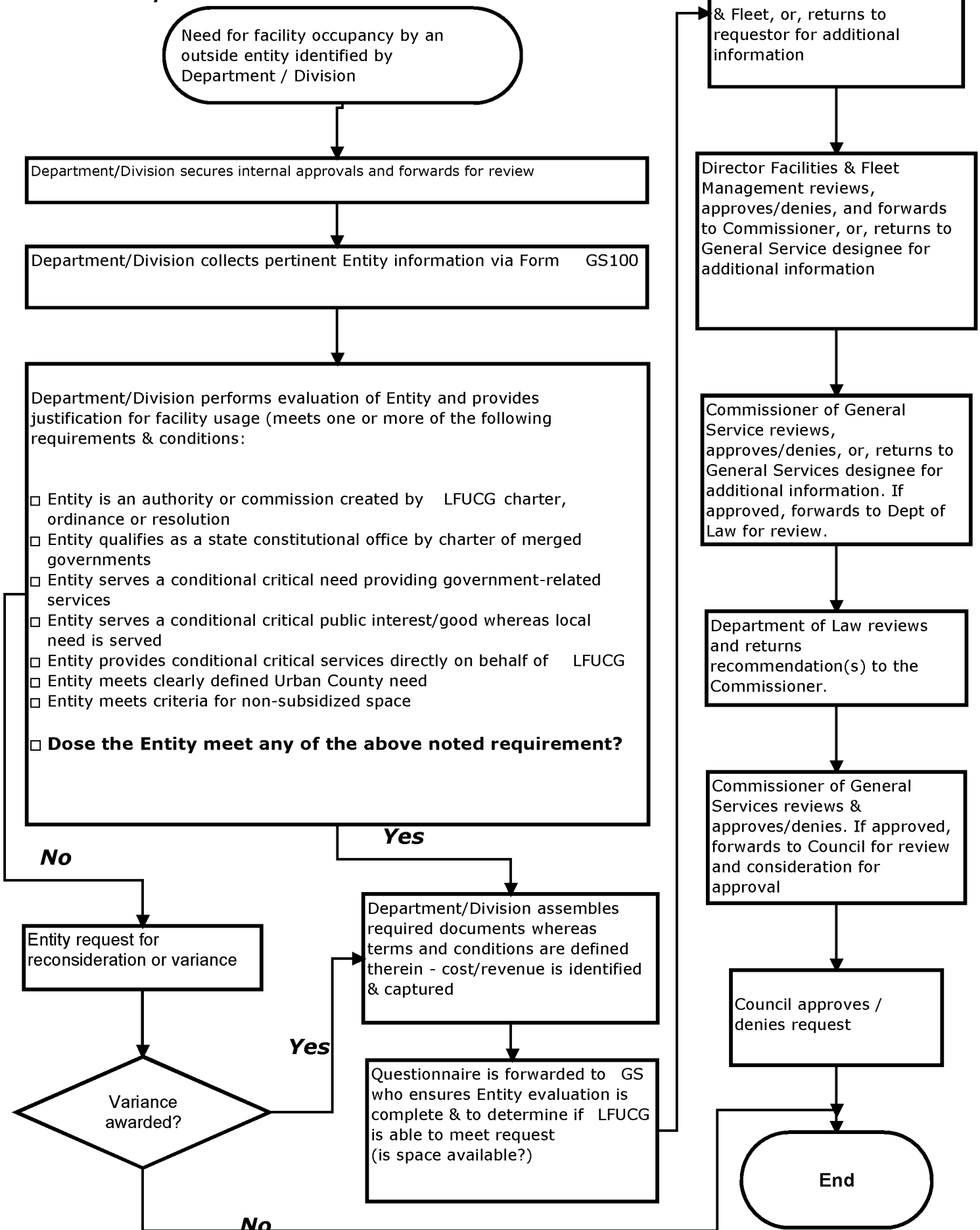
Lexington, Ky. 40503

(859) 948-0182 (Seal if Bid is by Corporation)

By signing this form you agree to all of the terms and associated forms.

Proposed Partner Agency Facility Usage Policy Process Map

79



QUESTIONNAIRE – FACILITY OCCUPANCY**CAUTION: Steps 1, 2 & 3 must all be completed in order to process request.****STEP 1 – ENTITY**

Name: _____
 Address: _____
 Designation (check one): ☐ For – Profit ☐ Non – Profit
 If Non – Profit, provide details (type & documentation): _____
 Federal Tax ID Number: _____
 Overview (list ALL services provided): _____

Contact Name: _____
 Contact Number(s): (Office) _____ (Cell) _____ E-mail: _____
 Occupancy type: ☐ Permanent-full time ☐ Permanent-part time ☐ Temp-full time ☐ Temp-part time

STEP 2 – EVALUATION**Department making request:**

- ☐ Environmental Quality & Public Works & Development
- ☐ Finance & Administration
- ☐ General Services
- ☐ Law
- ☐ Public Safety
- ☐ Social Services

Required attachments included (select appropriate type):

- ☐ Proposed Lease/Contract
- ☐ Proposed Ordinance
- ☐ Proposed Resolution
- ☐ Proposed MOU or Interlocal Agreement
- ☐ Proposed Facility Usage Agreement

Terms of agreement defined within attachment (maximum 5-year term):

- ☐ One year ☐ Two year ☐ Three year ☐ 4 or 5 yr (specify _____)

Estimate of annual cost / revenue identified & captured:

- ☐ YES ☐ NO

If No, explain: _____

Rental: (Annual rate defined - see Definitions) ☐ \$4.00 psf ☐ \$6.00 psf ☐ \$8.00 ☐ Market Rate




Initiation of Request:

- | | | |
|---|-----------------------------------|----------------------|
| <input type="checkbox"/> Requestor | Name & Title: _____ | Date: ____/____/____ |
| <input type="checkbox"/> Approval (____) initials | Title: Director / Deputy Director | Date: ____/____/____ |
| <input type="checkbox"/> Approval (____) initials | Title: Commissioner | Date: ____/____/____ |

Step 3 – REVIEW

- | | | |
|---|-----------------|----------------------|
| <input type="checkbox"/> General Service designee | (____) initials | Date: ____/____/____ |
| <input type="checkbox"/> Director Facilities & Fleet Management | (____) initials | Date: ____/____/____ |
| <input type="checkbox"/> Commissioner General Services (1 st) | (____) initials | Date: ____/____/____ |
| <input type="checkbox"/> Department of Law | (____) initials | Date: ____/____/____ |
| <input type="checkbox"/> Commissioner General Services (Final) | (____) initials | Date: ____/____/____ |




Funding Evaluation for Fully Subsidized Space

-  Entity meets Urban County need – other funding options **ARE NOT** available (if yes, complete Sections 1 and 2 below) ☐ YES ☐ NO
-  Entity meets Urban County need – other funding options **ARE** available (if yes, skip to Section 3 “Funding Evaluation for Partially Subsidized Space”) ☐ YES ☐ NO
-  Entity will be: ☐ Permanent – Full Time ☐ Permanent – Part Time
☐ Temporary – Full Time ☐ Temporary – Part Time

LEGAL REQUIREMENTS

Section 1


SELECT YES TO ONLY ONE CATEGORY IN THIS SECTION (IF FULLY SUBSIDIZED):


-  1.a. – Entity is an authority or commission that was created by LFUCG charter, ordinance, or resolution and requires full funding of space by LFUCG ☐ YES ☐ NO
-  1.b. – Entity qualifies as a state constitutional office as defined by charter of the merged government and requires full funding of space by LFUCG ☐ YES ☐ NO
-  1.c. – Entity and LFUCG are parties to an agreement whereas facility funding is required by contract or resolution (*other than a PSA*) ☐ YES ☐ NO


CONDITIONAL CRITICAL NEED

Section 2


SELECT YES TO ONLY ONE CATEGORY IN THIS SECTION (IF FULLY SUBSIDIZED):

-  2.a. – Entity serves a Conditional Critical Need whereas governmental-related services are provided, ongoing usage is dependant upon occupant success, and guidelines have been developed to define expectations and measure results ☐ YES ☐ NO
Provide a detailed description of the Conditional Critical Need served:
-
-

-  2.b. – Entity serves a Conditional Critical Public Interest/Good whereas local needs are met, ongoing usage is dependant upon occupant success, and guidelines have been developed to define expectations and measure results ☐ YES ☐ NO
Provide a detailed description of the Conditional Critical Public Interest/Good served:
-
-

-  2.c. – Entity provides Conditional Critical Services directly on behalf of LFUCG whereas ongoing usage is dependant upon occupant success and guidelines have been developed to define expectations and measure results ☐ YES ☐ NO
Provide a detailed description of the Conditional Critical Services provided directly for LFUCG:
-
-



SELECT ALL THAT WILL BE FUNDED BY LFUCG:

-  2.d. – LFUCG will provide
- | | | | | | |
|------------------------------------|--------------------------------|-----------------------------------|--------------------------------|--------------------------------------|---------------------------------------|
| <input type="checkbox"/> water | <input type="checkbox"/> sewer | <input type="checkbox"/> landfill | <input type="checkbox"/> WQMF | <input type="checkbox"/> custodial | <input type="checkbox"/> maintenance |
| <input type="checkbox"/> sprinkler | <input type="checkbox"/> fire | <input type="checkbox"/> phone | <input type="checkbox"/> cable | <input type="checkbox"/> ground care | <input type="checkbox"/> other (____) |

EXPENDITURE BUDGETED ☐ YES ☐ NO ESTIMATE OF ANNUAL BUDGET IMPACT \$ _____


Funding Evaluation for Partially Subsidized Space

Section 3


-  Entity meets Urban County need – other funding options **ARE** available ☐ YES ☐ NO
-  Entity will be: ☐ Permanent – Full Time ☐ Permanent – Part Time
☐ Temporary – Full Time ☐ Temporary – Part Time

CONDITIONAL CRITICAL NEED


SELECT YES TO ONLY ONE CATEGORY IN THIS SECTION (IF ANY ARE APPLICABLE):

-  **3.a. – Entity serves a Conditional Critical Need whereas governmental-related services are provided, ongoing usage is dependant upon occupant success, and guidelines have been developed to define expectations and measure results** ☐ YES ☐ NO


Provide a detailed description of the Conditional Critical Need served:

-  **3.b. – Entity serves a Conditional Critical Public Interest/Good whereas local needs are met, ongoing usage is dependant upon occupant success, and guidelines have been developed to define expectations and measure results** ☐ YES ☐ NO

Provide a detailed description of the Conditional Critical Public Interest/Good served:

-  **3.c. – Entity provides Conditional Critical Services directly on behalf of LFUCG whereas ongoing usage is dependant upon occupant success and guidelines have been developed to define expectations and measure results** ☐ YES ☐ NO


Provide a detailed description of the Conditional Critical Services provided directly for LFUCG:

-  **3.d. – Entity meets clearly defined Urban County need** ☐ YES ☐ NO


- ☐ Entity is a Commission *define:* _____
- ☐ Entity is an Authority *define:* _____
- ☐ Entity is a Park-related Activity *define:* _____
- ☐ Entity is an Art-related occupant *define:* _____

ENTITY EXPENSE

SELECT ALL THAT WILL BE FUNDED BY ENTITY:

-  **3.e. – Entity will pay (LFUCG or Provider):**
- | | | |
|------------------------------------|--------------------------------------|--|
| <input type="checkbox"/> base rent | <input type="checkbox"/> electricity | <input type="checkbox"/> natural gas |
| <input type="checkbox"/> water | <input type="checkbox"/> sewer | <input type="checkbox"/> landfill |
| <input type="checkbox"/> WQMF | <input type="checkbox"/> custodial | <input type="checkbox"/> maintenance |
| <input type="checkbox"/> sprinkler | <input type="checkbox"/> fire | <input type="checkbox"/> phone |
| <input type="checkbox"/> cable | <input type="checkbox"/> ground care | <input type="checkbox"/> other (_____) |

SELECT ALL THAT WILL BE FUNDED BY LFUCG:


-  **3.g. – LFUCG will provide**
- | | | |
|------------------------------------|--------------------------------------|--|
| <input type="checkbox"/> base rent | <input type="checkbox"/> electricity | <input type="checkbox"/> natural gas |
| <input type="checkbox"/> water | <input type="checkbox"/> sewer | <input type="checkbox"/> landfill |
| <input type="checkbox"/> WQMF | <input type="checkbox"/> custodial | <input type="checkbox"/> maintenance |
| <input type="checkbox"/> sprinkler | <input type="checkbox"/> fire | <input type="checkbox"/> phone |
| <input type="checkbox"/> cable | <input type="checkbox"/> ground care | <input type="checkbox"/> other (_____) |

EXPENDITURE BUDGETED ☐ YES ☐ NO

ESTIMATE OF ANNUAL BUDGET IMPACT \$ _____

ENTITY INSURANCE


SELECT ALL THAT WILL BE FUNDED BY ENTITY:

-  **3.f. – Entity will provide:**
- | | |
|--------------------------------------|--|
| <input type="checkbox"/> building | <input type="checkbox"/> contents |
| <input type="checkbox"/> casualty | <input type="checkbox"/> health |
| <input type="checkbox"/> worker comp | <input type="checkbox"/> other (_____) |

Evaluation for Non-Subsidized Space


Section 4


 Entity meets qualifications for Non-Subsidized space ☐ YES ☐ NO


 Entity will be: ☐ Permanent – Full Time ☐ Permanent – Part Time
☐ Temporary – Full Time ☐ Temporary – Part Time

ENTITY TYPE


SELECT YES TO ONLY ONE CATEGORY IN THIS SECTION (IF ANY ARE APPLICABLE):


 **4.a. – Entity is a non-LFUCG governmental occupant (ie Federal/State related) whereas funding IS NOT required by law (charter, ordinance or resolution)** ☐ YES ☐ NO
 ○ If no, return to “Fully Subsidized” or “Partially Subsidized” evaluation sections
Provide a detailed description of occupant and proposed space utilization:


 **4.b. – Entity is an outside agency whereas LFUCG funding IS NOT required by law (charter, ordinance or resolution)** ☐ YES ☐ NO
 ○ If no, return to “Fully Subsidized” or “Partially Subsidized” evaluation sections
Provide a detailed description of occupant and proposed space utilization:

 **4.c. – Entity is a “For Profit” occupant** ☐ YES ☐ NO
Provide a detailed description of occupant and proposed space utilization:

AGREEMENT TYPE

 **4.d. – This is a new agreement (If yes, select type below):** ☐ YES ☐ NO
☐ Contract
☐ MOU
☐ Interlocal
☐ Facility Usage
☐ Professional Services
Provide a detailed description of occupant and proposed space utilization:

 **4.e. – Entity is an employee of LFUCG whereas facility occupancy is exchanged for services provided (full or partial payment)**
Provide a detailed description of occupant and agreement/proposed space utilization:

 **4.f. – Entity is associated with an existing/ongoing agreement that is being reviewed for action.**
Provide a detailed description of occupant and agreement (contract #, resolution, etc.):

 **4.g. – ESTIMATE OF ANNUAL LFUCG REVENUE/LOSS: \$_____**

DEFINITIONS

Authority: Group created by LFUCG with the right or power to enforce rules or give orders and act on behalf of others with official permission to do so

Charter: Statement of rights and responsibilities as a formal written document describing the Lexington Fayette Urban County Government and its resident requirements that incorporates formal document of merger of county and city governments

Commission: Group created by LFUCG with people authorized to carry out a duty, task, or job given with an order to produce a particular product or piece of work

Conditional: Dependent on something else being done or will happen only if and when another thing is done or happens whereas condition or limitation exists

Constitutional Office: Official office whereas local government is required to maintain by order of state government

Critical: Matter determined to be extremely important due to timing whereas circumstances could quickly get either worse or better and matter has been analyzed in a detailed way in order to have success or achieve a goal

- Clearly defined goals have been established
- Clearly defined timelines have been identified
- Evaluations are conducted & progress monitored for compliance as outlined within the agreement
 - Expectations are met, or
 - Consequences are defined and adhered to whenever they are not
- Conditional language provides for immediate opportunity for change
 - No longer critical
 - Results do not meet expectation
 - Change in operation modifies expectation

Public Interest/Good: Common general benefit of the public whereas local need is served

- Local need is met and readily identified service is provided

Rental: Annual rate compensated LFUCG for occupancy.

- \$4.00 psf – Minimum rate per square foot that will be paid by existing tenants during the first (1st) year of the transition period (July 1, 2011 thru June 30, 2012)
- \$6.00 psf – Minimum rate per square foot that will be paid by existing tenants during the second (2nd) year of the transition period (July 1, 2012 thru June 30, 2013)
- \$8.00 psf – Minimum rate per square foot that will be paid by existing tenants during the third (3rd) year of the transition period (July 1, 2013 thru June 30, 2014); **MINIMUM RATE** that will be paid by **ALL NEW OCCUPANTS**.
- Market rate – Rate to be paid by **ALL NON-SUBSIDIZED** occupants as determined by evaluation results.

Item	Category	Information	Recommendation	Summary Update	Provided By
1	Facilities - annual maintenance	The city has historically acquired property without budgeting appropriate maintenance for this property.	Matter should be referred to General Government Committee to develop a plan to include funding for building maintenance in the FY 2013 budget.	Attached are the initiatives currently under consideration and discussions internally. These proposed initiative are to address such issues as CIP process/procedures, funding for deferred maintenance projects and proposing BMPs regarding the preventive maintenance of all LFUCG structures/systems.	Jamshid Baradaran
2	Facilities - capital improvements	Many of LFUCG buildings are in desperate need of repair and renovation.	Matter should be referred to General Government Committee to develop a plan to include funding for repair and renovation in the FY 2013 budget.	Currently under review are two software systems for potential purchase/lease and implementation by mid FY2013. The IT group will need to review and approve the proposed software as to eliminate any potential issues with the current system/network. The anticipated timeframe for the selection, advertisement, award/purchasing, implementation, testing and training is approximately 18 months.	Jamshid Baradaran
3	Facilities - software	The database of LFUCG property is currently being maintained on an excel spreadsheet. It would greatly benefit property management to have real estate software.	Matter should be referred to General Government Committee; Commissioner should present a proposal for acquisition of real estate management software by December 1, 2011	Attached is a copy of the proposed processed map as well as pertinent forms. The DGS proposed that the Council review and approve a resolution/ordinance which would enact the proposed policy.	Jamshid Baradaran
4	Facility - usage	LFUCG does not have a good policy in place to evaluate the best and highest use of its property.	Matter should be referred to General Government Committee to develop policy. Policy should be reviewed once a year before the budget process.		
5	Fleet - vegetable oil pilot program	Many vehicles are now using vegetable oil, which is free, as fuel.	Matter should be referred to General Government Committee to determine the efficacy of such a pilot program	The biodiesel currently used in our heavy fleet contains either soy beans or french fry oil that has gone through a transesterification process or blending. By statute (KRS 363.904), all motor fuels sold in the Commonwealth must meet American Society for Testing and Materials (ASTM) standards for that grade of fuel. The B-5 blend currently used falls under the D-7467 standard for biodiesel blends due to ASTM test method reproducibility allowances. Smaller amounts blended below 5% would be discounted because of the very limited effect on the fuels quality. The Kentucky Department of Agriculture is responsible for testing fuel for compliance with the ASTM standards. Any blend between 20 and 100 percent is illegal per those standards.	Jamshid Baradaran
6	Parks - Acquisition Fund	There is a Parks Acquisition Fund funded by a fee on building permits in the original USB. There is approximately \$1 million in this fund.	Matter should be referred to General Government Committee to determine how money is being and should be used, possibly in conjunction with a Parks Conservancy.	Review of program will be presented in November 2011, along with recommendations.	Jerry Hancock
7	Parks - annual maintenance	The city has historically acquired property without budgeting appropriate maintenance for this property. For instance, it will take @ \$40,000 to maintain the Legacy Trail.	Matter should be referred to General Government Committee to develop a plan to include funding for park maintenance in the FY 2013 budget	The maintenance of green ways and trails and streetscape being addressed by Environmental Quality Committee on 9/20/12, which will address greenway acquisition and maintenance. Building/Facility maintenance part of # 1 and #2 above.	Jerry Hancock
8	Parks - aquatic plan	The city does not have a plan for evaluation of the use of the pools, what pool upgrades are needed, and how to utilize the property to benefit the community if the pool is closed.	Matter should be referred to General Government Committee; Parks should present an aquatic plan by December 1, 2011	Aquatic Master Plan Update of Council approved 1994 Aquatic Plan is planned for December 2011. It will include local/national trends, citizen survey and peer city comparisons and 10 year plan	Jerry Hancock
9	Parks - capital improvements	Parks needs money for repair and renovation.	Matter should be referred to General Government Committee to develop a plan to include funding for repair and renovation in the FY 2013 budget	Parks lost \$250,000 in repair funds in FY 12 budget. Ongoing maintenance of structures should be included in Capital Maintenance items outlined in #1 and #2 above.	Jerry Hancock
10	Parks - Conservancy	Parks need money for renovation and maintenance. Many cities have a Parks Conservancy which is a public/private partnership.	Matter should be referred to General Government Committee; Parks should present a plan for a Parks Conservancy by December 1, 2011 possibly including use of the County Clerk money.	Parks Advisory Board along with Council Parks Funding Sub Committee has discussed idea at length and recommends a separate non-government entity. Steering Committee being formed, which will present its governance and by-laws to Council for information.	Jerry Hancock
11	Parks - Expansion Fund	There is an Expansion Fund funded by money generated by fees in the expanded USB. Part of this money is used for park acquisition.	Matter should be referred to General Government Committee to determine how money is being and should be used, possibly in conjunction with a Parks Conservancy.	There is an Exaction Fee program, managed by Div of Planning, that provides funds for purchase of park land in selected areas in conjunction with sub-division development. Recommendations on modifying this program will be provided in February 2012 with Div of Planning.	Jerry Hancock
12	Parks - Golf Course Mgmt	The golf courses show a significant decrease in use in the winter months. There is an opportunity for efficiency in evaluating closing those courses during the winter months or on certain days. There are also revenue opportunities that are being underutilized.	Matter should be referred to General Government Committee; Parks should present a plan for golf course management by December 1, 2011	Golf Master Plan is planned for December 2011. It will include a financial and historical summary, peer city review, survey data, operations improvement plan	Jerry Hancock

CIP

The Division of Facilities & Fleet management and the Finance Department are currently working on a CIP process map which would establish a BMP which should address the shortcomings of the current system. The new process will identify all phases of CIP from initial scope to Life Cycle Cost analysis. The FY2013 has been identified as a target year to incorporate a few of the proposed enhancements and modifications and we hope to have the new process fully implemented by FY2014.

Deferred Maintenance Funding

The City is currently facing a 5 year deferred maintenance project list exceeding \$27M. The recent and proposed future sale of assets should reduce the financial burden but a long term strategy is needed in order to minimize the size/number of the deferred maintenance projects.

Annual General Maintenance

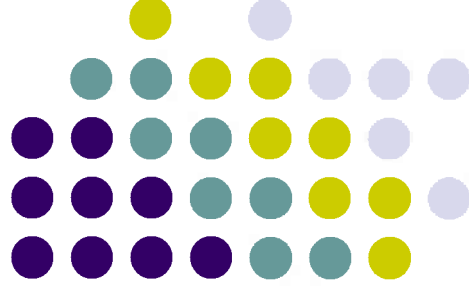
The Division is currently compiling a facility maintenance/CIP model which will provide short/mid/long term needs of each facility/structure as well as associated costs. The primary focus will be the facility envelop (primarily roofs), HVAC, and Life/Safety related needs. The following is the proposed timeline:

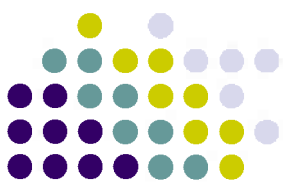
- Model completed by the end of 2011
- Implementation of the model for all facilities by 3rd quarter 2012
- Short/mid/long term plan implementation by mid 2013

We will be working closely with the Finance Department to make sure that they are involved with the task of establishing priorities.

LFUCG Partner Agencies Proposed Facility Usage Policy & Procedures

Department of General Services
Real Estate/Properties Section
September 2011





Primary Policy Goals & Objectives

- Improvements in program's transparency and accountability
 - Who is occupying our facilities, what types of services are being provided? Business model/plan? Source(s) of funding?
- What level of subsidies are being requested?
- Types of contracts/leases? (PS? Space?.....)
- Annual review and evaluation of all services via financial and operational reports/presentation.
- Financial responsibilities:
 - Proposed \$4/\$6/\$8 per Sft. transition period for current partner agencies (FY 2013)
 - Minimum rate of \$8/Sft. for new leases (approved partners only)

Proposed Partner Agency Facility Usage Policy Process Map

